

UNIVERSIDADE FEDERAL DO PARANÁ

GABRIEL FRAGA DA FONSECA

AVALIAÇÃO DOS PADRÕES DE ENCALHE E POTENCIAIS CAUSAS DE  
MORTALIDADE EM *Caretta caretta* NAS REGIÕES SUDESTE E SUL DO BRASIL

PONTAL DO PARANÁ

2021

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MORTALIDADE EM *Caretta caretta* NAS REGIÕES SUDESTE E SUL DO BRASIL

Dissertação apresentada ao curso de Pós-Graduação em Sistemas Costeiros e Oceânicos, Setor de Ciências da Terra, Universidade Federal do Paraná, como requisito parcial à obtenção do título de Mestre em Biologia e Ecologia de Sistemas Costeiros e Oceânicos.

Orientadora: Profa. Dra. Camila Domit

Coorientador: Prof. Dr. Maikon Di Domenico

PONTAL DO PARANÁ

2021

DADOS INTERNACIONAIS DE CATALOGAÇÃO NA PUBLICAÇÃO (CIP)  
UNIVERSIDADE FEDERAL DO PARANÁ  
SISTEMA DE BIBLIOTECAS

F676a Fonseca, Gabriel Fraga da  
Avaliação dos padrões de encalhe e potenciais causas de mortalidade em *Carretta caretta* nas regiões sudeste e sul do Brasil [recurso eletrônico] / Gabriel Fraga da Fonseca. – Pontal do Paraná, 2021.  
1 arquivo [115 f.] : PDF.

Requisitos do Sistema: Adobe Acrobat Reader

Modo de acesso: Word Wide Web

Orientadora: Profa. Dra. Camila Domit

Coorientador: Prof. Dr. Maikon Di Domenico

Dissertação (Mestrado) – Universidade Federal do Paraná, Campus Pontal do Paraná, Centro de Estudos do Mar, Programa de Pós-Graduação em Sistemas Costeiros e Oceânicos.

1. Tartaruga marinha. 2. Mortalidade. I. Domit, Camila. II. Di Domenico, Maikon. III. Título. IV. Universidade Federal do Paraná. Programa de Pós-Graduação em Sistemas Costeiros e Oceânicos.

CDD – 597.92



MINISTÉRIO DA EDUCAÇÃO  
REITORIA  
UNIVERSIDADE FEDERAL DO PARANÁ  
PRÓ-REITORIA DE PESQUISA E PÓS-GRADUAÇÃO  
UNIVERSIDADE FEDERAL DO PARANÁ  
PROGRAMA DE PÓS-GRADUAÇÃO SISTEMAS COSTEIROS E OCEÂNICOS - 40001016054P6  
**TERMO DE APROVAÇÃO**

Os membros da Banca Examinadora designada pelo Colegiado do Programa de Pós-Graduação SISTEMAS COSTEIROS E OCEÂNICOS da Universidade Federal do Paraná foram convocados para realizar a arguição da Dissertação de Mestrado de GABRIEL FRAGA DA FONSECA intitulada: Avaliação dos padrões de enalhe e potenciais causas de mortalidade em *Caretta caretta* nas regiões sudeste e sul do Brasil sob orientação da Profa. Dra. CAMILA DOMIT, que após terem inquirido o aluno e realizada a avaliação do trabalho, são de parecer pela sua APROVAÇÃO no rito de defesa.

A outorga do título de mestre está sujeita à homologação pelo colegiado, ao atendimento de todas as indicações e correções solicitadas pela banca e ao pleno atendimento das demandas regimentais do Programa de Pós-Graduação.

Pontal do Paraná, 25 de Outubro de 2021.

Assinatura Eletrônica

01/12/2021 10.11.5

**CAMILA DOMIT**

Presidente da Banca Examinadora

Assinatura Eletrônica

03/12/2021 01.10.2

**MAURÍCIO NEVES CANTOR MAGNANI**

Avaliador Interno (UNIVERSIDADE FEDERAL  
DE SANTA CATARINA)

Assinatura Eletrônica

23/11/2021 16.15.1

**ANDRÉ SILVA BARRETO**

Avaliador Externo (UNIVERSIDADE DO  
VALE DO ITAJAÍ)

Assinatura Eletrônica

06/12/2021 13.01.1

**PEDRO VOLKMER DE CASTILHO**

Avaliador Externo (UNIVERSIDADE DO  
ESTADO DE SANTA CATARINA)

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Av. Beira-Mar, s/n - Pontal do Paraná - Paraná - Brasil  
CEP 83255-976 - -rei: (41 ) 3511-8642 - E-mail: pgsisco@ufpr.br

Documento assinado eletronicamente de acordo com o disposto na legislação federal Decreto 8539 de 08 de outubro de 2015. Gerado e autenticado pelo SIGA-UFPR, com a seguinte identificação única: 129921

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*Às tartarugas marinhas;  
A aqueles que sempre me deram suporte,  
em especial a vó Darling e vô Álvaro, tia Silésia e tio Carlinhos.*

## **AGRADECIMENTOS**

Agradeço primeiramente à minha família, por todo o incentivo e suporte em toda a minha vida. Minha mãe Letícia, meu pai Álvaro, meu irmão Lucas e minhas irmãs Mariana e Maria Clara, minhas avós Glória e Darling, meus avôs Guaraci e Álvaro, tios Marcelo, Lulo, Robert e tia Lilian, meus primos Dudu e Juli, obrigado por sempre fazerem tudo ao seu alcance para que me tornasse a pessoa e biólogo que sou hoje. Agradeço à minha namorada e companheira Daiane, e à nossa gata Sakura, pelo apoio incondicional, tanto afetivo quanto acadêmico, desde a nossa graduação.

Agradeço a minha orientadora Camila por todas as oportunidades que recebi durante o estágio obrigatório e o mestrado, e pelo apoio e mentoria constantes nesse período, essenciais para a minha formação. Também agradeço aos companheiros e amigos de laboratório e de profissão, em especial àqueles que me já me orientaram, trabalham no PMP-BS e em pesquisas de animais encalhados, foram imprescindíveis a esse trabalho.

Agradeço aos meus amigos, que estiveram sempre presentes, mesmo à distância no período de pandemia. Agradeço a ciência e aos cientistas brasileiros, pela frente ao crescente negacionismo e por nos possibilitarem um futuro pós-pandemia.

Por fim, agradeço a CAPES, pois esse presente trabalho foi realizado com apoio da Coordenação de Aperfeiçoamento de Pessoal de Nível Superior – Brasil (CAPES) – Código de Financiamento 001.

*“Quando as pessoas protegem algo verdadeiramente especial a elas, podem atingir o seu verdadeiro potencial” – Naruto Uzumaki*

## RESUMO

Neste trabalho, exploramos os dados de encalhe da espécie *Caretta caretta* obtidos pelo Projeto de Monitoramento de Praias da Bacia de Santos, uma condicionante do IBAMA para a exploração de petróleo e gás natural por parte da PETROBRAS na costa brasileira, para contribuir na elucidação de lacunas de conhecimento e efetividade de ações de conservação da espécie. Em um primeiro capítulo, analisamos encalhes obtidos pelo PMP-BS no período de 2015 a 2020, entre os estados do Rio de Janeiro e Santa Catarina, buscando determinar características populacionais das tartarugas-cabeçuda encalhadas, os principais fatores de mortalidade que influenciam os encalhes, e seus padrões espaço-temporais de distribuição. Em um segundo capítulo, considerando a aplicação de metodologias de modelagem relacionadas à natureza *only-presence* de pontos espaciais que constituem bancos de dados como os do PMP-BS, apresentamos a primeira aplicação de “Point Process Models” para dados de encalhe para explorar sua viabilidade e estabelecer a resolução espacial adequada para a sua aplicação. No primeiro capítulo, detectamos um alto índice de mortalidade de *C. caretta*. Os 2795 encalhes são em sua maioria de juvenis e adultos, com comprimento curvilíneo de carapaça médio de 77,75 cm  $\pm$  SD 10,82. Além disso, apresentam proporção sexual de 2:1 de fêmeas para machos e idade média de 15,3 anos. Interações antropogênicas relacionadas a múltiplas fontes foram registradas, sendo a interação com a pesca a mais evidente (n = 266 indivíduos). Os *hotspots* de encalhes foram identificados no litoral sul de São Paulo, Paraná e norte de Santa Catarina, e uma variação sazonal com menores índices nos meses de fim de outono e começo de inverno (época de defeso da pesca de camarão sete-barbas por arrasto) e com maiores valores entre o fim do inverno e primavera. No segundo capítulo, utilizando uma arquitetura Bayesiana, comparamos 20 diferentes modelos log-Gaussian Cox PPMs a partir de seus índices DIC e relevância ecológica e conservacional, identificando como modelos mais relevantes aqueles com células de 40 km<sup>2</sup>. Além disso, estabelecemos



índices de diferentes intensidades de encalhes em múltiplos cenários de distribuição espacial. De maneira geral, nossos resultados sugerem uma alta mortalidade, possivelmente não sustentável, para a população pertencente a unidade de manejo regional do Atlântico Sudoeste (SWA-RMU); ainda indicam a importância de uma nova análise da classificação da espécie perante as categorias de risco de extinção. Apresentamos também a bem-sucedida aplicação de PPMs para encalhes de *C. caretta*, um robusto embasamento para futuras e mais aprofundadas modelagens, e recomendamos o uso da metodologia para próximas análises de encalhes de outras espécies marinhas e de uso de múltiplos critérios envolvendo a avaliação de ocorrência, mortalidade e encalhe de animais marinhos. Os resultados aqui apresentados poderão contribuir para a para a conservação de *C. caretta* ao gerar conhecimento científico inédito e com potencial de embasar gestores, atores governamentais e sociais, tomadores de decisão e outros cientistas em ações de conservação, especialmente em um cenário de amplo esforço para o manejo costeiro que se apresenta frente ao início da Década do Oceano - ONU.

### **Palavras-chave**

Tartaruga-cabeçuda; Ecologia populacional; Captura incidental; Ecologia da conservação; Point Process Model; Manejo costeiro;

## ABSTRACT

This study evaluated *Caretta caretta* stranding data obtained by the Santos Basin Beach Monitoring Project (PMP-BS), an IBAMA constraint related to oil and natural gas exploration in the Brazilian coast by PETROBRAS, to help elucidate knowledge gaps and improve impact mitigation actions for the species conservation. In the first chapter, we analyzed the strandings obtained by PMP-BS from 2015 to 2020, in between Rio de Janeiro and Santa Catarina Brazilian states, aiming to identify populational data regarding the stranded loggerheads, the most impacting mortality factors in strandings' incidence, and the spatiotemporal patterns of strandings. In the second chapter, we considered stranding datas' characteristics as only-presence information on spatial point locations to perform the first application of "Point Process Models" on strandings, as we aimed to explore the method viability and determine the adequate spatial resolution to model design. In the first chapter, the 2795 obtained individuals revealed high *C. caretta* mortality indices for the region and a populational structure of late juveniles and adults with a mean curved carapace length of 77.75 cm  $\pm$  SD 10.82. We also identified a sexual proportion of 2 females to one male and a mean age of 15.3 years. Anthropogenic interactions related to bycatch and plastic ingestion directly affected 266 and 116 loggerheads. We detected stranding hotspots in SCSP, PC, and SCSC mesoregions, with seasonal incidence increasing from winter to spring and decreasing from October to May (shrimp trawling suspension period). In the second chapter, through a Bayesian framework, we compared 20 log-Gaussian Cox PPMs by their DIC indexes and ecological and conservational relevance. We identified models with approximately 40 km<sup>2</sup> as cell spatial resolution to be the most appropriate for this loggerhead stranding dataset. We also determined minimum, mean and maximum stranding intensity values for models cells. Overall, our results suggest non-sustainable mortality rates for loggerheads and indicate the need to reevaluate the species' "Least Concerned" conservation status in the SWA-RMU. Furthermore, we present the successful application

of PPMs for loggerhead strandings, provide robust background for more complex stranding modeling, and encourage the use of PPMs for the stranding modeling of additional marine species. Therefore, we believe this study can benefit decision-makers, scientists, the government and social actors involved in *C. caretta* conservation by providing important information for coastal management, especially in a positive scenario for conservation efforts presented by the upcoming Ocean Decade – ONU.

### **Keywords**

Loggerhead; Population ecology; Bycatch; Conservation ecology; Point Process Model; Coastal management.

## RESUMO EM LINGUAGEM ACESSÍVEL

Neste trabalho, fizemos uma análise dos dados de encalhe e mortalidade de tartarugas-cabeçuda, uma das cinco espécies de tartaruga marinha que utilizam a costa brasileira. Os animais encalhados, mortos ou debilitados, foram encontrados por integrantes de diferentes laboratórios que participam de um esforço padronizado de monitoramento de encalhe no Projeto de Monitoramento de Praias da Bacia de Santos, uma condicionante do IBAMA para a exploração de petróleo e gás natural por parte da PETROBRAS na costa brasileira, entre 2015 e 2020, na área entre os estados do Rio de Janeiro e Santa Catarina. Com os dados de encalhe, em um primeiro capítulo, detectamos uma alta mortalidade de tartarugas-cabeçuda na região, afetadas por diversos impactos múltiplos e cumulativos, como pela interação com a pesca, com poluentes e lixos, por acidentes com embarcações e por agressões. A grande maioria dos 2795 animais encontrados são juvenis e adultos, com tamanho médio de quase 80 centímetros de carapaça. Ainda, determinamos também a presença de uma maioria de tartarugas fêmeas nos animais encalhados, com uma proporção sexual de 2:1, e uma concentração desses encalhes em pontos dos litorais catarinense, paranaense e paulista. Em um segundo capítulo, realizamos um bem sucedido teste da aplicação de uma metodologia de análise inovadora para trabalhos com animais encalhados, com potencial de nos fornecer respostas quanto à influência de fatores biológicos, de mortalidade e ambientais na ocorrência de encalhes com menos vieses que os intrínsecos a metodologias utilizadas anteriormente. De maneira geral, os resultados obtidos indicam uma alta mortalidade de tartarugas pertencentes a uma espécie, *Caretta caretta*, já ameaçada de extinção, que deveria possuir uma alta taxa de sobrevivência nas fases de vida em que as encontramos no litoral brasileiro, reforçando a necessidade das ações voltadas à conservação da espécie expostas em diversos documentos nacionais e internacionais. Os resultados desse trabalho poderão contribuir para o cumprimento dessas ações, além de fornecer uma nova ferramenta para a ciência de encalhes, com potencial de gerar conhecimento que auxilie gestores, tomadores de decisão e a sociedade em um cenário de esforço coletivo para o manejo costeiro que se apresenta no início da Década da Ciência Oceânica – ONU.

## LISTA DE FIGURAS

### CAPÍTULO 1

1. Populational parameters of stranded <i>C. caretta</i> .....	43
2. Spatial and temporal distribution of strandings for female and male <i>C. caretta</i> .....	45
3. Populational parameters for female and male stranded <i>C. caretta</i> .....	46
4. Spatial distribution of <i>C. caretta</i> strandings in different decomposition and body condition levels .....	48
5. Impact of anthropogenic activities on <i>C. caretta</i> strandings.....	50
6. Patterns of <i>C. caretta</i> strandings caused by fisheries interaction .....	52
7. Patterns of <i>C. caretta</i> strandings caused by boat collisions .....	53
8. Patterns of <i>C. caretta</i> strandings caused by interactions with plastic debris .....	54
9. Patterns of <i>C. caretta</i> strandings caused by interactions with aggression .....	55
10. <i>C. caretta</i> strandings' distribution in the study area .....	56
11. Spatiotemporal patterns of <i>C. caretta</i> strandings .....	56

### CAPÍTULO 2

1. Stranding distribution in the study area .....	89
2. Structured and unstructured effects in log-Gaussian Cox Point Process Models .....	93
3. Predicted loggerhead strandings' intensity in minimum, average and maximum values .....	95
4. DIC variation regarding cells' spatial resolution .....	97
5. DIC variation regarding cells' size in kilometres .....	97
6. DIC variation regarding models' number of cells .....	98

## LISTA DE TABELAS

### CAPÍTULO 1

1. Stranding data for female, male, and indeterminate sex loggerheads, based on decomposition codes ..... 41
2. Size, age, and the number of strandings for female and male loggerheads through mesoregions and austral seasons ..... 42

### CAPÍTULO 2

1. Variation in number of cells, cell size and DIC for all models ..... 99

## LISTA DE ABREVIATURAS OU SIGLAS

CCC	- Comprimento curvilíneo de carapaça
CCL	- Curved carapace length
IUCN	- União Internacional para a Conservação da Natureza
PMP-BS	- Projeto de Monitoramento de Praias da Bacia de Santos
PPM	- Point Process Model
SD	- Standard deviation
SDM	- Species Distribution Models
SIMBA	- Sistema de Informação de Monitoramento da Biota Aquática
SWA-RMU	- Southwest Atlantic Regional Management Unit

### MESOREGIONS

ECRJ	- Eastern Coast of Rio de Janeiro
CCRJ	- Central Coast of Rio de Janeiro
WCRJ	- Western Coast of Rio de Janeiro
NCSP	- North Coast of São Paulo
CCSP	- Central Coast of São Paulo
PC	- Paraná Coast
NCSC	- North Coast of Santa Catarina
NCCSC	- North Central Coast of Santa Catarina
CCSC	- Central Coast of Santa Catarina
SCSC	- South Coast of Santa Catarina

## SUMÁRIO

<b>1. INTRODUÇÃO .....</b>	<b>16</b>
1.1 OBJETIVOS.....	20
<b>1.1.1 Objetivo geral .....</b>	<b>20</b>
<b>1.1.2 Objetivos específicos .....</b>	<b>20</b>
1.2 REFERÊNCIAS .....	22
<b>CAPÍTULO 1 .....</b>	<b>27</b>
1. INTRODUCTION.....	32
2. METHODS .....	36
3. RESULTS.....	38
4. DISCUSSION.....	58
5. CONCLUSION .....	68
6. REFERENCES.....	69
<b>CAPÍTULO 2 .....</b>	<b>80</b>
1. Introduction .....	85
2. Study area and sampling effort .....	88
3. Point Process model design.....	89
4. Log-Gaussian Cox Point Process model implementation and fitting .....	90
5. Inferences of modeling stranded loggerheads with Log-Gaussian Cox Point Process models .....	99
6. References.....	100
<b>2. SUMÁRIO DE RESULTADOS E CONCLUSÃO GERAL.....</b>	<b>105</b>
<b>3. REFERÊNCIAS GERAIS.....</b>	<b>106</b>



## 1. INTRODUÇÃO

A tartaruga marinha *Caretta caretta* Linnaeus, 1758 (Cheloniidae) é uma das cinco espécies de tartarugas marinhas que habitam o território brasileiro. Assim como as quatro demais espécies com ocorrência nacional, *C. caretta* está incluída na lista vermelha de espécies ameaçadas de extinção da IUCN (União Internacional para Conservação da Natureza), considerada “Vulnerável”, principalmente devido a sua exposição a múltiplos e cumulativos impactos (CASALE; TUCKER, 2017; FLINT et al., 2015; LÓPEZ-MENDILAHARSU et al., 2020a; MARCOVALDI; CHALOUPKA, 2007; SANTANA et al., 2011). Algumas das atividades antropogênicas que conhecidamente provocam a mortalidade de tartarugas-cabeçuda são as interações com a pesca, colisão com embarcações, caça e variadas fontes de poluição e degradação ambiental (BRAGA; SCHIAVETTI, 2013; CANTOR et al., 2020a; FARIAS et al., 2019; FLINT et al., 2015, 2017a; KOTAS et al., 2004; NOGUEIRA; ALVES, 2016; SALES; GIFFONI; BARATA, 2008a; SANTANA et al., 2011; TAGLIOLATTO et al., 2020a).

No sudoeste Atlântico, a captura incidental (*bycatch*) é a atividade antrópica mais impactante à sobrevivência de indivíduos de *C. caretta*, principalmente pelas modalidades de pesca de arrasto de fundo e espinhel pelágico (KOTAS et al., 2004; LÓPEZ-MENDILAHARSU et al., 2020a; PINEDO; POLACHECK, 2004; TAGLIOLATTO et al., 2020a). A pesca de arrasto de fundo, que opera mais próxima a costa brasileira, têm como principal alvo o camarão sete-barbas (*Xiphopenaeus kroyeri*), mas interage e induz a mortalidade indivíduos nerfíticos adultos e juvenis de tartaruga-cabeçuda (LÓPEZ-MENDILAHARSU et al., 2020b; TAGLIOLATTO et al., 2020a). Por outro lado, a pesca de espinhel pelágico, é conhecida por impactar indivíduos juvenis que habitam zonas mais oceânicas, na região de águas internacionais em que o esforço pesqueiro se concentra (LÓPEZ-MENDILAHARSU et al., 2020a; MARCOVALDI et al., 2006; MONTEIRO et al., 2016a; SALES; GIFFONI; BARATA, 2008b). Mesmo com o entendimento de que ambas as

modalidades de pesca sejam as que mais afetam a espécie na costa brasileira, as taxas de mortalidade provocadas por essa interação são provavelmente subestimadas (FLINT et al., 2015; HART; MOORESIDE; CROWDER, 2005; KOTAS et al., 2004; LÓPEZ-MENDILAHARSU et al., 2020b). Fatores como a dificuldade em estabelecer taxas de morte de indivíduos soltos após captura, em determinar os efeitos crônicos de poluentes, doenças emergentes e mudanças climáticas na sobrevivência das tartarugas, e em obter dados estatísticos sobre as atividades impactantes, como os esforços pesqueiros, prejudicam o conhecimento sobre os efeitos antropogênicos sobre a espécie e a elaboração de medidas efetivas de conservação (FLINT et al., 2015; HART; MOORESIDE; CROWDER, 2005; KOTAS et al., 2004). Esse quadro é ainda mais grave devido às lacunas de conhecimento acerca da ecologia populacional, uso de hábitat e áreas de conectividade das tartarugas-cabeçuda na região (HAYS, 2008; WILDERMANN et al., 2018).

Buscando reduzir as lacunas de conhecimento da espécie e o desenvolvimento de medidas efetivas de mitigação de impactos, uma abordagem localizada foi desenvolvida a partir da caracterização de Unidades de Manejo Regional (RMU) para a espécie (WALLACE et al., 2010). Sob esse foco, pesquisadores compilaram, por exemplo, informações essenciais à conservação da espécie obtidas a partir de constantes estudos realizados em áreas de desova nos estados da Bahia, Espírito Santo, Rio de Janeiro e Sergipe (C. Baptistotte et al., 2003; Marcovaldi et al., 2010a; Marcovaldi and Chaloupka, 2007; Santana et al., 2011; Tagliolatto et al., 2020; Tiwari and Bjorndal, 2015). Os períodos em terra de fêmeas adultas, provocados pela atividade da desova, promoveram a construção de um conhecimento científico que ainda é escasso para indivíduos neríticos em áreas de forrageio ou migração, justamente pela dificuldade de acesso à esses animais (HAYS, 2008; MARCOVALDI et al., 2010; REES et al., 2016; WILDERMANN et al., 2018).

Buscando contornar os empecilhos logísticos intrínsecos aos estudos de animais migratórios em ambientes marinhos, e fornecer essas informações essenciais, são

implementadas diversas tecnologias e metodologias analíticas como as análises de isótopos estáveis, rastreamento/marcação por satélite e monitoramentos embarcados (CASALE et al., 2012; FUENTES et al., 2020; MARCOVALDI et al., 2010; PAJUELO et al., 2012; PECKHAM et al., 2011; THUMS et al., 2013). Entretanto, o alto custo e dificuldades logísticas normalmente impedem a aplicação dessas metodologias em áreas extensas ou em longos períodos de tempo (CANTOR et al., 2020a; CASALE et al., 2012; FUENTES et al., 2020; MARCOVALDI et al., 2010; PAJUELO et al., 2012).

Como uma alternativa de relativo custo-benefício, os encalhes se tornam uma ótima oportunidade de produzir conhecimento científico de qualidade sobre espécies marinhas, como a *C. caretta* (CANTOR et al., 2020a; MONTEIRO et al., 2016a; PELTIER et al., 2014; PELTIER; RIDOUX, 2015; TAGLIOLATTO et al., 2020a). Quando obtidos de maneira sistemática e padronizada, encalhes nos permitem inferir sobre padrões de uso de área, ecologia, causas de mortalidade, diversidade  $\alpha$ , dinâmica populacional e principais ameaças antropogênicas atuando sobre as espécies (CANTOR et al., 2020a; MEAGER; SUMPTON, 2016; MONTEIRO et al., 2016a; PELTIER et al., 2012, 2014, 2016; PELTIER; RIDOUX, 2015; SANTOS et al., 2018; TAGLIOLATTO et al., 2020a). Ainda, dados de encalhes podem prover informações sobre qualidade ambiental e saúde populacional, sendo considerados como dados prioritários para medidas locais de mitigação de impactos e desenvolvimento de modelos estatísticos (CANTOR et al., 2020a; MONTEIRO et al., 2016a; PELTIER et al., 2016; TAGLIOLATTO et al., 2020a).

No Brasil, segundo o Plano de Ação Nacional para a Conservação de Tartarugas Marinhas, o Ministério do Meio Ambiente considera o monitoramento dos encalhes de tartarugas marinhas, assim como a investigação de suas causas, ação prioritária para sua conservação (MARCOVALDI; SANTOS; SALES, 2011). O mesmo contexto de prioridade é apresentado a trabalhos que visem uma avaliação de interações antrópicas sobre esses animais (MARCOVALDI; SANTOS; SALES, 2011). Um intenso e amplo esforço de

monitoramento de encalhes é realizada em praias situadas ao longo do litoral dos estados do Rio de Janeiro, São Paulo, Paraná e Santa Catarina, desde 2015, por meio de uma condicionante ambiental conduzida pelo Ibama. Esta condicionante está relacionada a avaliação de potenciais impactos da produção e escoamento de petróleo e gás natural da PETROBRAS na Bacia de Santos sobre a fauna de tetrápodes e é denominada de Projeto de Monitoramento de Praias da Bacia de Santos (PMP-BS).

O PMP-BS tem como responsabilidades o registro de ocorrências dos encalhes e o atendimento veterinário para reabilitação, ou necropsia, das tartarugas marinhas encontradas no trecho costeiro entre o centro-sul do Rio de Janeiro e o sul de Santa Catarina (PETROBRAS, 2017). O esforço diário de monitoramento de cerca de 1700km de praia produziu, até o momento, uma base de dados de cinco anos com mais de 2700 encalhes registrados de *C. caretta*, disponibilizada publicamente no Sistema de Informação de Monitoramento da Biotas Aquáticas (PETROBRAS, 2017). As informações contidas no sistema, acerca de cada encalhe, são de caráter biológico (como dados biométricos, de idade, maturidade e sexo dos animais), ambiental (local e data da ocorrência), e quanto às interações antrópicas que podem ter afetado a condição física dos animais, a mortalidade e mesmo a condição de saúde dos organismos (PETROBRAS, 2017).

Estudos utilizando bases de dados como o SIMBA têm sido recentemente utilizados para, com sucesso, auxiliar na melhoria de políticas públicas voltadas à conservação de espécies marinhas ameaçadas (Hart et al. 2006, Tomás et al. 2008, Wang et al. 2015, Moura et al. 2016, Meynecke and Meager 2016, Monteiro et al. 2016, Flint et al. 2017, Tagliolatto et al. 2020, Cantor et al. 2020, Dudhat et al. 2021). Entretanto, a ausência de modelagens de distribuição espacial que considerem a natureza desses dados, constituídos de pontos espaciais de encalhes em uma matriz com apenas observações de presença, pode indicar um caminho de aprimoramento acerca dessas análises.

A aplicação dos dados de encalhes de *C. caretta* obtidos pelo PMP-BS em modelos “Point Process” (PPMs) pode, diferentemente de Modelos Lineares Generalizados (GLMs), MAXENTs e RSFs, fornecer resultados com menos vieses, mais próximos da realidade espacial de ocorrência das carcaças, e em níveis ecológicos de menor resolução e relevantes, como o de indivíduo (Aarts et al. 2012, Pearce and Boyce 2006a, Warton and Shepherd 2010a, Renner et al. 2015a, Velázquez et al. 2016).

Portanto, nesse trabalho, analisamos os dados de encalhes de 2795 tartarugas-cabeçuda obtidas pelo PMP-BS entre 2015 e 2020, na área entre os estados do Rio de Janeiro e Santa Catarina, para, em um primeiro capítulo, contribuir na elucidação de lacunas de conhecimento bioecológicas de *C. caretta*, promover a melhoria de políticas públicas e ações voltadas à redução e mitigação de impactos; e, em um segundo capítulo, estabelecer nova e robusta metodologia de análise para a melhor utilização de dados de encalhe como ferramenta de conservação.

## **1.1 OBJETIVOS**

### **1.1.1 Objetivo geral**

Capítulo 1: Análise espaço-temporal dos padrões de mortalidade e encalhes de tartarugas-cabeçuda na região monitorada pelo PMP-BS.

Capítulo 2: Avaliar a aplicabilidade de “Point Process Models” e desenvolver modelos com base em datasets constituídos de dados *only-presence* referentes a encalhes de *C. caretta*.

### **1.1.2 Objetivos específicos**

Capítulo 1:

- Inferir sobre a estrutura populacional de *C. caretta* que ocorrem e encaham na costa sudeste-sul do Brasil.
- Avaliar os padrões espaço-temporais de mortalidade e distribuição de encalhes de *C. caretta*.
- Determinar atividades antropogênicas com potencial impacto à sobrevivência de *C. caretta* na região.

- Elucidar lacunas de conhecimento sobre a espécie para a região sudeste-sul do Brasil, fornecendo dados essenciais para o delineamento de medidas de conservação e mitigação de impactos.
- Determinar áreas de concentração de encalhes e prioritárias para a conservação de *C. caretta* na região sudeste e sul do Brasil.

## **Capítulo 2:**

- Explorar a aplicabilidade de “Point Process Models” em dados referentes a encalhes de megafauna marinha, utilizando *C. caretta* encahadas como dado experimental.
- Determinar o melhor modelo, acerca da sua resolução espacial em grids, utilizando PPMs.
- Testar a viabilidade de ferramenta estatística inédita para tratamento de dados de encalhes
- Contribuir com melhor resilição espacial para uso dos encalhe e como ferramenta para o manejo coasteiro e ações voltadas à conservação de espécies marinhas, como a *C. caretta*.

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## CAPÍTULO 1

**Padrões de encalhe de *Caretta caretta* no sudeste e sul do Brasil: altas (e em crescimento) taxas de mortalidade**

**Stranding patterns of *Caretta caretta* in southeastern and southern Brazil: high (and increasing) mortality rates**

Revista para publicação: Biological Conservation (ISSN: 0006-3207). Fator de impacto: 5,99. Classificação Qualis: A1.

1                   **Stranding patterns of *Caretta caretta* in southeastern and southern**  
2                   **Brazil: high (and increasing) mortality rates**

3  
4                   Gabriel Fraga da Fonseca<sup>ac</sup>, Maikon Di Domenico<sup>ab</sup>, A<sup>\*\*</sup>, B<sup>\*\*</sup>, C<sup>\*\*</sup>, and Camila  
5 Domit<sup>ac\*</sup>

6  
7                   <sup>a</sup> Programa de Pós-Graduação em Sistemas Costeiros e Oceânicos, Universidade  
8 Federal do Paraná, P.O 61, 83255-976 Pontal do Paraná, Paraná, Brazil.

9                   <sup>b</sup> Marine Ecology Lab, Center for Marine Studies, Universidade Federal do Paraná,  
10 Pontal do Paraná, Paraná, Brazil.

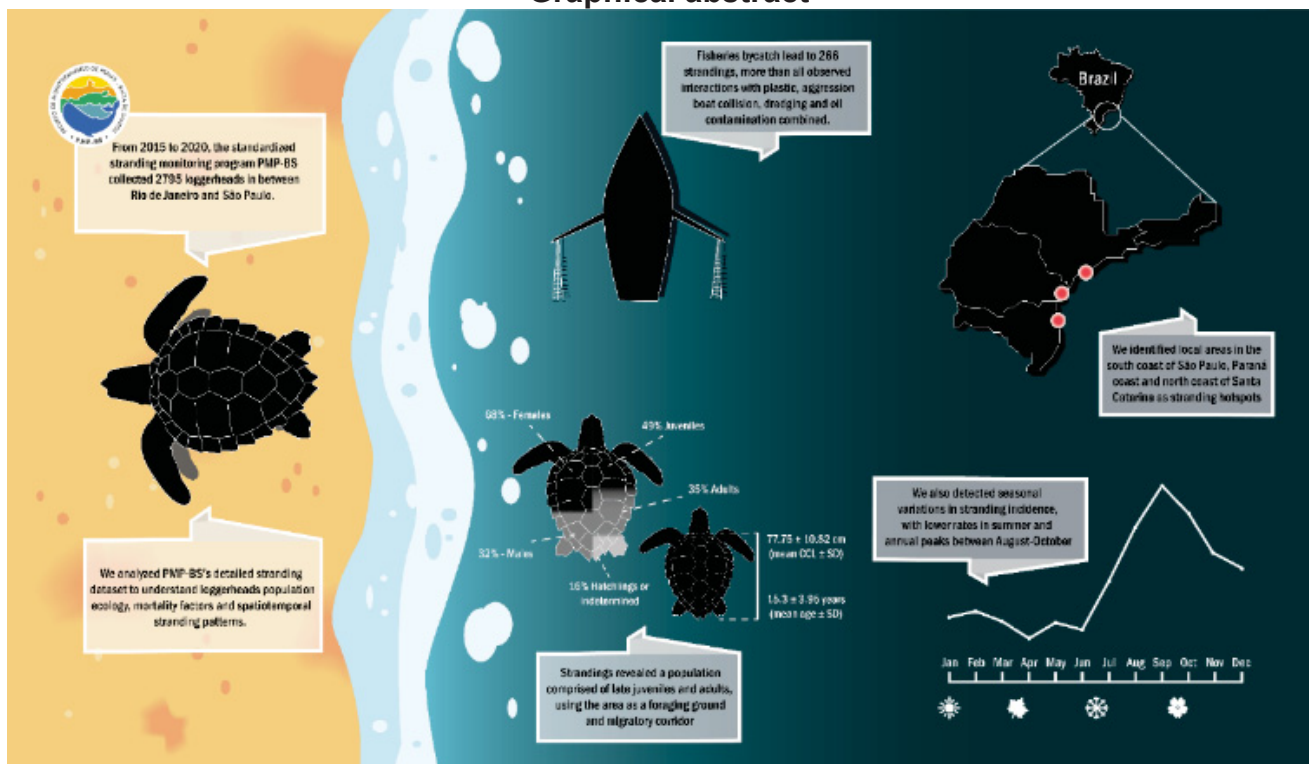
11                   <sup>c</sup> Laboratório de Ecologia e Conservação, Center for Marine Studies, Universidade  
12 Federal do Paraná, Pontal do Paraná, Paraná, Brazil.

13  
14                   \* Corresponding author

15  
16                   \*\* Other collaborators from PMP-BS.

17

## Graphical abstract

18  
19  
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## Highlights

- 21 • **1** – Encalhes revelam mortalidade de juvenis tardios e, em maioria, fêmeas na região.
- 22
- 23 • **2** – Interações com pesca têm maior contribuição nas taxas de mortalidade.
- 24
- 25 • **3** – Encalhes se concentram entre inverno e primavera, em áreas de SP, PR e SC.
- 26
- 27 • **4** – Alta mortalidade sugere reavaliação do status de conservação da espécie na SWA-RMU.
- 28

29

30

## Resumo

31 Neste trabalho, exploramos os dados de encalhe de *Caretta caretta*  
 32 obtidos pelo Projeto de Monitoramento de Praias da Baía de Santos (PMP-BS), uma  
 33 condicionante do IBAMA para a exploração de petróleo e gás natural por parte da

34 PETROBRAS na bacia de Santos, para contribuir na elucidação de lacunas de  
35 conhecimento e orientar ações de conservação da espécie. Os encalhes obtidos pelo  
36 PMP-BS no período de 2015 a 2020, entre os estados do Rio de Janeiro e Santa  
37 Catarina, evidenciam alta mortalidade de *C. caretta* na região. Os 2795 encalhes se  
38 deram majoritariamente como de juvenis e adultos, com um comprimento curvilíneo  
39 de carapaça médio de 77,75 cm  $\pm$  SD 10,82. Além disso, observamos uma proporção  
40 sexual de 2:1 de fêmeas para machos e uma idade média de 15,3 anos ( $\pm$  3,95).  
41 Interações antropogênicas relacionadas à pesca e ingestão de plástico afetaram 266  
42 e 116 indivíduos, respectivamente. Observamos hotspots de encalhes no litoral sul de  
43 São Paulo, Paraná e norte de Santa Catarina, e uma variação sazonal com menores  
44 valores nos meses de fim de outono e começo de inverno (época de defeso para a  
45 pesca de camarão sete-barbas por arrasto) e com maiores valores no fim do inverno  
46 e primavera (temporada intensa de pesca de arrasto na região). De maneira geral,  
47 nossos resultados sugerem uma mortalidade não sustentável para a população de  
48 juvenis pertencente a unidade de manejo regional do Atlântico sudoeste (SWA-RMU),  
49 e indicam a necessidade de novas análises acerca da categorização de risco de  
50 extinção da espécie na região, considerando os impactos sob esta fase de vida da  
51 espécie.

## 52 **Palavras-chave**

53 Tartaruga-cabeçuda; Taxas de encalhe; Ecologia populacional; Captura  
54 incidental; Impactos à conservação.

## 56 **Highlights**

- 57 • **1** – Loggerhead strandings reveal mortality rates of primarily females  
58 and late juveniles.

- 59           • **2** – Mortality rates are mainly affected by fisheries interactions.
- 60           • **3** – Higher stranding incidence from winter to spring, with hotspots in
- 61           SCSP, PC and SCSC.
- 62           • **4** – Mortality rates suggest a species' conservation status reevaluation
- 63           in the SWA-RMU.

#### 64           **Abstract**

65           This study evaluated *Caretta caretta* stranding data obtained by the Santos  
66 Basin Beach Monitoring Project (PMP-BS), an IBAMA constraint related to oil and  
67 natural gas exploration in the Brazilian coast by PETROBRAS, to contribute in  
68 elucidating knowledge gaps and improving impact mitigation actions for the species  
69 conservation. The strandings were obtained by PMP-BS from 2015 to 2020, in  
70 between Rio de Janeiro and Santa Catarina Brazilian states. The total of 2795 stranded  
71 individuals revealed high *C. caretta* mortality indices for the region, composed mainly  
72 by late juveniles and adults with a mean curved carapace length of 77.75 cm ( $\pm$  SD  
73 10.82). We also identified a sexual proportion of 2 females to one male and a mean  
74 age of 15.3 years ( $\pm$  3.95 SD). Anthropogenic interactions related to bycatch and  
75 plastic ingestion directly affected 266 and 116 loggerheads. We detected stranding  
76 hotspots in SCSP, PC, and SCSC mesoregions, with seasonal incidence increasing  
77 from winter to spring and decreasing from October to May (shrimp trawling suspension  
78 period). Overall, our results suggest non-sustainable mortality rates for juvenile  
79 loggerheads and indicate the need to reevaluate the species' "Least Concerned"  
80 conservation status in the SWA-RMU.

#### 81           **Keywords**

82           Loggerhead; Stranding rates; Population ecology; Bycatch; Conservation  
83 impacts.



## 84           **1. INTRODUCTION**

85           The loggerhead sea turtle *Caretta caretta* Linnaeus, 1758 (Cheloniidae), is one  
86 of five sea turtle species in Brazilian territory. This species is globally classified as  
87 “Vulnerable” by IUCN (International Union for Conservation of Nature), particularly due  
88 to the exposition to an array of multiple and cumulative impacts (Casale and Tucker,  
89 2017; Flint et al., 2015; López-Mendilaharsu et al., 2020a; Marcovaldi and Chaloupka,  
90 2007; Santana et al., 2011). Some of the known threats that can directly lead to death  
91 and stranding of *C. caretta* are fisheries interactions, vessel collisions, hunting, and  
92 other multiple sources of pollution and habitat degradation (Braga and Schiavetti,  
93 2013; Cantor et al., 2020a; Farias et al., 2019; Flint et al., 2017, 2015; Kotas et al.,  
94 2004; Nogueira and Alves, 2016; Sales et al., 2008a; Santana et al., 2011; Tagliolatto  
95 et al., 2020).

96           In the southwest Atlantic Ocean, the leading mortality cause of *C. caretta* is  
97 fisheries bycatch by trawling and surface pelagic longlines (Kotas et al., 2004; López-  
98 Mendilaharsu et al., 2020a; Pinedo and Polacheck, 2004; Tagliolatto et al., 2020).  
99 Bottom trawling is known to induce mortality of bycaught neritic adult and juvenile  
100 loggerheads, operating alongside the Brazilian coast, within the Exclusive Economic  
101 Zone (López-Mendilaharsu et al., 2020b; Tagliolatto et al., 2020). Nevertheless,  
102 pelagic surface longlines are threats mainly to oceanic loggerhead juveniles as the  
103 fleets are actively fishing in international waters in the southwest Atlantic Ocean  
104 (López-Mendilaharsu et al., 2020a; Marcovaldi et al., 2006; Monteiro et al., 2016a;  
105 Sales et al., 2008b). Notwithstanding, the mortality rates caused by anthropogenic  
106 interactions are still likely underestimated (Flint et al., 2015; Hart et al., 2005; Kotas et  
107 al., 2004; López-Mendilaharsu et al., 2020b). In addition, unobserved deaths of  
108 individuals released after capture, difficulties in assessing chronic effects of pollutants

109 and health issues, and unknown fishing data are factors that hinder the knowledge on  
110 the impacts that multiple human activities cause and how to mitigate them (Flint et al.,  
111 2015; Hart et al., 2005; Kotas et al., 2004). Furthermore, knowledge gaps regarding  
112 populational ecology, habitat use, and connectivity can also restrain mitigation actions  
113 and the effectiveness of the species' conservation (Hays, 2008; Wildermann et al.,  
114 2018).

115 The characterization of Regional Management Units (RMU) proposed to assess  
116 sea turtle populations' statuses provided a framework for identifying available  
117 information and knowledge gaps concerning regional populations or subpopulations  
118 (Wallace et al., 2010). Along the Brazilian coast, the behavior of nesting *C. caretta*  
119 females has been intensively studied on rookery areas in Bahia, Espírito Santo, Rio  
120 de Janeiro, and Sergipe states (C. Baptistotte et al., 2003; Marcovaldi et al., 2010a;  
121 Marcovaldi and Chaloupka, 2007; Santana et al., 2011; Tagliolatto et al., 2020; Tiwari  
122 and Bjorndal, 2015). However, there are many knowledge gaps concerning, in  
123 particular, the neritic individuals aggregated on foraging areas and migration routes,  
124 as they are further less accessible than in-land nesting turtles (Hays, 2008; Marcovaldi  
125 et al., 2010a; Rees et al., 2016; Wildermann et al., 2018).

126 Implementing different technologies and analytical approaches has been the  
127 key to increasing data regarding foraging grounds and migratory routes, as recent  
128 studies using stable isotopes, satellite tags, and in-water surveys demonstrate (Casale  
129 et al., 2012a; Fuentes et al., 2020; Marcovaldi et al., 2010a; Pajuelo et al., 2012;  
130 Peckham et al., 2011; Thums et al., 2013). Unfortunately, most applied methodologies  
131 are still expensive and logistically challenging to maintain for extended periods or to  
132 cover large areas (Cantor et al., 2020a; Casale et al., 2012a; Fuentes et al., 2020;  
133 Marcovaldi et al., 2010a; Pajuelo et al., 2012). Nonetheless, strandings are relatively

134 common events and can provide important information at a high cost-benefit (Cantor  
135 et al., 2020a; Monteiro et al., 2016a; Peltier et al., 2014; Peltier and Ridoux, 2015;  
136 Tagliolatto et al., 2020).

137 Stranding data obtained in a systematic and standardized way allows inferences  
138 on habitat use patterns, ecology, mortality causes, species diversity, population  
139 dynamics, and main threats affecting those species (Cantor et al., 2020a; Meager and  
140 Sumpton, 2016; Monteiro et al., 2016a; Peltier et al., 2016, 2014, 2012; Peltier and  
141 Ridoux, 2015; Santos et al., 2018a; Tagliolatto et al., 2020). Additionally, standardized  
142 stranding data can be considered a reliable indicator of environmental quality and  
143 populational health, thus being considered priority data for local management and  
144 predictive models (Cantor et al., 2020a; Monteiro et al., 2016a; Peltier et al., 2016;  
145 Tagliolatto et al., 2020).

146 Also, pairing stranding data with oceanic and atmospheric information can allow  
147 inferences on which environmental and anthropogenic factors influence the most on  
148 mortality and drift of *C. caretta*, as well as the likely location of mortality while  
149 highlighting stranding hotspots (Cantor et al., 2020a; Flint et al., 2015; Meager and  
150 Sumpton, 2016; Monteiro et al., 2016a; Peltier et al., 2016, 2014, 2012; Peltier and  
151 Ridoux, 2015; Tagliolatto et al., 2020). The potential use of stranding data makes  
152 stranding monitoring associated with mortality analysis assessment crucial to identify  
153 the most significant threats affecting the species conservation, therefore assuring that  
154 the methodology is globally recognized as a priority action for sea turtles' conservation  
155 (IUCN - MTSG).

156 In Brazil, the Environment Ministry highlights stranding monitoring actions as a  
157 priority activity in the National Action Plan for the Conservation of Sea Turtles  
158 (Marcovaldi et al., 2011). Therefore, systematic monitoring has been carried out on

159 beaches located in the states of Rio de Janeiro, São Paulo, Paraná, and Santa  
160 Catarina, comprising southeast and southern Brazil, since 2015/2016. The Santos  
161 Basin Beach Monitoring Project (*Projeto de Monitoramento de Praias da Bacia de*  
162 *Santos - PMP-BS*) is one of the monitoring programs required by Brazil's federal  
163 environmental agency, IBAMA, for the environmental licensing process of oil  
164 production and transport by Petrobras at the pre-salt province (25°05'S 42°35'W a  
165 25°55'S 43°34'W). To evaluate the possible impacts of these activities on sea turtles,  
166 marine mammals, and seabirds, the main activity of the PMP-BS is to monitor  
167 approximately 1700km of coastline, recording any stranding occurrences and providing  
168 veterinary care for rehabilitation or necropsy of individuals found stranded (Petrobras,  
169 2017). The daily monitoring effort has produced, so far, a five-year database with more  
170 than 2700 strandings of *C. caretta*, available at the Monitoring Information System of  
171 Aquatic Biota (Petrobras, 2017). Each stranding is characterized by information on  
172 biological (such as biometric data, age, development stage, and sex of the animals)  
173 and environmental parameters (location and date of occurrence); regarding the  
174 carcass decomposition state and the anthropogenic interactions that may have  
175 affected the physical or health condition of the animals (IBAMA, 2018).

176         Notwithstanding the above, this detailed stranding dataset was analyzed to i)  
177 inferring on the populational structure of loggerheads; ii) evaluate the space-temporal  
178 distribution and mortality patterns of stranded loggerheads; iii) determine main  
179 anthropogenic threats affecting *C. caretta*. Therefore, we aim to access and solve gaps  
180 essential to establishing priority areas for the conservation of loggerheads in the  
181 Southwest Atlantic RMU (Rees et al., 2016; Wallace et al., 2010; Wildermann et al.,  
182 2018).

183

## 184           **2. METHODS**

### 185           **2.1. Data sampling**

186           The standardized monitoring program executed by the PMP-BS covered a  
187 coastal area comprising more than 1000km of coastline, between Saquarema (22° 93'  
188 S, 42° 36' W) and Laguna (28° 29' S, 48° 45' W), within the states of Rio de Janeiro,  
189 São Paulo, Paraná, and Santa Catarina. Most of the area is covered daily by foot or  
190 vehicles (mainly by cars, boats, and bicycles) during low tides; however, a few sectors  
191 are monitored weekly.

192           A network of 13 institutions perform the monitoring, all trained to apply a single  
193 methodological protocol (e.g. species identification, biometric measures, and other  
194 actions). Stranded animals, dead or alive, were collected and transported by the field  
195 teams to their respective laboratories for rehabilitation or necropsy. Standardized data  
196 from the stranding site and procedures executed posteriorly for each occurrence are  
197 added to a unified online database (SIMBA), available at  
198 <https://simba.petrobras.com.br>. Technical teams confirmed the individual species  
199 through morphological and biometric assessment. Biological samples are collected  
200 following standardized protocols, with specific guidelines for different taxa and carcass  
201 decomposition codes. Necropsy teams determined loggerheads' sex and development  
202 stage based on macro and micro histological characterization of gonadal development  
203 whenever possible. For individuals in which it was not viable to perform histologic  
204 determination of development stage, animals were classified as adults when curved  
205 carapace length were larger than 83 cm, which is the minimum value observed at the  
206 nearest nesting site (Baptistotte et al., 2003). When feasible, skeletochronology is  
207 applied to estimate age, analyzing histologically annual growth marks on humerus  
208 bone sections (Zug et al., 1986).

209

## 210 **2.2. Data analysis**

211 The stranding dataset was downloaded from SIMBA, and we checked the  
212 obtained information to remove and correct potential errors made during data collection  
213 or upload. Then, we proceeded to the unification of the different data frames  
214 downloaded and exploratory analysis in the R environment, version 4.0.3 (R Core  
215 Team, 2020), Microsoft Excel (2011), and QGIS, version 3.10.10 (2020). For  
216 exploratory analysis, stranding location (latitude and longitude), date and condition of  
217 the carcass (decomposition code state: from 2 to 5), were considered as stranding  
218 variables; body size (curved carapace length - CCL), sex (female and male), and  
219 development stage (hatchling, juvenile, and adult classes) were considered as  
220 individual variables. Furthermore, the causes of death (Factor responsible for primary  
221 lesion), health index evaluation (organs afflicted by diseases or external agents), and  
222 body condition (great, good, bad, or terrible) of each animal, in addition to the indicative  
223 indexes of anthropogenic interaction, were considered health/impact variables. Aware  
224 of decomposition's effect on information obtainability, we decided to keep COD 5  
225 (mummified individuals) carcasses from specific analysis. Using a Kernel Density  
226 Estimation, we plotted exploratory analysis in various stranding density maps in QGIS  
227 through the Heatmap plugin. We used a 2.4 mm influence radius as a standard.

228 The study area was split into 11 mesoregions characterized by geopolitical and  
229 physiographic attributes (ex. geomorphology) to evaluate stranding spatiotemporal  
230 distribution patterns (Cantor et al., 2020b; MMA, 2007). We analyzed the variation in  
231 stranding patterns regarding biological, mortality, and spatiotemporal factors by  
232 comparing mean, maximum, and minimum values, standard deviations, as well as  
233 observed frequencies and percentual. We applied Shapiro-Wilk tests to detect

234 normality distribution within the dataset variables (Shapiro and Wilk, 1965). For non-  
235 parametrical data, we performed Kruskal-Wallis and Wilcoxon's rank tests to identify  
236 statistical differences between observed stranding factors (Kruskal and Wallis, 1952;  
237 Zar, n.d.).

238

### 239 **3. RESULTS**

#### 240 **3.1. Stranding patterns**

241 Between September 3<sup>rd</sup>, 2015, and April 7<sup>th</sup>, 2020, the PMP-BS field team  
242 covered about 1.62 million kilometers along the Brazilian coast, recording 2795 *C.*  
243 *caretta* stranded along the coastline. Daily monitoring of 782.91 km occurred for the  
244 1678 days in our dataset and is responsible for 81.19% of the total distance covered,  
245 while the remaining 18.81% were covered weekly.

246 Most of the individuals were recorded in advanced decomposition state  
247 (decomposition codes 4), corresponding to 88.05% (n = 2022) of the total sample:  
248 Code 1 (alive) n = 75; Code 2 (fresh carcasses) n = 37; Code 3 (decomposed  
249 carcasses) n = 222; Code 5 (mummified) n = 439.

250 Concerning biological attributes, only 33.13% had sex determined, as the  
251 decomposition state hampered the detection; among those, 68% (n=634) were  
252 females and 32% (n=292) males. Juveniles (49%, n= 807) were the most frequent  
253 individuals, however adults (35%; n= 585) and a few hatchlings (n= 04) were also  
254 recorded. Age was estimated for 392 individuals, ranging from 6 to 29 years old (15.3  
255 years  $\pm$  3.95; mean $\pm$ SD). The average curved carapace length (CCL) was 77.75 cm  $\pm$   
256 10.82 (mean $\pm$ SD), with a median of 77.20 cm, reinforcing the majority of juveniles  
257 (Figure 1). A Shapiro test revealed a *p-value* < 0.05, indicating that *C. caretta* CCL  
258 does not follow a normal distribution in this dataset, and a Kruskal-Wallis test did not

259 reveal a statistically significant difference between females and males CCL ( $p$ -value =  
260 0.979). For *C. caretta* ages, we observed a non-normal distribution (Shapiro test  $p$ -  
261 value < 0.05) and a significant difference between ages of loggerheads with no  
262 determined sex in comparison to males and females (Kruskal-Wallis test  $p$ -value <  
263 0.01). Further variations in age and CCL for varying sexes, development stages, and  
264 strandings' spatial and temporal distributions can also be visualized in Figure 1 and  
265 Figure 3.

266 External evidence of anthropogenic interactions was investigated for 905  
267 individuals and confirmed on 352, with interaction intensity being classified on three  
268 different levels. We observed fishing gear interactions for 63.35% of those individuals  
269 (mean CCL±SD; 78.00 ±10.49 cm - mean age±SD; 15.02±3.57 years). Debris  
270 ingestion was observed in 32.95% (mean CCL±SD; 70.50 ±15.94 cm - mean age±SD;  
271 14.72±4.07 years); Boat collision or interaction with dredges was observed in 11.34%  
272 of individuals (mean CCL±SD; 81.32 ±12.21 cm - mean age±SD; 14.71±2.69 years);  
273 Vandalism and aggression evidence was accounted for 5.96% of individuals (mean  
274 CCL±SD; 79.37 ±10.27 cm - mean age±SD; 15.40±2.22 years). Regarding individual  
275 health attributes, 765 individuals were classified in great or good body condition  
276 instead of 151 in bad or terrible; however, body condition evaluation was not viable for  
277 72.63% of the records due to their decomposition state. The primary cause of death  
278 was determined only for 61 *C. caretta* (code 2 and 3), and anthropogenic actions  
279 resulted directly in 55.73% of such strandings. Death by natural causes accounted for  
280 44.26% of strandings, and euthanasia procedures were necessary for three *C. caretta*.  
281 Regarding pathologies identified during necropsies, a total of 57 loggerheads  
282 presented lesions on their digestive organs. In addition, lesions in respiratory systems  
283 were crucial factors influencing the death of other 49 individuals, circulatory systems



284 for 24, and muscular systems for 16 loggerheads. Finally, we determined *causa mortis*  
285 as drowning for 41 individuals, physical agent interaction for 39, trauma for 20, and  
286 infection for 17 loggerheads. Variations in body size, age, spatiotemporal distribution  
287 and frequency of strandings affected by anthropogenic activities can be visualized in  
288 Figures 5, 6, 7, 8 and 9.

289

### 290 **3.2. Spatiotemporal patterns of *C. caretta* strandings**

291 Loggerhead turtle's strandings occurred along the entire monitored area;  
292 however, the stranding distribution is heterogeneous, with concentrations on the south  
293 coast of São Paulo, Paraná coast, and north coast of Santa Catarina Mesoregions  
294 (Figure 10). The stranding spatial patterns differed between fresh and decomposed to  
295 advanced decomposition states (Figure 4), with São Paulo being a stranding hotspot  
296 for both categories. Female and male loggerheads differed in the number and location  
297 of strandings across the study area. The core stranding areas for female individuals  
298 were in São Paulo, Paraná, and Santa Catarina. On the other hand, male loggerhead  
299 strandings spread out more and were only slightly concentrated in Paraná and São  
300 Paulo (Figure 2).

301 Loggerheads with evident signs of anthropogenic interactions were mainly  
302 found in southern São Paulo, followed by the Paraná coast. Conversely, strandings  
303 that did not present such evidence were recorded primarily on the north coast of São  
304 Paulo (Figure 5). A slight variation in average body size (CCL) was also observed for  
305 stranded *C. caretta* across mesoregions and austral seasons (Figure 1, Figure 10 and  
306 Figure 11), mainly as Paraná coast individuals were significantly smaller (Kruskal-  
307 Wallis test p-value < 0.05) than those in other areas, and individuals registered in  
308 summer were significantly larger for all areas (Kruskal-Wallis test p-value < 0.05). The

309 incidence of loggerhead strandings also varied across time. There is a substantial  
 310 increase in strandings between July and November, suggesting an influence of winter  
 311 and spring in these events (Figure 11). Additionally, the winter of 2018 had an unusual  
 312 peak of strandings that should be better explored (Figure 6).

313

314 **Table 1: Biological data of loggerhead turtles stranded along the S-SE Brazilian**  
 315 **coast, stratified by sex and decomposition codes.**

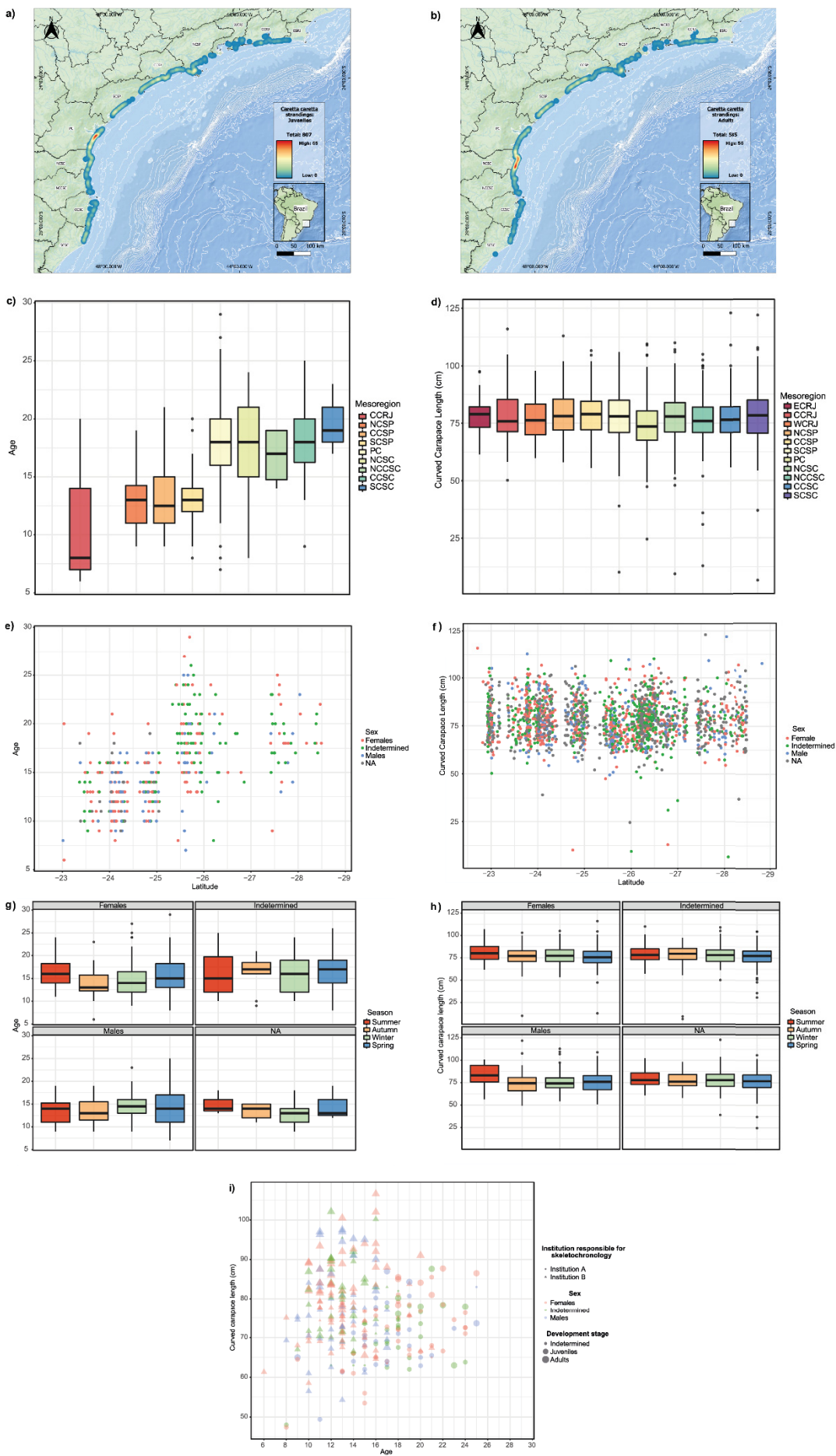
		Strandings in codes 2 and 3			Strandings in code 4		
		Females	Males	Indeterminate	Females	Males	Indeterminate
<b>Total</b>		120	62	77	482	217	1323
<b>Development stages</b>	Adults	50	16	16	177	69	237
	Juveniles	69	44	17	262	118	257
	Hatchlings	0	0	1	0	0	1
<b>Curved carapace length (cm)</b>	Maximum	116	104	100	106.6	122	123
	Mean ( $\pm$ SD)	77.56 ( $\pm$ 10.71)	74.85 ( $\pm$ 12.08)	78.90 ( $\pm$ 10.82)	78.29 ( $\pm$ 9.91)	77.65 ( $\pm$ 11.74)	78.92 ( $\pm$ 10.83)
	Minimum	58.5	56.5	49.4	47.4	54.3	31
<b>Body condition</b>	Great or good	94	43	28	247	106	223
	Bad or terrible	14	15	9	31	17	33
<b>Cause of death</b>	Anthropogenic	19	10	5	19	12	17
	Natural	15	12	0	9	0	1
	Euthanasia	0	0	0	0	0	0
<b>Evidence of anthropic interactions</b>	Yes	55	35	14	92	48	76
	No	44	20	18	143	62	237
<b>Causa mortis</b>	Drowning	14	8	1	9	1	2
	Physical agent	7	6	4	7	6	4
	Trauma	3	0	1	5	5	9
	Infection	3	2	0	4	0	2
<b>Age (years)</b>	Mean ( $\pm$ SD)	15.28 ( $\pm$ 3.73)	14.06 ( $\pm$ 3.28)	15.31 ( $\pm$ 3.94)	15.01 ( $\pm$ 4.46)	14.17 ( $\pm$ 3.89)	15.30 ( $\pm$ 3.95)

316

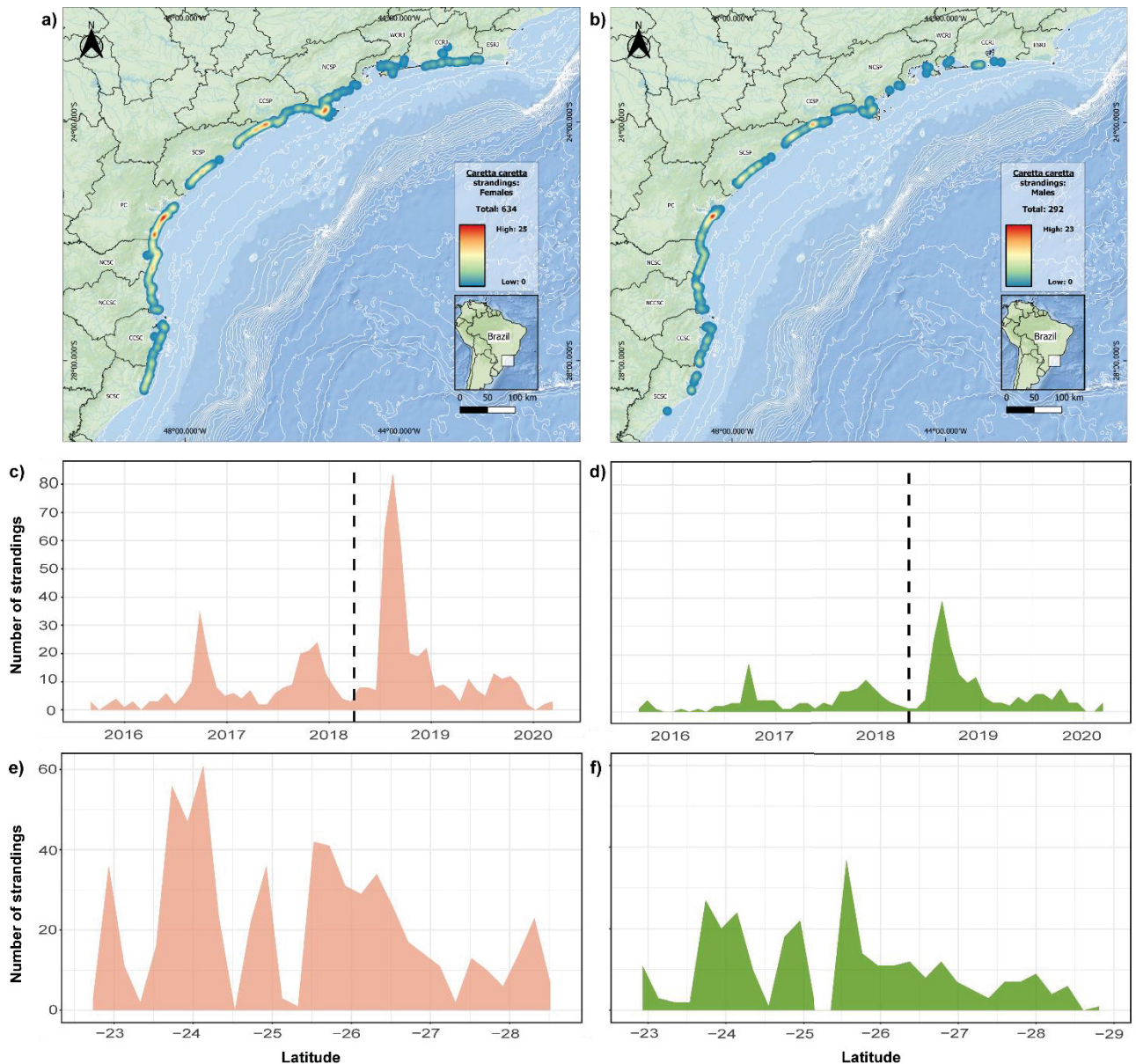
317

318 **Table 2: Size, age, and the number of strandings for female and male**  
 319 **loggerheads through mesoregions and austral seasons.**

		Mesoregions										
		ECRU	CCRU	WCRU	NCSP	CCSP	SCSP	PC	NCSC	NCSC	CCSC	SCSC
<b>Females</b>	Summer	81	86.7 (±12.0)	-	94	79.1 (±10.7)	83.7 (±10.4)	71.4 (±7.55)	86.9 (±13.1)	82.8 (±11.9)	80.5	80.0 (±10.7)
	Autumn	-	75.9 (±9.11)	77	83.6 (±16.3)	77.9 (±6.70)	74.4 (±20.3)	68.8 (±1.13)	78.4 (±8.80)	80.4 (±14.5)	79.3 (±4.48)	78.2 (±17.4)
	Winter	88.4 (±15.5)	75.3 (±7.03)	67.7 (±4.82)	81.8 (±8.50)	80.4 (±9.79)	77.4 (±8.42)	76.4 (±10.1)	76.5 (±8.44)	78.3 (±10.8)	76.6 (±8.25)	79.1 (±9.46)
	Spring	81.6 (±0.354)	80.8 (±15.7)	73.5 (±9.90)	79.4 (±11.3)	74.7 (±7.65)	79.9 (±10.7)	72.9 (±10.9)	80 (±11.9)	76 (±16.5)	72 (±10)	78.2 (±10.6)
<b>Curved carapace length (cm, mean ± SD)</b>												
<b>Males</b>	Summer	-	95.7	-	80.5 (±2.12)	90.6 (±14.0)	82.4 (±11.8)	82.2 (±2.55)	84.3	79 (±4.24)	71.2	88.2 (±18.0)
	Autumn	-	65.1	-	62	80.2 (±8.77)	72.4 (±6.47)	64.8 (±11.5)	79	76.4 (±7.50)	77.9 (±13.9)	99.6 (±27.4)
	Winter	-	72.2 (±3.15)	77.7 (±3.25)	86.3 (±15.3)	79.4 (±9.16)	76.0 (±10.7)	73.8 (±10.3)	73.9 (±11.5)	75.3 (±7.63)	71.3 (±6.76)	74.2 (±6.08)
	Spring	-	79.1 (±16.4)	75.7	94.2 (±0.495)	78.3 (±13.5)	76.9 (±11.5)	68.0 (±9.27)	79.6 (±8.00)	73.3 (±4.39)	86.0 (±22.9)	78.4 (±13.3)
<b>Age (years, mean ± SD)</b>												
<b>Females</b>	Summer	-	-	-	15	14.6 (±2.19)	14.8 (±2.22)	17.3 (±3.79)	24	-	-	20 (±2.83)
	Autumn	-	6	-	13	19	12.9 (±1.73)	15	-	-	20.5 (±3.54)	-
	Winter	-	-	-	14.7 (±2.58)	12 (±1.56)	13.1 (±2.49)	19.1 (±4.95)	21	15	19.4 (±4.22)	18 (±0)
	Spring	-	20	-	10.8 (±1.71)	14 (±4)	12.4 (±1.91)	17.4 (±3.94)	18 (±4.24)	-	17.2 (±5.45)	21
<b>Males</b>	Summer	-	-	-	16	11 (±0)	13 (±2.62)	-	19	-	-	-
	Autumn	-	-	-	-	12	11.5 (±3.54)	15 (±5.66)	17	-	13	-
	Winter	-	-	-	-	13 (±1.58)	13.8 (±2.23)	15.4 (±3.31)	-	-	17 (±2.45)	23
	Spring	-	8	-	-	10.8 (±0.5)	12.7 (±2.10)	17.7 (±5.48)	17.5 (±4.95)	-	17.5 (±0.71)	-
<b>Number of strandings</b>												
<b>Females</b>	Summer	1	10	-	1	12	7	8	9	5	1	10
	Autumn	-	6	1	4	6	16	5	11	5	7	9
	Winter	3	10	5	22	61	61	58	42	13	9	9
	Spring	2	9	3	14	32	30	43	24	23	13	17
<b>Males</b>	Summer	-	1	-	2	5	13	4	2	2	1	2
	Autumn	-	1	-	1	2	3	4	1	3	5	3
	Winter	-	3	2	7	21	29	31	17	11	8	3
	Spring	-	6	1	3	17	23	20	13	9	4	11



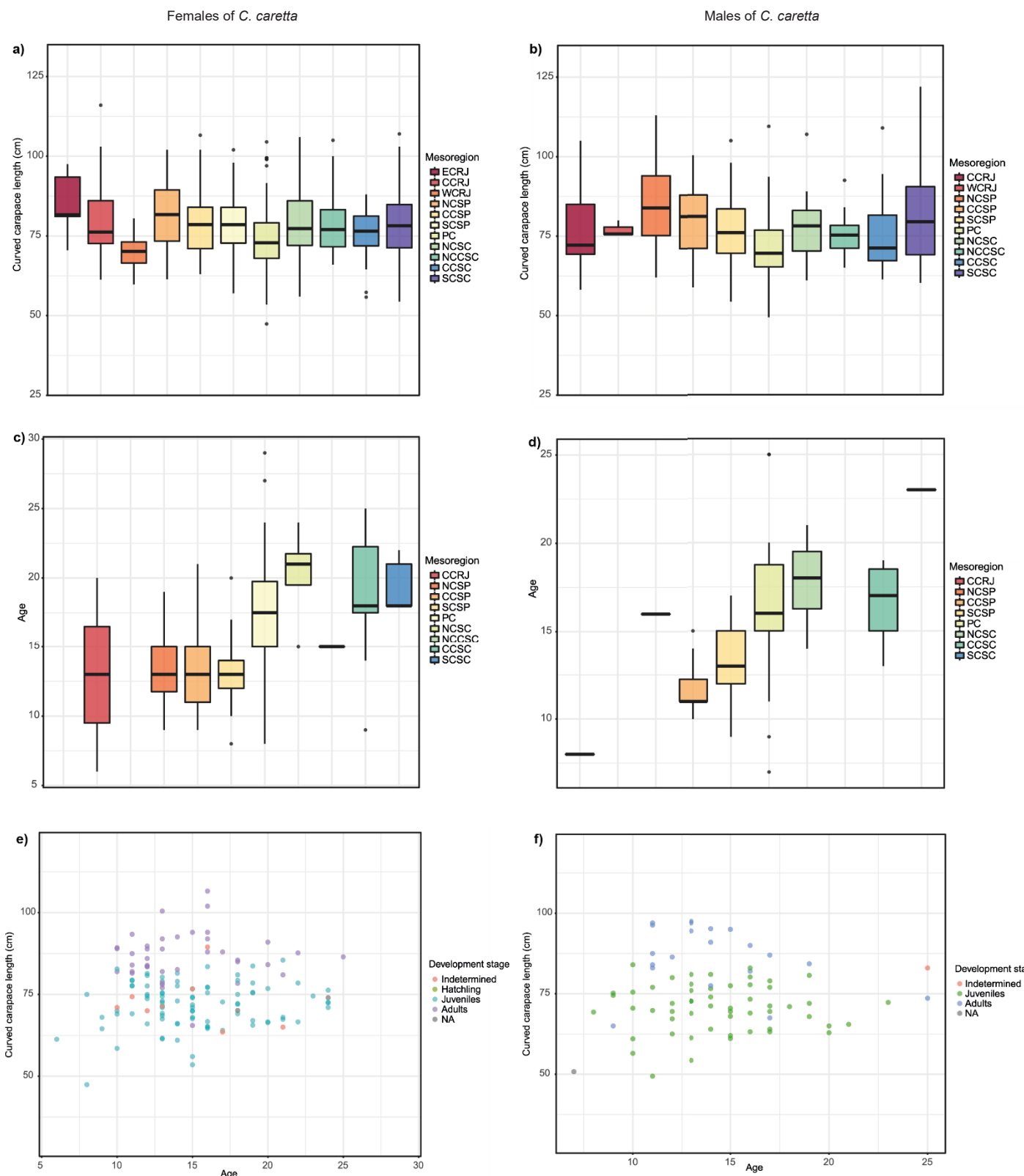
322 **Figure 1 – Populational parameters of stranded *C. caretta* along the south and**  
323 **southeastern Brazil, between 2015-2020 (PMP-BS database;**  
324 **<https://simba.petrobras.com.br>). a) Spatial distribution of juvenile *C. caretta***  
325 **strandings. b) Spatial distribution of adult *C. caretta* strandings. c) Variation of age,**  
326 **obtained by skeletochronology, through mesoregions. d) Variation of curved carapace**  
327 **length through mesoregions. e) Observed ages and their spatial distribution for each**  
328 **sex gender. f) Observed curved carapace lengths and their spatial distribution for each**  
329 **sex gender. g) Variation in age through austral seasons for each sex gender. h)**  
330 **Variation in curved carapace length through austral seasons for each sex gender. i)**  
331 **Relation between determined age, curved carapace length, and developmental stage**  
332 **for each sex-gender, accounting for variation in skeletochronology results obtained by**  
333 **both teams responsible for the analysis.**



334

335 **Figure 2 – Spatial and temporal distribution of strandings for female and male *C.***336 ***caretta* along the south and southeastern Brazil, between 2015-2020 (PMP-BS**337 **database; <https://simba.petrobras.com.br>). a) Spatial distribution of female *C.***338 ***caretta* strandings. b) Spatial distribution of male *C. caretta* strandings. c) Temporal**339 **distribution of female *C. caretta* strandings. d) Temporal distribution of male *C. caretta***340 **strandings. e) Variation of the number of strandings through latitude for female**341 **loggerheads. f) Variation of the number of strandings through latitude for female**342 **loggerheads.**

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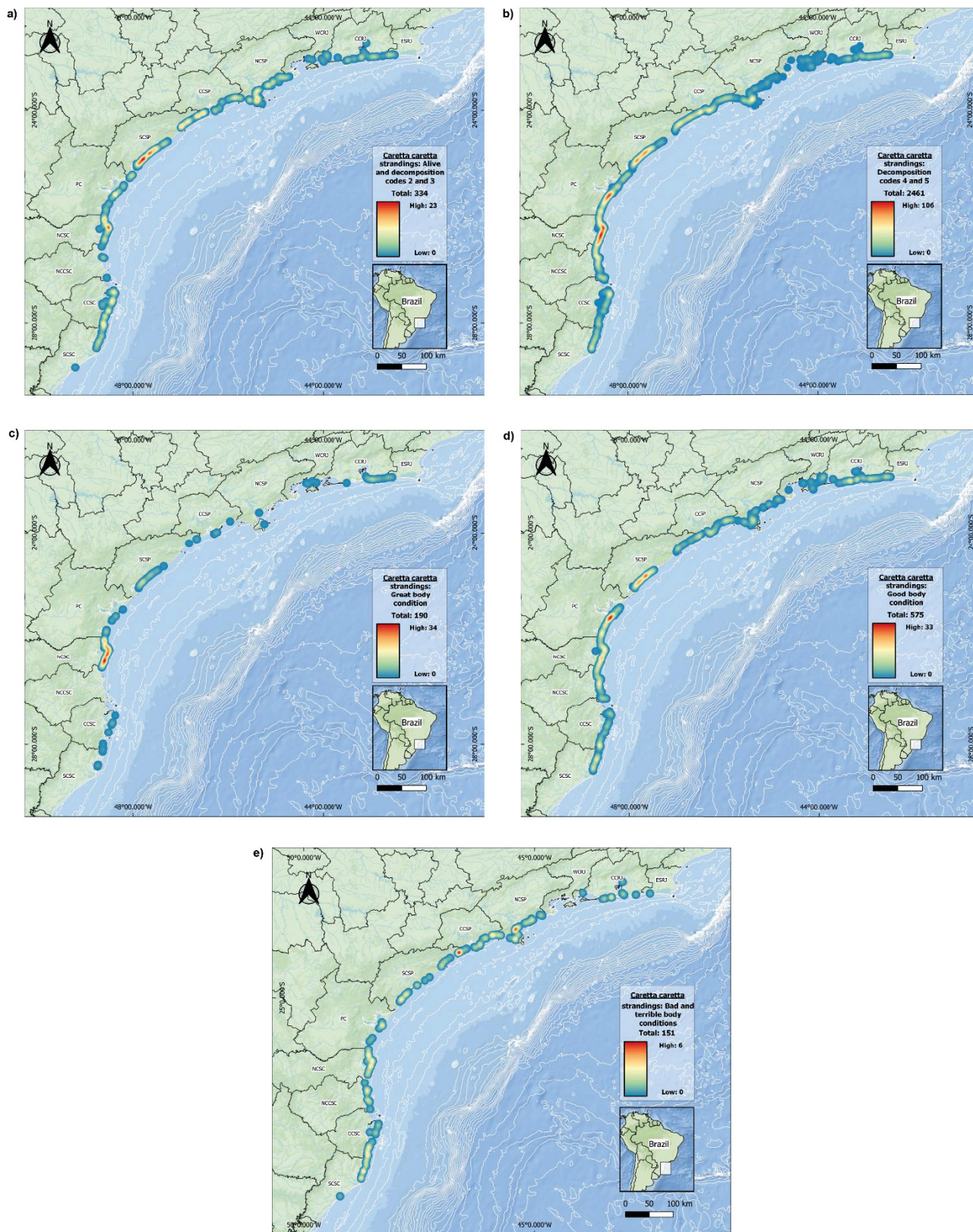
347 **Figure 3 – Populational parameters for female and male stranded *C. caretta* along**

348 **the south and southeastern Brazil, between 2015-2020 (PMP-BS database;**

349 **<https://simba.petrobras.com.br/simba/web/sistema/>). a) Distribution of the curved**

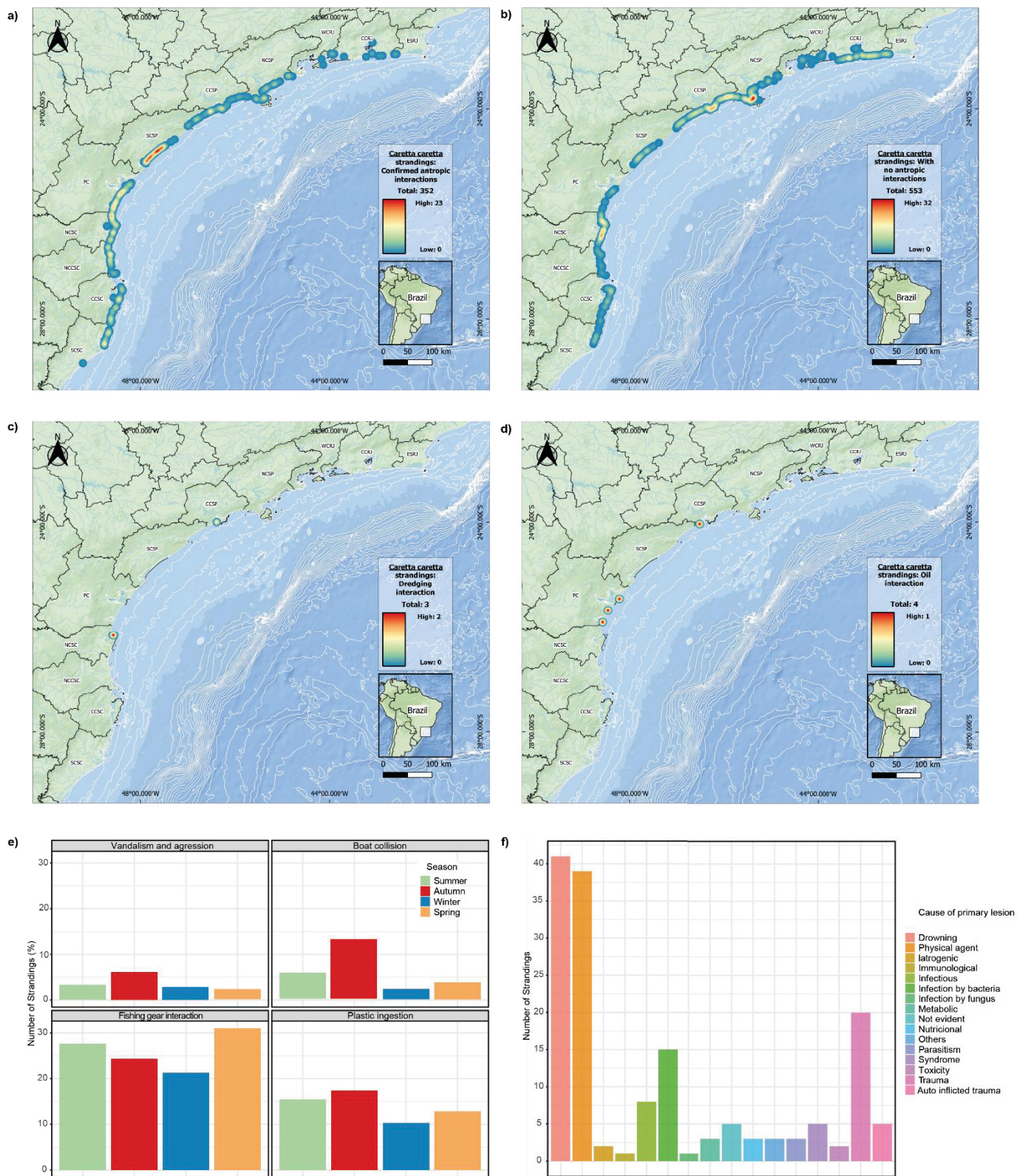
350 carapace length of female *C. caretta* through mesoregions. **b)** Distribution of the  
351 curved carapace length of male *C. caretta* through mesoregions. **c)** Distribution of the  
352 age of female *C. caretta* through mesoregions. **d)** Distribution of the age of male *C.*  
353 *caretta* through mesoregions. **e)** Relation between curved carapace length and age for  
354 female loggerheads in various developmental stages. **f)** Relation between curved  
355 carapace length and age for male loggerheads in various developmental stages.





356  
 357 **Figure 4 – Spatial distribution of *C. caretta* strandings in different decomposition**  
 358 **and body condition levels along the south and southeastern Brazil, between**  
 359 **2015-2020 (PMP-BS database;**

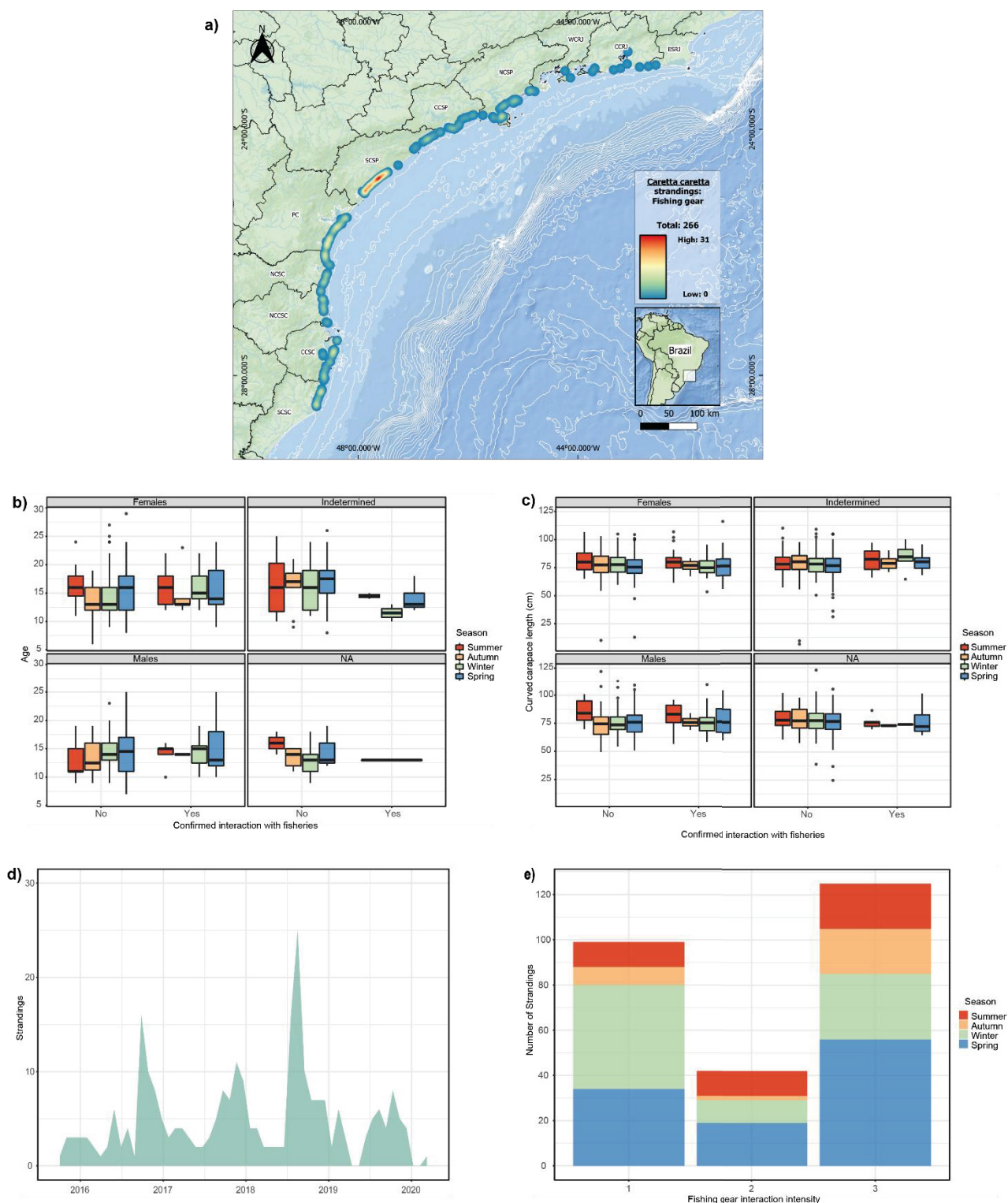
360 <https://simba.petrobras.com.br/simba/web/sistema/>). **a)** Spatial distribution of  
361 slightly decomposed *C. caretta* strandings. **b)** Spatial distribution of *C. caretta*  
362 strandings in advanced decomposition state. **c)** Spatial distribution of *C. caretta*  
363 strandings in great body condition. **d)** Spatial distribution of *C. caretta* strandings in  
364 good body condition. **e)** Spatial distribution of *C. caretta* strandings in bad and terrible  
365 body conditions.



366

367 **Figure 5 – Impact of anthropogenic activities on *C. caretta* strandings along the**  
 368 **south and southeastern Brazil, between 2015-2020 (PMP-BS database;**  
 369 **<https://simba.petrobras.com.br/simba/web/sistema/>). a) Spatial distribution of *C.***

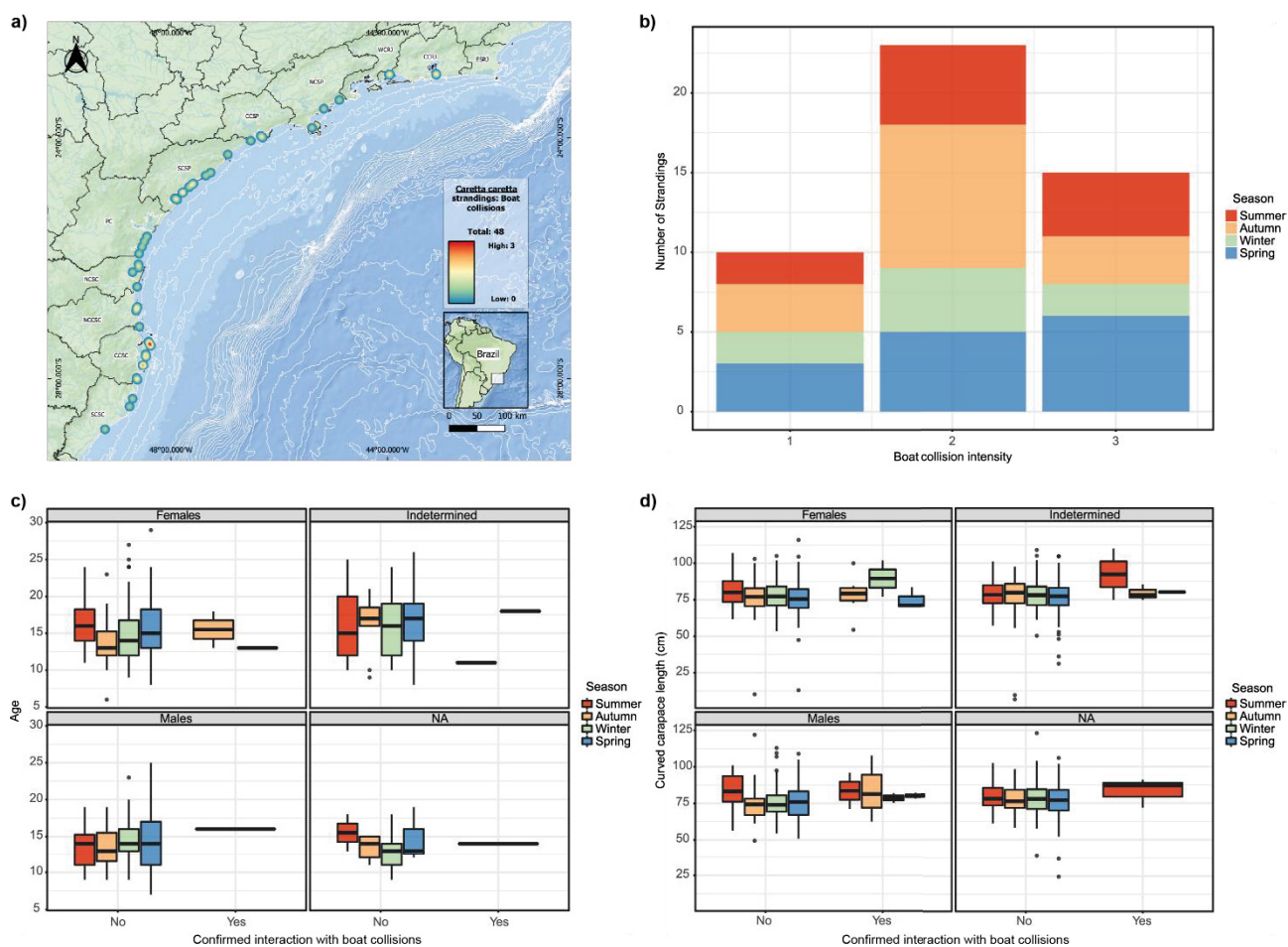
370 *caretta* strandings with confirmed anthropogenic interactions. **b)** Spatial distribution of  
371 *C. caretta* strandings with no confirmed anthropogenic interactions. **c)** Spatial  
372 distribution of *C. caretta* strandings caused by interaction with dredging. **d)** Spatial  
373 distribution of *C. caretta* strandings caused by interaction with oil. **e)** Percentage of  
374 strandings with confirmed anthropogenic interaction caused by each activity through  
375 austral seasons. **f)** Number of strandings resulted from each identified cause of primary  
376 lesion.



377

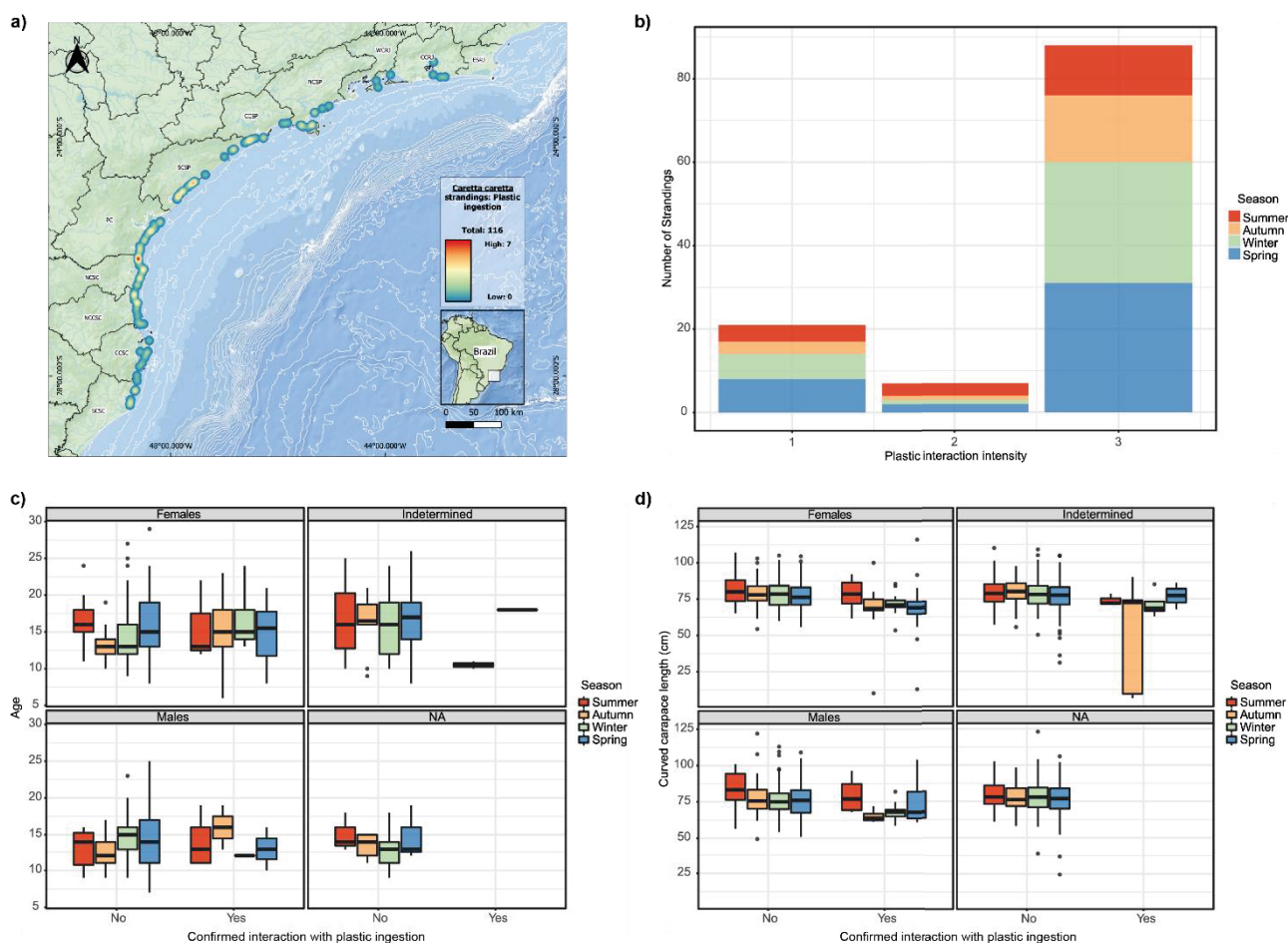
378 **Figure 6 – Patterns of *C. caretta* strandings caused by fisheries interaction along**  
 379 **the south and southeastern Brazil, between 2015-2020 (PMP-BS database;**  
 380 **<https://simba.petrobras.com.br/simba/web/sistema/>).** a) Spatial distribution of *C.*  
 381 *caretta* strandings with confirmed interaction with fisheries. b) Age variation of

382 loggerheads regarding fisheries interaction, sex gender, and austral seasons. **c)**  
 383 Curved carapace length variation of loggerheads regarding fisheries interaction, sex  
 384 gender, and austral seasons. **d)** Number of strandings affected by fisheries through  
 385 sampled years. **e)** Number of strandings for each intensity level of fisheries interaction  
 386 by austral seasons.

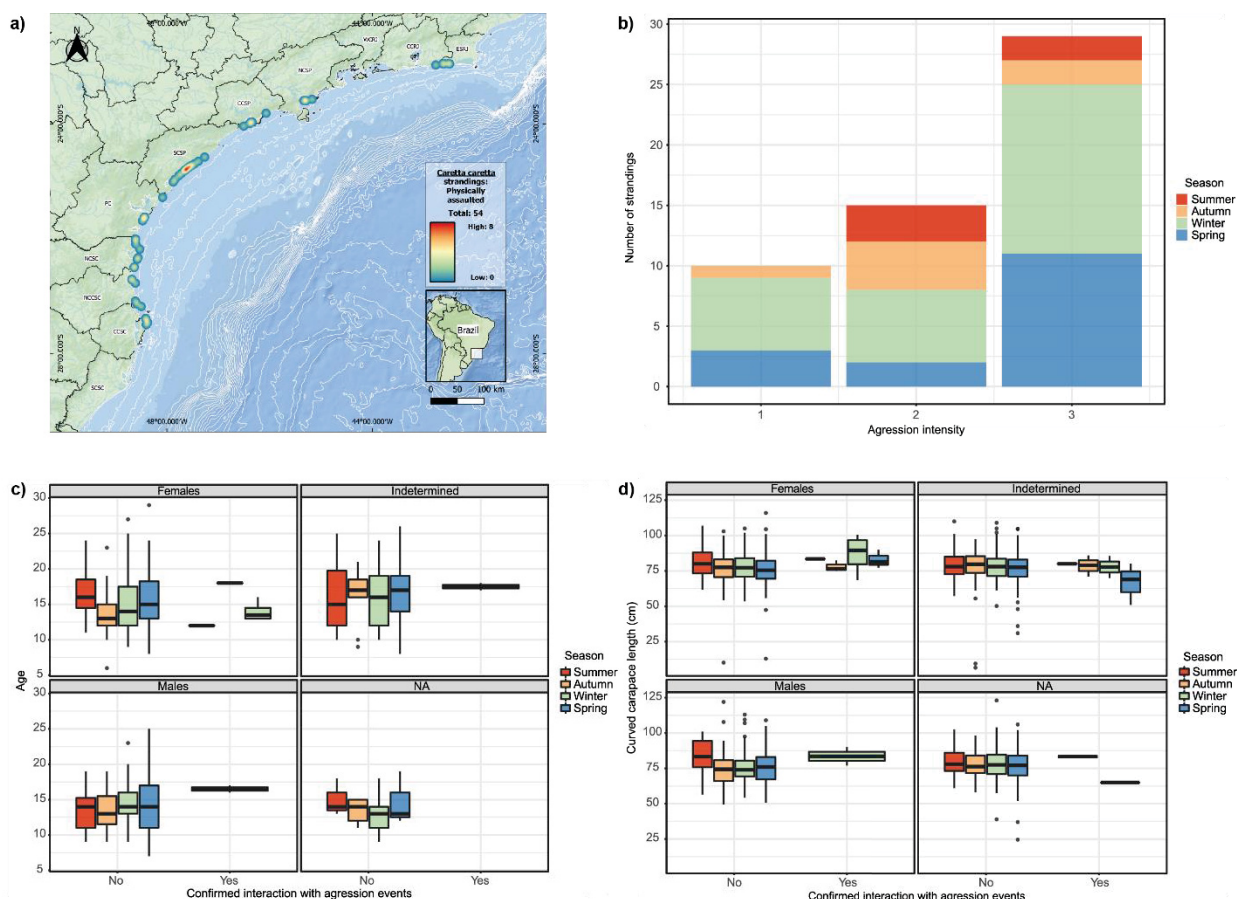


387 **Figure 7 – Patterns of *C. caretta* strandings caused by boat collisions along the**  
 388 **south and southeastern Brazil, between 2015-2020 (PMP-BS database;**  
 389 **<https://simba.petrobras.com.br/simba/web/sistema/>).** **a)** Spatial distribution of *C.*  
 390 *caretta* strandings with confirmed impact by boat collisions. **b)** Number of strandings  
 391 for each intensity level of interaction with boat collision by austral seasons. **c)** Age  
 392 variation of loggerheads regarding interaction with boat collisions, sex-gender, and  
 393

394 austral seasons. **d)** Curved carapace length variation of loggerheads regarding  
 395 interaction with boat collisions, sex-gender, and austral seasons.

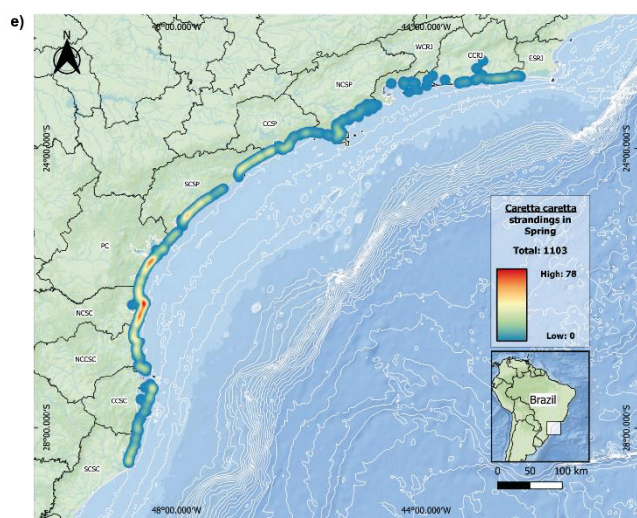
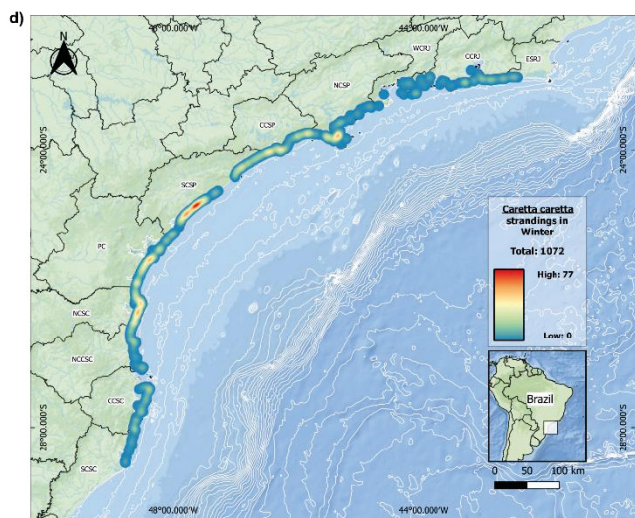
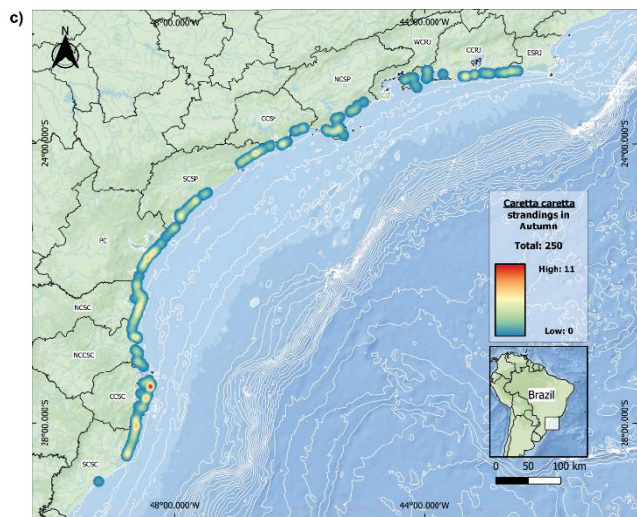
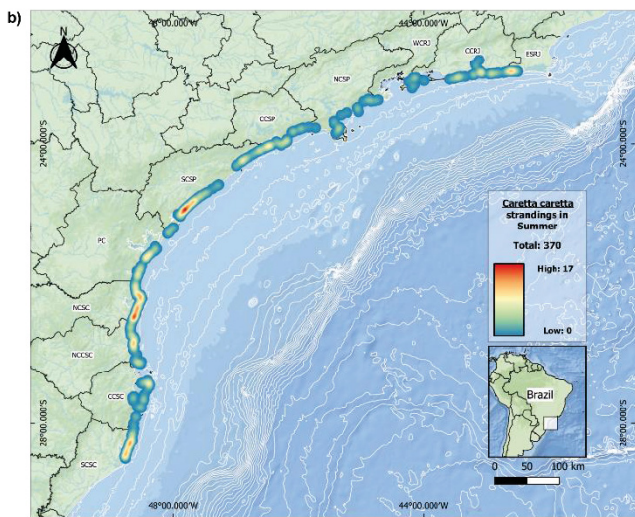
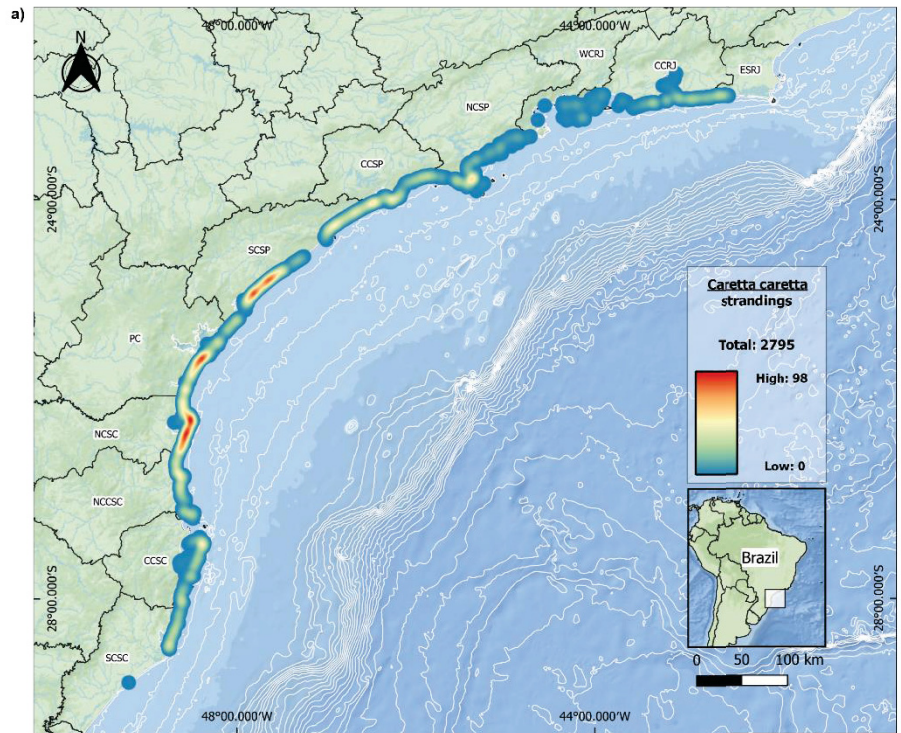


396  
 397  
 398 **Figure 8 – Patterns of *C. caretta* strandings caused by interactions with plastic**  
 399 **debris along the south, and southeastern Brazil, between 2015-2020 (PMP-BS**  
 400 **database; <https://simba.petrobras.com.br/simba/web/sistema/>). a)** Spatial  
 401 distribution of *C. caretta* strandings with confirmed impact by interaction with plastic  
 402 debris. **b)** Number of strandings for each intensity level of interaction with plastic debris  
 403 by austral seasons. **c)** Age variation of loggerheads regarding interaction with plastic  
 404 debris, sex-gender, and austral seasons. **d)** Curved carapace length variation of  
 405 loggerheads regarding interaction with plastic debris, sex-gender, and austral seasons.  
 406

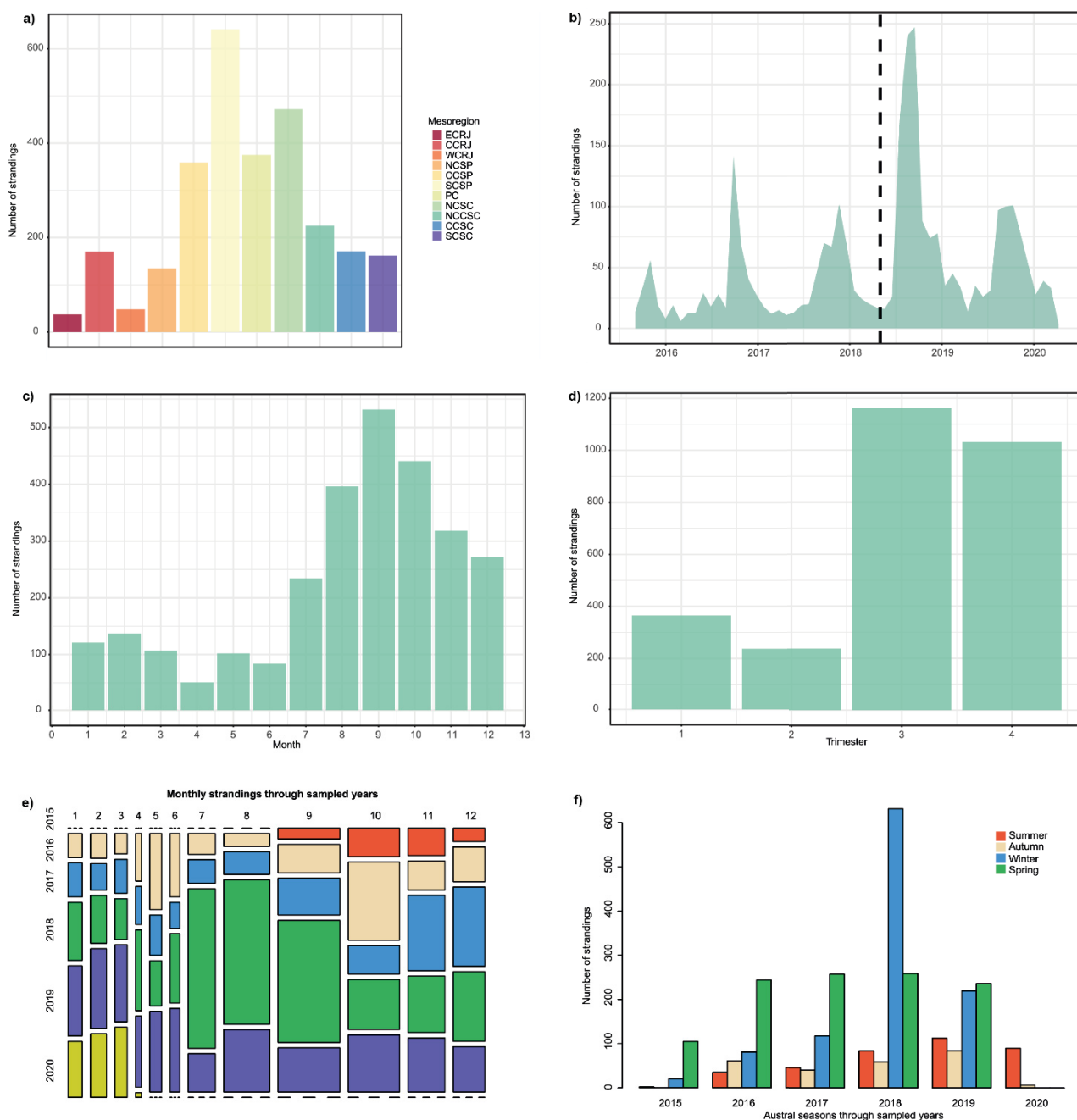


407  
 408 **Figure 9 – Patterns of *C. caretta* strandings caused by interactions by aggression**  
 409 **along the south and southeastern Brazil, between 2015-2020 (PMP-BS database;**  
 410 **<https://simba.petrobras.com.br/simba/web/sistema/>).** a) Spatial distribution of *C.*  
 411 *caretta* strandings with confirmed impact by vandalism and aggression. b) Number of  
 412 strandings for each intensity level of aggression by austral seasons. c) Age variation  
 413 of loggerheads regarding interaction by aggression, sex-gender, and austral seasons.  
 414 d) Curved carapace length variation of loggerheads regarding interaction by  
 415 aggression, sex-gender, and austral seasons.  
 416





418 **Figure 10 – *C. caretta* strandings’ distribution in the study area along the south**  
 419 **and southeastern Brazil, between 2015-2020 (PMP-BS database;**  
 420 **<https://simba.petrobras.com.br/simba/web/sistema/>).** a) Spatial distribution of *C.*  
 421 *caretta* strandings. b) Spatial distribution of *C. caretta* strandings in the summer. c)  
 422 Spatial distribution of *C. caretta* strandings in autumn. d) Spatial distribution of *C.*  
 423 *caretta* strandings in the winter. e) Spatial distribution of *C. caretta* strandings in spring.



425 **Figure 11 – Spatiotemporal patterns of *C. caretta* strandings along the south and**  
426 **southeastern Brazil, between 2015-2020 (PMP-BS database;**  
427 **<https://simba.petrobras.com.br/simba/web/sistema/>).** a) Number of *C. caretta*  
428 strandings in each mesoregion. b) Number of *C. caretta* strandings through the  
429 sampled period. c) Number of *C. caretta* strandings in by month. d) Number of *C.*  
430 *caretta* strandings by trimester. e) Number of monthly *C. caretta* strandings through  
431 sampled years. f) Number of *C. caretta* strandings by austral season in each sampled  
432 year.

433

#### 434 **4. DISCUSSION**

435 Our results revealed a high incidence of loggerhead strandings from a  
436 population structured as of late juveniles and adults, with overall body size and age  
437 values slightly lower than those of newly reproductive individuals in Brazilian nesting  
438 sites (Marcovaldi et al., 2010b). The population consisted mainly of females (2:1 sexual  
439 proportion). The strandings' spatiotemporal distribution indicates SCSP, PC, and  
440 NCSC as stranding hotspots, and the period comprising the end of winter and the start  
441 of the spring as with higher stranding rates. We also identified fisheries bycatch as the  
442 main anthropogenic threat affecting *C. caretta* mortality, followed by interaction with  
443 plastic debris. Although the external evidences of bycatch interactions was confirmed  
444 only in a few individuals (266), animals with good body condition and high CCL would  
445 be also assign as mortality by fishing interaction (Monteiro et al., 2016a). The observed  
446 high mortality and strandings' incidence of juvenile loggerheads in our dataset  
447 ultimately suggest the need for a re-evaluation on the "Least Concerned" classification  
448 proposed to the species in the SWA-RMU (Casale and Tucker, 2017; Wallace et al.,  
449 2010).

450

451 **4.1. High loggerhead mortality incidences revealed by stranding data**

452

453 Our study reveals stranding rates of more than 600 individuals annually and 3.5  
454 per kilometer of the daily monitored area, indicating possible consequences of the  
455 species exposition to cumulative threats in the SWA-RMU (Cantor et al., 2020a;  
456 Monteiro et al., 2016a; Tagliolatto et al., 2020). This high mortality was observed mainly  
457 for females (2:1 sexual proportion) and for juveniles and adults of *C. caretta*, which  
458 comprised more than 80% of our data as determined by gonadal evaluation, average  
459 body size larger than 77 cm, and a mean age of 15.3 years. Although we identified  
460 stranded females as statistically larger and older than males, and a tendency of older  
461 and smaller loggerheads stranding from PC to SCSC, these slight variations remained  
462 within the life stages of late juveniles and adults. Therefore, our results indicate high  
463 mortality of loggerheads in development stages critical to populational maintenance,  
464 as they present significant relative reproductive value due to their possibility to  
465 generate offspring (Bolten et al., 2011).

466 The observed populational characteristics of stranded loggerheads corroborate  
467 with the understanding of the SWA-RMU as being both an essential feeding ground  
468 and a migratory corridor for females nesting in Brazilian rookeries in-between  
469 reproductive seasons (Casale et al., 2011; Prosdocimi et al., 2015; Reis et al., 2010;  
470 Santana et al., 2011). Since late juveniles (juveniles with size approximate to the  
471 minimum for reproductive individuals) and adults' foraging behavior is aimed at benthic  
472 invertebrates in neritic and coastal areas, whereas small juveniles tend to prey on  
473 pelagic items in oceanic regions, there are increased chances of both interaction with  
474 anthropogenic activities and carcass drifting resulting in stranding (Hart et al., 2005;

475 Medeiros et al., 2019). Similarly, inter and post-nesting behavior of reproductive  
476 females in northeast Brazil, with migration to foraging grounds in coastal areas, may  
477 also account for the observed sexual proportions in strandings (Marcovaldi et al.,  
478 2010b).

479         Considering that the natural annual survival rate estimation of adult loggerheads  
480 in other RMUs could reach 97% and that areas highly impacted by anthropogenic  
481 activities could reach rates as low as 71%, we highlight not only a high number of  
482 strandings provoked by anthropogenic interactions but an array of human-induced  
483 *causa mortis* (Schroeder, 2017; Casale et al., 2015). Despite advanced decomposition  
484 states hindering identification of both anthropogenic interactions and *causa mortis* in  
485 more than 90% of the strandings, 352 loggerheads were identified as directly caused  
486 by anthropogenic interactions (Casale et al., 2012b). We report cases of aggression,  
487 boat collision, plastic ingestion, oil contamination, dredging, and fisheries bycatch.  
488 Unfortunately, we were unable to establish how different threats influence each other  
489 and their possible synergic effects, or even how they influence the loggerhead  
490 individuals' mortality.

491         Among those threats, we detected plastic ingestion as an impactful mortality  
492 factor. With a slightly even distribution in spatiotemporal frequency, the mean body  
493 size of 70.6 cm and age of 14.72 years reveals a trend between plastic ingestion and  
494 earlier mortality influenced by constant threat exposition. We suggest further studies  
495 to comprehend whether this correlation is a result of factors such as prey selection and  
496 lack of debris identification by younger animals, resulting in less ingested plastic for  
497 larger loggerheads; impaired development by debris ingestion affecting foraging and  
498 nutrient absorption; or lower survival rates and life expectancy of individuals with higher  
499 rates of debris ingestion in earlier development stages. However, as frequent as it is,

500 plastic ingestion more commonly has sub-lethal effects that decrease individuals'  
501 fitness, leading to greater exposition to additional cumulative threats such as fisheries  
502 bycatch (Bjorndal et al., 1994).

503         Moreover, fisheries bycatch has already been indicated as the most impactful  
504 anthropogenic interaction for loggerhead mortality along the SWA-RMU, and was  
505 responsible for the major part of strandings with identified interactions (266 individuals)  
506 in our dataset (López-Mendilaharsu et al., 2020d; Monteiro et al., 2016b; Sales et al.,  
507 2008c). Even though we could not differentiate between fishery modalities on each  
508 stranding, they could be a result of the previously reported impacts of bottom trawling  
509 and surface longline in the study region on adults and juveniles of the species  
510 inhabiting oceanic and neritic zones (Berrêdo et al., n.d.; Kotas et al., 2004; López-  
511 Mendilaharsu et al., 2020b; Marcovaldi et al., 2006; Monteiro et al., 2016a; Sales et  
512 al., 2008b; Tagliolatto et al., 2020).

513         Additionally, even if fisheries bycatch was identified as the main threat in our  
514 dataset, our results highlight a certain sub-estimation of its impact. As external  
515 evidence of fisheries' interaction can be minimal or non-existent, it is usual for  
516 strandings of healthy loggerheads (indicated by their body conditions and *causa*  
517 *mortis*) to be considered as a non-evident bycatch result (Monteiro et al., 2016b). Then,  
518 the strandings' observed spatial and temporal patterns regarding fishing effort and  
519 health parameters reinforce concerning scenarios of anthropogenic driven mortality for  
520 the regional population (López-Mendilaharsu et al., 2020e; Monteiro et al., 2016b).

521         The identified stranding concentration areas, or stranding hotspots, in SCSP,  
522 PC, and NCSC (Figure 10) include large estuarine systems, comprising protected  
523 areas with unique geomorphological and physical oceanographic features, but are all  
524 highly impacted by human activities and support large active fleets of artisanal and

525 industrial fisheries (de Castro et al., 2012; Mazzer and Gonçalves, 2012; Mendonça,  
526 2015; Noernberg, 2002; Sales et al., 2008b; Seeliger and Kjerfve, 2001). The  
527 concentration of strandings with confirmed fisheries' interaction in SCSP (Figure 6)  
528 shows how this mortality factor may account for a stranding hotspot. Additionally, as  
529 we identified PC and SCSC as areas with strandings' concentration of individuals in  
530 good body conditions and advanced decomposition states, we assume that SCSP  
531 represents the stranding hotspot in which bycatch is most detected, rather than most  
532 frequent, reinforcing the influence of anthropogenic threats on the observed stranding  
533 patterns. Fresh carcasses allow for better *causa mortis* determination in necropsies,  
534 which could have influenced the number of detected bycaught loggerheads. We were  
535 unable to single out which factors caused the observed concentrations of fresh  
536 carcasses, but we reckon they could be associated with variation in turtles' behavior  
537 and fishing modality effort. Aside from environmental factors affecting drift,  
538 loggerheads inhabiting areas closer to the coast and with increased activity from  
539 trawling fisheries may result in higher mortality rates and carcasses with shorter drifting  
540 periods (Hart et al., 2005).

541 We further strengthen the relevance of bycatch in loggerheads mortality and  
542 stranding patterns by verifying the temporal variation in stranding rates concerning  
543 fisheries' effort. Stranding rates seem to decrease to a minimum from March to June,  
544 the period in which shrimp (e.g. *Xiphopenaeus kroyeri*) fishing, the main focus of  
545 artisanal and industrial bottom trawling in Brazilian coastal waters, is prohibited by  
546 IBAMA's 189 normative instruction (09/23/2008) (Berrêdo et al., n.d.; López-  
547 Mendilaharsu et al., 2020b; Marcovaldi et al., 2006; Monteiro et al., 2016a; Tagliolatto  
548 et al., 2020). During this period, with supposed decreased bycatch, strandings do not

549 present concentration areas. When fishing effort increases in warmer months,  
550 stranding concentrations in SCSP, PC, and NCSC are observed.

551 We also highlight that although fishing effort increases in spring and summer,  
552 the stranding rates also seem to be influenced by migration patterns of loggerheads  
553 (Monteiro et al., 2016a). Thus, we identified higher stranding incidences when both  
554 fishing effort and migration patterns were increased in the region. In warmer months,  
555 even with higher fishing effort, reduced stranding rates could be due to the movement  
556 of individuals to reproductive areas in Rio de Janeiro and to feeding grounds in Rio  
557 Grande do Sul, resulting in less strandings. Then, from late winter to spring,  
558 loggerheads return to São Paulo, Paraná and Santa Catarina as a response to the end  
559 of reproductive season and to the intrusion of Malvinas and Falklands currents in Rio  
560 Grande do Sul and are threatened by the rise of fishing effort, culminating in the highest  
561 stranding incidences. (Monteiro et al., 2016a; Santana et al., 2011; Tagliolatto et al.,  
562 2020). Finally, from autumn to the beginning of winter, strandings decrease in a period  
563 of fishing prohibitions even with loggerheads presence in the area (Monteiro et al.,  
564 2016a; Tagliolatto et al., 2020). We also note that we did not identify significant body  
565 size variation between loggerheads with and without confirmed interactions with  
566 fisheries as in Rio Grande do Sul, but detected similar loggerhead CCL between our  
567 dataset and the bycaught individuals at that state (Monteiro et al., 2016a). Therefore,  
568 we believe it is essential to analyze the implementation of a loggerhead conservation  
569 corridor between these foraging and reproductive grounds in southern Brazil,  
570 sustaining the conservation success obtained for adult nesting females in breeding  
571 areas (López-Mendilaharsu et al., 2020e).

572 Further analyzing temporal stranding patterns, though we identified the  
573 seasonal increase from late winter to spring, there was an excessive rise in loggerhead



574 mortality between July-October 2018. In September 2018, the Brazilian state of Rio  
575 Grande do Sul instituted the law 15.223/2018 prohibiting trawling fisheries from  
576 occurring within 12 miles of the state's coastal zone. Following the law implementation,  
577 the peak in strandings within our study area could suggest increased fishing effort in  
578 Santa Catarina, and Paraná due to a relocation of Rio Grande do Sul's trawling fleet.  
579 However, we recommend thoroughly investigating the available data regarding  
580 strandings, trawling activities, and environmental variables to produce more certain  
581 assumptions.

582         We have also identified an increase in strandings following La Niña periods  
583 (2017-2018) and lower stranding rates after El Niño activities (2015-2016). Therefore,  
584 it is possible that, similarly to what was observed in Rio Grande do Sul, the positive  
585 shift in jellyfish availability in coastal waters caused by La Niña induces loggerhead  
586 occurrence in our study area, being the animals more exposed to coastal  
587 anthropogenic threats (Monteiro et al., 2016a).

588

#### 589         **4.1. Conservation implications**

590         Our study strengthens the advantageous use of systematic stranding data in  
591 generating inferences and basal knowledge on sea turtle's biology, ecology, and  
592 exposure to anthropogenic impacts in areas such as the SWA-RMU (Başkale et al.,  
593 2018; Cantor et al., 2020a; Casale et al., 2010; Hama et al., 2020; Hélène et al., 2020;  
594 Monteiro et al., 2016a; Peltier et al., 2016, 2014, 2012; Santos et al., 2018b; Tagliolatto  
595 et al., 2020). Furthermore, when designing our study, we especially considered how  
596 these pieces of information could be used to fill high-priority knowledge gaps for sea  
597 turtles conservation in Brazil. The National Action Plan for Sea Turtles Conservation is  
598 developed by ICMBio in partnership with several researchers and institutes and

599 establishes 7 Specific Objectives (achieved through 56 actions) to improve  
600 conservation actions, research, and social engagement directed at the protection of  
601 marine turtle species in Brazil ([https://www.icmbio.gov.br/portal/faunabrasileira/plano-](https://www.icmbio.gov.br/portal/faunabrasileira/plano-de-acao-nacional-lista/841-plano-de-acao-nacional-para-a-conservacao-das-tartarugas-marinhas)  
602 [de-acao-nacional-lista/841-plano-de-acao-nacional-para-a-conservacao-das-](https://www.icmbio.gov.br/portal/faunabrasileira/plano-de-acao-nacional-lista/841-plano-de-acao-nacional-para-a-conservacao-das-tartarugas-marinhas)  
603 [tartarugas-marinhas](https://www.icmbio.gov.br/portal/faunabrasileira/plano-de-acao-nacional-lista/841-plano-de-acao-nacional-para-a-conservacao-das-tartarugas-marinhas)). We understand that the results presented here could  
604 complement other data to aid in achieving at least five Specific Objectives (1, 2, 5, 6  
605 and 7) through 17 actions (1.1, 1.2, 1.4, 1.9, 2.1, 2.6, 5.1, 5.2, 5.3, 5.4, 5.5, 6.5, 7.2,  
606 7.3, 7.5, 7.6, 7.10), therefore, providing information for improved decision-making and  
607 showcasing the necessity for adjustments in public policies for loggerhead  
608 conservation in Brazil. Moreover, we investigated how our study could also benefit  
609 international assessments of loggerhead conservation, mainly for the SWA-RMU.  
610 Thus, we compared our results to IUCN's available information on the classification of  
611 *C. caretta* in the SWA-RMU as "Least Concern" category status in the official IUCN  
612 Red List (Casale and Tucker, 2017). Accordingly to IUCN's classification system,  
613 species are considered to be on different extinction risk categories by presenting  
614 evidence of any met criteria (A to E) in that category related to risk factors, such as  
615 populational decrease, the number of mature individuals, geographic distribution, and  
616 generation length (IUCN, 2012). Here, we identified potential conflicts between the  
617 category in which SWA-RMU loggerheads are classified and criteria evaluation using  
618 our stranding data in addition to known populational parameters.

619 We assessed both criteria A and C of risk, focused on reductions in population  
620 size and population size of mature individuals, respectively. First, for criteria C  
621 evaluation, IUCN states the necessity of the number of adult loggerheads in the RMU,  
622 which can be derived from their own formula:

$$\begin{aligned} 623 \quad & Adults = Nests * Nests \text{ per female}^{-1} * \text{Remigration interval} \\ 624 \quad & * \text{Female proportion}^{-1} \end{aligned}$$

625 We obtained four scenarios of adult loggerheads population by repeating the  
626 equation using varying rates of nests per female and female proportion. The  
627 calculations considered the values of 9000 nests (available at  
628 <https://www.tamar.org.br/tartaruga.php?cod=18>), 3 or 5. 5 nests per female (Casale  
629 and Tucker, 2017), three years as remigration interval (Casale and Tucker, 2017) and  
630 0.67 (this study) and 0.8 (Marcovaldi et al., 1997) as the female proportion. A higher  
631 number of nests per female and a higher proportion of females resulted in fewer  
632 estimated adults. Therefore, lower values in both factors increased the final estimate.  
633 The four obtained scenarios for adult loggerhead population in the SWA-RMU are  
634 6136, 7327, 11,250, and 13,432 individuals.

635 While comparing these estimations with what is described in the Criteria C  
636 evaluation method, we can observe the possibility of a met criteria in the “Vulnerable”  
637 category (in global thresholds), as we estimated two possible scenarios in which adult  
638 loggerhead’s population in the SWA-RMU are below 10.000 individuals (IUCN, 2012).  
639 However, it is analyzing if subcriteria C.1 is met that we find really concerning patterns  
640 showcased by stranding data. IUCN describes the subcriteria C.1 as “An estimated  
641 continuing decline of at least 10% within ten years or three generations, whichever is  
642 longer (up to a maximum of 100 years in the future)” (IUCN, 2012). In our results, we  
643 observed 585 adult loggerhead strandings in the approximate 4.5 years period, an  
644 estimate of 130 per year. Considering that strandings may represent 5% to 20% of  
645 total in-water mortality (Peltier et al., 2012), our stranding rates may indicate that the  
646 annual mortality of adult loggerheads in the study region is between 650 and 2600  
647 individuals (following methods proposed by Peltier et al., 2012). We must also highlight

648 that although these rates seem to be already incredibly high, we did not add to them  
649 mortality rates from additional extensive areas within the SWA-RMU, including  
650 northern Brazil, Rio Grande do Sul, Uruguay, and Argentina (Carranza et al., 2006;  
651 López-Mendilaharsu et al., 2020b; Monteiro et al., 2016a; Tagliolatto et al., 2020;  
652 Vélez-Rubio et al., 2013). Even then, and in the best-case scenario (13.432 adult  
653 loggerheads and 650 annual mortality rates), we estimate massive declines in mature  
654 populations within 100 years (less than a three-generation period), with considerable  
655 *C. caretta* extinction risk in the SWA-RMU.

656         Regarding Criteria A, IUCN establishes the annual number of nesting females  
657 and nesting activities as the most appropriate proxy of populational abundance size for  
658 the taxa (IUCN, 2012). Therefore, we performed the estimates of nesting females for  
659 the SWA-RMU using, once again, their formula, but now without considering the  
660 female proportion factor. As a result, we obtained an estimate of 4909 and 9000 female  
661 adult loggerheads using 5.5 and 3 as the number of nests per female, respectively. In  
662 the stranding dataset, we detected 238 adult female loggerheads in total, an  
663 approximate 53 strandings per year that could indicate annual mortality rates in  
664 between 265 (20%) and 1060 (5%) individuals. Then, as we submitted the estimations  
665 through the criteria evaluation, we identified possible met Criteria A3 for the “Critically  
666 Endangered” category. IUCN describes Criteria A3 as “A population size reduction of  
667  $\geq 80\%$ , projected or suspected to be met within the next 10 years or three generations,  
668 whichever is the longer (up to a maximum of 100 years), based on (and specifying)  
669 any of (b) to (e) under A1.” (IUCN, 2012). As we realized this projection based on an  
670 index of abundance appropriate to the taxon (item (b) under A1), the number of nesting  
671 females, we believe the massive estimated mortality of adult female loggerheads

672 through the next 100 years (26.500 to 106.000 individuals) could seriously present  
673 extinction risk to the species in the SWA-RMU.

674 Finally, we assume that it is essential that we disclose our awareness of lacking  
675 important populational dynamic parameters in our comparisons and IUCN's known  
676 sources of uncertainty for *C. caretta* data used in extinction risk assessments; thus  
677 understanding that our estimations are not robust or satisfactory to confirm a change  
678 in an extinction risk category for loggerheads in the SWA-RMU (Casale and Tucker,  
679 2017). However, our findings do indicate the continuity of excessive mortality rates for  
680 the species maintenance in the region and bring concerns on the SWA-RMU  
681 conservation status, particularly for the *C. caretta* Brazilian population (Cantor et al.,  
682 2020a; López-Mendilaharsu et al., 2020b; Monteiro et al., 2016a; Tagliolatto et al.,  
683 2020). Therefore, considering the precaution principle, we suggest a new assessment  
684 regarding *C. caretta* conservation status in the SWA-RMU.

685

## 686 5. CONCLUSION

687 Since 2015, PMP-BS has produced a finely detailed stranding dataset on the  
688 Brazilian coast that must be thoroughly used in research to improve our public policies  
689 for species conservation and coastal/oceanic management. Our study uses these data  
690 to provide new insights on 1) the population structure of loggerheads, including  
691 primarily developing juveniles and inter-nesting adults, exploring foraging grounds and  
692 migratory corridors in the SWA-RMU; 2) the impact of overall habitat degradation on  
693 loggerhead mortality, particularly by fisheries bycatch; 3) the identification of stranding  
694 hotspots on SCSP, PC and NCSC mesoregions and the seasonal increases in July-  
695 October; 4) concerns on the current risk status of SWA-RMU.

696           The opportunity to simultaneously improve our understanding of several  
697 knowledge gaps for loggerheads cost-effectively assures the need for continuous,  
698 systematic stranding monitoring in the Brazilian coast. Furthermore, it highlights the  
699 contribution stranding data can have on future coastal management and conservation  
700 planning.

701           For the following steps, further investigation on the influence of environmental  
702 factors on strandings will be crucial to better understand loggerhead turtles' ecological  
703 and stranding patterns, getting the full benefit from this dataset (Cantor et al., 2020a;  
704 Hart et al., 2005; Monteiro et al., 2016a; Peltier et al., 2016, 2014; Peltier and Ridoux,  
705 2015; Santos et al., 2018a; Tagliolatto et al., 2020).

706           As our closing remarks, we again call the precautionary principles and suggest  
707 that the observed mortality rates in this study urge a reevaluation of national and  
708 international conservation assessments. The threats to which loggerheads are  
709 exposed in the SWA-RMU could have a profound impact on their survival, but the long  
710 life cycle of the species and the biases generated by stranding data may difficult an  
711 immediate detection of altered population dynamics (Başkale et al., 2018; Cantor et  
712 al., 2020a; Casale et al., 2010; Hama et al., 2020; López-Mendilaharsu et al., 2020b;  
713 Monteiro et al., 2016a; Peltier et al., 2016; Tagliolatto et al., 2020; Wallace et al., 2010).  
714 Therefore, we suggest the integrative efforts of researchers, government, society, and  
715 decision-makers within the SWA-RMU to reassess *C. caretta* extinction risk category  
716 and design an effective conservation planning to guarantee population recovery.

717

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## CAPÍTULO 2

**Análise de padrão espacial pontual (*Spatial Point Pattern*) como um método alternativo para avaliar padrões de encalhe de *Caretta caretta* no sudeste e sul do Brasil**

**Spatial Point Pattern analysis as an alternative method to assess *Caretta caretta* stranding patterns in southeastern and southern Brazil**

Revista para publicação: Ecological Applications (ISSN: 1939-5582). Fator de impacto: 4,66. Classificação Qualis: A1.

1                   **Spatial Point Pattern analysis as an alternative method to assess *Caretta***  
2                   ***caretta* stranding patterns in southern Brazil**

3  
4                   Gabriel Fraga da Fonseca<sup>ad</sup>, Maikon Di Domenico<sup>ab</sup>, Fernando de Pol Mayer<sup>c</sup>, A<sup>\*\*</sup>,  
5 B<sup>\*\*</sup>, and Camila Domit<sup>ad\*</sup>

6  
7                   <sup>a</sup> Programa de Pós-Graduação em Sistemas Costeiros e Oceânicos, Universidade Federal  
8 do Paraná, P.O 61, 83255-976 Pontal do Paraná, Paraná, Brazil.

9                   <sup>b</sup> Marine Ecology Lab, Center for Marine Studies, Universidade Federal do Paraná, Pontal  
10 do Paraná, Paraná, Brazil.

11                   <sup>c</sup> Laboratory of Statistics and Geoinformation, Statistics Department, Universidade Federal  
12 do Paraná, Curitiba, Paraná, Brazil.

13                   <sup>d</sup> Laboratório de Ecologia e Conservação, Center for Marine Studies, Universidade Federal  
14 do Paraná, Pontal do Paraná, Paraná, Brazil.

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16                   \* Corresponding author:

17                   \*\* Other collaborators to be included.

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## 27 **Highlights**

- 28 • **1** – Aplicação inédita de *Point Process Models* para dados de encalhe de  
29 fauna marinha.
- 30 • **2** – Definição de grids com 40 km<sup>2</sup> como mais precisos para modelar  
31 encalhes de *C. caretta*.
- 32 • **3** – Estabelecimento de robusto método estatístico para conservação e  
33 manejo costeiro.
- 34 • **4** – Indicação de modelos log-Gaussian Cox PPM para análise de encalhes  
35 de fauna marinha.

## 36 **Resumo**

37 Encalhes de animais marinhos podem ser uma ótima fonte de dados para o  
38 delineamento de medidas de conservação e mitigação de impactos, conseqüentemente,  
39 têm sido extensivamente analisados durante a última década. Entretanto, ainda não foi  
40 considerada a aplicação de metodologias de modelagem relacionadas à natureza *only-*  
41 *presence* de pontos espaciais que constituem bancos de dados de encalhes: os “Point  
42 Process Models”. Nesse estudo, apresentamos a primeira aplicação de PPMs para a  
43 modelagem de encalhes utilizando 2795 *Caretta caretta* obtidas pelo Projeto de  
44 Monitoramento de Praias da Bacia de Santos (PMP-BS)<sup>1</sup>, entre 2015 e 2020, na área  
45 sudeste-sul brasileira. A partir de uma arquitetura Bayesiana, comparamos 20 diferentes  
46 modelos log-Gaussian Cox PPMs, a partir de seus índices DIC e relevância ecológica e  
47 conservacional. Como resultado identificamos tomadas-de-decisão analíticas mais  
48 apropriadas para o banco de dados analisado como o uso de células de 40 km<sup>2</sup>. Além disso,  
49 estabelecemos índices de intensidade mínima, média e máxima de encalhes para  
50 diferentes pontos da costa brasileira, caracterizando múltiplos cenários quanto a

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<sup>1</sup> PMP-BS database; <https://simba.petrobras.com.br>

51 distribuição espacial dos dados. Dessa maneira, apresentamos a bem sucedida aplicação  
52 de PPMs para encalhes de *C. caretta*, um robusto embasamento para futuras e mais  
53 aprofundadas modelagens, e recomendamos o uso da metodologia para análises mais  
54 robustas de encalhes e ocorrência de espécies marinhas.

#### 55 **Palavras-chave**

56 Point Process Model; Manejo costeiro; Tartaruga-cabeçuda; Ecologia da  
57 conservação.

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#### 59 **Highlights**

- 60 • **1** – First application of Point Process Models for marine megafauna stranding  
61 data.
- 62 • **2** – Providing adequate spatial grid resolution for data analysis as 40 km<sup>2</sup>.
- 63 • **3** – A novel use of a robust statistical tool to improve coastal management  
64 and conservation.
- 65 • **4** – Indication of log-Gaussian Cox PPMs for marine megafauna stranding  
66 modeling.

#### 67 **Abstract**

68 Marine megafauna strandings' can provide essential information for the  
69 development of conservation and impact mitigation actions. Therefore, they have been  
70 extensively studied during the last decade. However, even if stranding data is normally  
71 presented as only-presence information on spatial point locations, ecologists are yet to  
72 consider using Point Process Models for their analysis. In this paper, we present the first  
73 application of PPMs to modeling stranding data, using 2795 *Caretta caretta* obtained by the  
74 PMP-BS between 2015 and 2020 in south and southeastern Brazil. We aim to explore the  
75 PPMs viability in stranding modeling and to establish the appropriate spatial resolution for  
76 its modeling. Through a Bayesian framework, we compared 20 log-Gaussian Cox PPMs by

77 their DIC indexes and ecological and conservational relevance. We identified models with  
78 40 km<sup>2</sup> as cell spatial resolution to be the most appropriate for this loggerhead stranding  
79 dataset. We also determined minimum, mean and maximum stranding intensity values for  
80 models cells. Finally, we present the successful application of PPMs for loggerhead  
81 strandings, provide robust background for more complex stranding modeling, and  
82 encourage the use of PPMs for the stranding modeling of additional marine species.

83 **Keywords**

84 Point Process Model; Coastal management; Loggerhead; Conservation ecology.

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## 102        **1. INTRODUCTION**

103        Analyzing presence-only data of megafauna strandings has been an exhausting  
104        statistical task during the last decade (Hart et al. 2006, Tomás et al. 2008, Wang et al. 2015,  
105        Moura et al. 2016, Meynecke and Meager 2016, Monteiro et al. 2016, Flint et al. 2017,  
106        Tagliolatto et al. 2020, Cantor et al. 2020, Dudhat et al. 2021). However, after several years  
107        of systematic monitoring in southern Brazil, a set of species distribution models (SDM) were  
108        applied to understand the responses and causes of the stranding of endangered species  
109        along the coast (Poli et al. 2014). Species distribution models (SDM) are important and  
110        powerful tools for ecology and conservation (Velázquez et al. 2016). By providing insights  
111        into the influence of environmental and biological factors on a species spatial distribution,  
112        SDMs allow for improved comprehension of occurrence patterns and predictions regarding  
113        response to habitat changes (Phillips and Dudík 2008a, Elith and Leathwick 2009, Warton  
114        and Shepherd 2010a, Renner et al. 2015a, Velázquez et al. 2016). Such information is key  
115        for conservation assessments, public policies, and co-management actions (Hays 2008,  
116        Wildermann et al. 2018). However, collecting data from highly migratory and endangered  
117        species on marine ecosystems can be troublesome and expensive, hindering a more  
118        extensive or complex application of SDMs on marine megafauna ecology (Pearce and  
119        Boyce 2006a, Renner et al. 2015a).

120        Therefore, marine researchers have developed several approaches integrating the best  
121        available dataset to spatial modeling through the years, pursuing reduced biases and errors  
122        as well as improved inferences and predictions for different types of data (Wiegand et al.  
123        2013, Renner et al. 2015b). Amongst the well-known and used modeling methods are  
124        generalized linear models (GLM) (Warton and Shepherd 2010b); maximum entropy density  
125        estimations (MAXENT) (Phillips and Dudík 2008b); estimates of resource selection function  
126        (RSFs) (Aarts et al. 2012); and Point Process Models (Pearce and Boyce 2006b, Baddeley  
127        et al. 2015a, Renner et al. 2015b).

128        Although methods and model selections still rely primarily on researcher preference  
129 based on the obtained dataset, recently, there has been an increasing understanding of the  
130 Point Process Models (PPMs) applicability to analyze a set of individuals/species presence-  
131 only locations on a delimited area (Pearce and Boyce 2006b, Illian et al. 2008a, Warton and  
132 Shepherd 2010b, Baddeley et al. 2015a, Renner et al. 2015b). Datasets comprised only by  
133 presence reports, known as “Presence-only data“, are commonly found in museums,  
134 atlases, species lists, and online databases may represent the best available information on  
135 marine megafauna since accurate absence reports are challenging to detect in this  
136 environment (Pearce and Boyce 2006a, MacLeod et al. 2008). Furthermore, when the  
137 presence-only reports are random in both number and location, characterizing a “Point  
138 Process“ dataset, the PPM is considered better fitting as they may present some advantages  
139 over other methods due to its properties (Pearce and Boyce 2006a, Renner et al. 2015a).

140        Point Process Models are characterized by being primarily concerned with the spatial  
141 location of the points, using these locations and the number of points to obtain “Intensity”,  
142 an average number of points per unit area (Illian et al. 2008b, Warton and Shepherd 2010a,  
143 Baddeley et al. 2015b, Renner et al. 2015a). Conversely, Generalised Linear Models (GLM)  
144 aim at modeling the mean value of the interest object as a function of related covariates  
145 (Warton and Shepherd 2010b). They are commonly used in presence-only data analysis by  
146 generating a set of pseudo-absences in arbitrary spatio-temporal scales, randomly  
147 generated points simulating absences in the dataset to maintain the same functionality as  
148 when analyzing presence-absence data (Elith et al. 2008, Illian et al. 2008b, Warton and  
149 Shepherd 2010a, Renner et al. 2015a).

150        As a consequence, PPMs perform seemingly better than GLMs for presence-only  
151 data analysis concerning different factors. The first is regarding analysis transparency, as  
152 the response variable is a measure of abundance and not a probability (Aarts et al. 2012);  
153 the second is concerning the model construction, since pseudo-absences data does not

154 need to be generated (Warton and Shepherd 2010b); the third is about model  
155 implementation, as there is no specification on creating pseudo-absences as in GLMs, while  
156 PPMs offer a choice possibility on the number and location of pseudo-absences (Warton  
157 and Shepherd 2010b, Renner et al. 2015b); the fourth is the opportunity to include spatial  
158 dependence of points when necessary (Renner et al. 2015b); and finally, the fifth is  
159 regarding ecological relevance, as PPMs can incorporate processes acting at the individual  
160 level (Pearce and Boyce 2006a, Warton and Shepherd 2010a, Renner et al. 2015a,  
161 Velázquez et al. 2016).

162         In the context of endangered species presence-only data, PPMs can fill knowledge  
163 gaps essential for management and conservation planning (Pearce and Boyce 2006a,  
164 MacLeod et al. 2008). For marine megafauna, standardized long-term monitoring might be  
165 the source of a detailed dataset, perfectly fitted for PPM analysis. In Brazil, the Santos Basin  
166 Beach Monitoring Project (PMP-BS), carried out in Rio de Janeiro, São Paulo, Paraná, and  
167 Santa Catarina, has been a systematic stranding monitoring program active since  
168 September 2015. The project is part of the environmental licensing conducted by IBAMA,  
169 related to evaluating potential impacts on marine tetrapods of oil and natural gas exploration  
170 at the Santos Basin by PETROBRAS, the largest oil company operating in the area. The  
171 PMP-BS collects daily stranding data of marine animals, covering more than 1700 km of the  
172 Brazilian coastline (Petrobras 2017). Considering its regularity and homogeneous coverage,  
173 this database consists of a massive presence-only dataset, including spatial, biological, and  
174 health-related parameters. Therefore, providing an excellent opportunity to apply SDM on  
175 threatened marine species.

176         Notwithstanding the above, we present the first assessment of PPM applied on the  
177 marine megafauna strandings dataset. Specifically, we aim to explore PPMs applicability by  
178 modeling the spatial distribution of 2795 stranded loggerhead sea turtles *Caretta caretta*  
179 obtained by PMP-BS along the south and southeastern Brazil between 2015 and 2020.

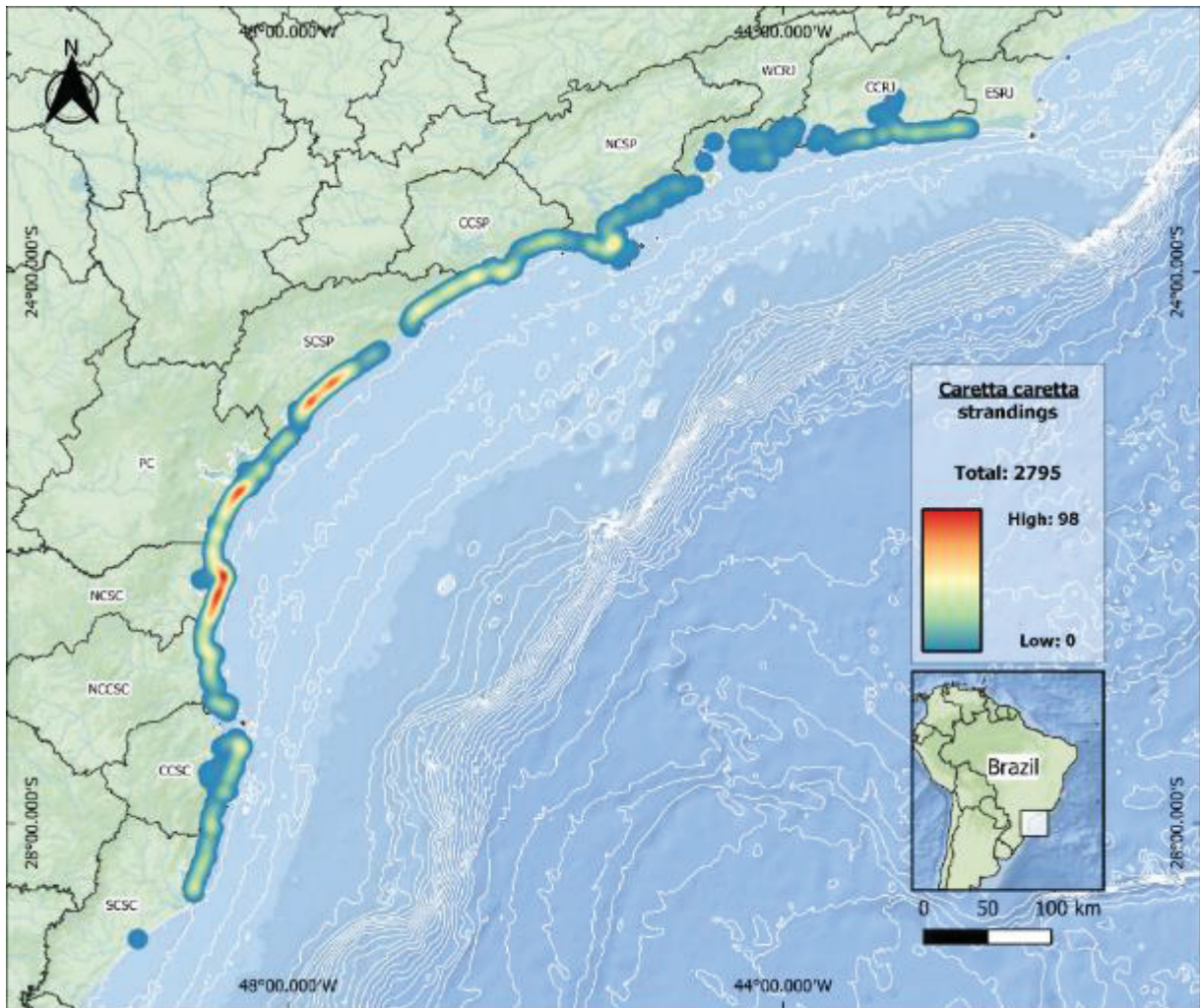


180 Additionally, we investigate the best-fit model for the species in this region, providing the  
181 adequate spatial grid resolution for data analysis, and indicating a novel use of a robust  
182 statistical tool to improve coastal management and conservation efforts regarding  
183 threatened marine species

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## 185 **2. STUDY AREA AND SAMPLING EFFORT**

186 Stranding data was downloaded from the online database SIMBA used by the  
187 standardized monitoring program PMP – BS, available at <https://simba.petrobras.com.br>.  
188 Research teams from 13 institutions reproduced the systematic methodology in the study  
189 area encompassed between Saquarema (22° 93' S, 42° 36' W) and Laguna (28° 29' S, 48°  
190 45' W), within the states of Rio de Janeiro, São Paulo, Paraná, and Santa Catarina, in daily  
191 procedures. The area comprises more than 1000km of coastline is monitored by foot or  
192 vehicles (mainly by cars, boats, and bicycles) during low tides (Figure 1). It presents varied  
193 oceanographic and geomorphological features, such as estuaries, islands, sand beaches,  
194 and vegetation areas covered by restinga, mangroves, and the Atlantic rainforest (de Castro  
195 et al., 2012; Mazzer and Gonçalves, 2012; Mendonça, 2015; Noernberg, 2002; Seeliger and  
196 Kjerfve, 2001).



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198 **Figure 1: Stranding distribution of *C. caretta*, loggerhead turtle, in the study**  
 199 **area, in southern and southeastern Brazil.** We use a kernel density size of 2.4mm.

200 When a stranded animal is found, field monitoring teams determine its location, and  
 201 these locations were used in our analysis. Stranded animals, dead or alive, were collected  
 202 and transported to the field teams' respective laboratories for rehabilitation or necropsy.  
 203 Veterinarians performed all necropsies with biologists, oceanographers and other correlated  
 204 area professionals as team members.

205

### 206 3. POINT PROCESS MODEL DESIGN

207 We performed a log-Gaussian Cox Point Process Model to comprehend *C. caretta*  
 208 strandings' spatial distribution while estimating the intensity of the process affecting the  
 209 observed pattern of stranding locations. Our method selection was made under the

210 assumption that the strandings follow an inhomogeneous Poisson Process; therefore, we  
211 understand that: strandings are random in number, each event is independent, and the  
212 observed intensity is a function of environmental variables and a stochastic Gaussian  
213 process, denoting that the events' present spatial dependence (Diggle 2013, Renner et al.  
214 2015a, Moraga 2020a). We formulated and fitted the model in a Bayesian framework using  
215 the integrated nested Laplace approximation (INLA) approach, avoiding the computer-  
216 intensive and time-consuming Markov chain Monte Carlo method (Lombardo et al. 2018,  
217 2019, Moraga 2020a). We constructed the maps and grids on R environment, version 4.0.3  
218 (R Core Team, 2020), using packages *R-INLA*, *raster*, *sp*, *spdep*, *rgeos*, *rgdal*, and *tmap*.

219 We tested the applicability of the log-Gaussian Cox PPM analysis on stranding data by  
220 performing comparisons between a varying number of quadrature points, checking for  
221 optimal Deviance Information Criterion (DIC) regarding practical spatial grid resolution, and  
222 testing model fit for random spatially structured and unstructured effects (Spiegelhalter et  
223 al. 2002, Renner et al. 2015b, Moraga 2020b).

224

#### 225 **4. LOG-GAUSSIAN COX POINT PROCESS MODEL IMPLEMENTATION AND** 226 **FITTING**

227 During the study period, PMP-BS field teams recorded 2795 stranded *C. caretta* along  
228 the monitored coastline. Between September 3<sup>rd</sup>, 2015, and April 7<sup>th</sup>, 2020, daily monitoring  
229 of 782.91 Km occurred for all 1678 days and resulted in 81.19% of the 1.62 million total  
230 distance expected. Strandings were mostly comprised of dead juveniles and adults in  
231 advanced decomposition state, with a mean body size of 77.75 cm  $\pm$  10.82 (mean curved  
232 carapace length  $\pm$  SD); however, information on occurrence, mortality, and stranding  
233 patterns was previously discussed (Chapter 1) and is available at Fonseca; Di Domenico;  
234 Domit. (2021 – *unpublished data*). Here we implemented and fit the Log-Gaussian Cox Point  
235 Process model to the strandings location data.

236 First, we obtained a map of the southeastern and southern Brazilian coast to create  
 237 20 rasters, each with a different cell resolution and, therefore, number of cells. The rasters  
 238 are comprised of cells with resolutions ranging from 1 to 0.05 decimal degrees at 0.05  
 239 intervals, resulting in maximum and minimum cell areas of 111 x 111 and 5.55 x 5.55 Km,  
 240 respectively. Then, we added the stranding data to the grids, providing the number of  
 241 stranded loggerheads for each cell area, and removed all cells with no stranding event from  
 242 the raster.

243 Next, we call `inla ()` to specify our model formula:

```
244 formula <- Y ~ 1 +
245 f(id, model="rw2d", nrow = nrow, ncol = ncol) +
246 f(id2, model="iid")
```

247 In the models, we used Y, the number of strandings in each cell, as the  
 248 response variable to fixed (1 – the intercept) and random effects (*f()*). Using indice vector  
 249 “id”, the first random effect represented the structured random spatial effect on intensity  
 250 variability and was specified as a second-order two-dimensional conditional autoregressive  
 251 model (“rw2d”). The second random effect, using indice vector id2, represents the  
 252 unstructured random effects on the model, specified as “iid”. Both indice vectors are copies,  
 253 therefore they have the same values, and id2 was created since each random effect needs  
 254 its own indice vector for the model (Moraga 2020a).

255 Following the linear model specification, we use `inla ()` to create a *res* object  
 256 by providing the formula, the model family (“poisson”), the grid data for utilization, and the  
 257 requirements for linear predictor computation. The *res* object contains essential information  
 258 about the estimated model to detect model fitting (Lombardo et al. 2018, Moraga 2020a).  
 259 Finally, we performed a model for each raster and compared the obtained results to  
 260 determine the adequate spatial resolution for *C. caretta* strandings log-Gaussian Cox PPM.

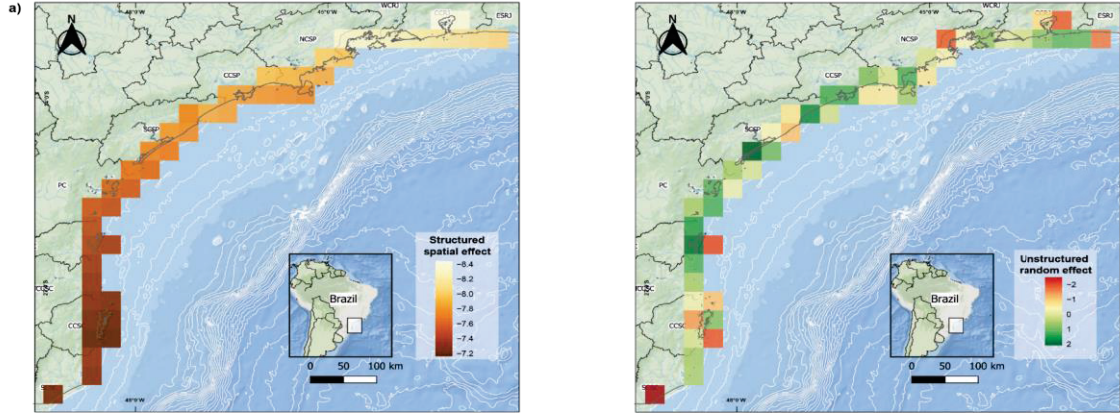
261           We obtained the variation of random spatially structured and unstructured effects for  
262 all rasters, and their spatial variation for the better fit models can be seen in Figure 2.  
263 Analyzing the patterns of the spatially structured random effects, we observe the influence  
264 of spatial factors on the intensity of the process responsible for stranding locations,  
265 indicating a higher number of strandings further south on the Brazilian coast. Additionally,  
266 analyzing the unstructured random effect provides an understanding of cells with  
267 independent intensity modification, as cells with higher values influence intensity  
268 individually.

269           Furthermore, our models allowed for the prediction of *C. caretta* strandings  
270 intensity in each cell of all rasters. We created the maps in Figure 3 by determining the  
271 average, minimum and maximum limits of 95% credible intervals for numbers of strandings  
272 in each cell area for the better fit models. Therefore, our models not only present the spatial  
273 variation in *C. caretta* strandings for southern and southeastern Brazil but also provide their  
274 intensities for each cell area during a period of 5 years.

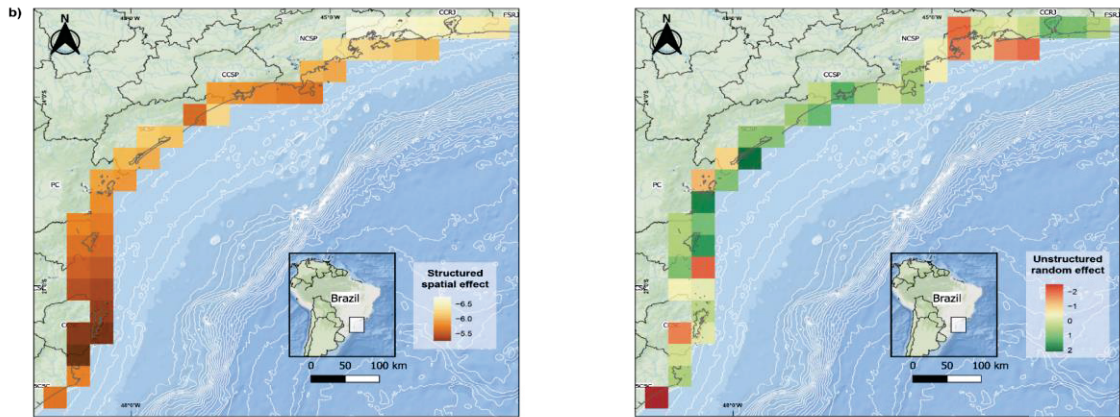
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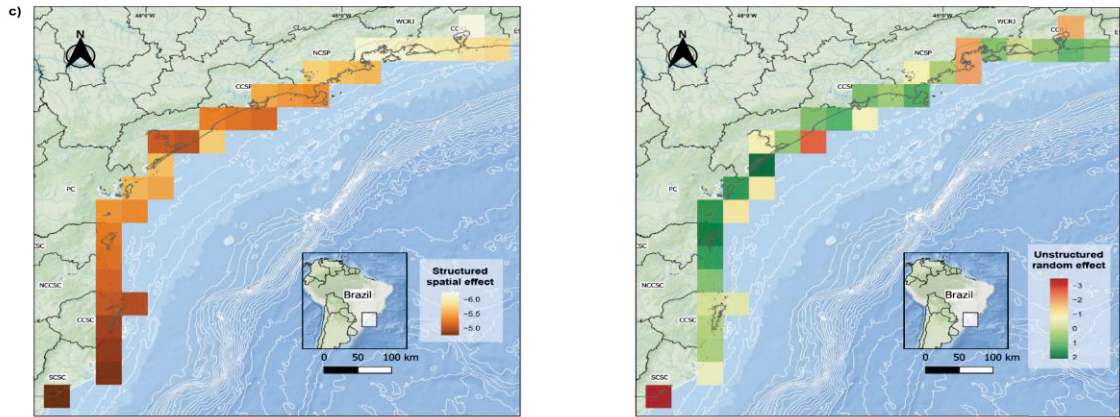
Structured and unstructured effects in cells with resolution of 0.3° decimal degrees



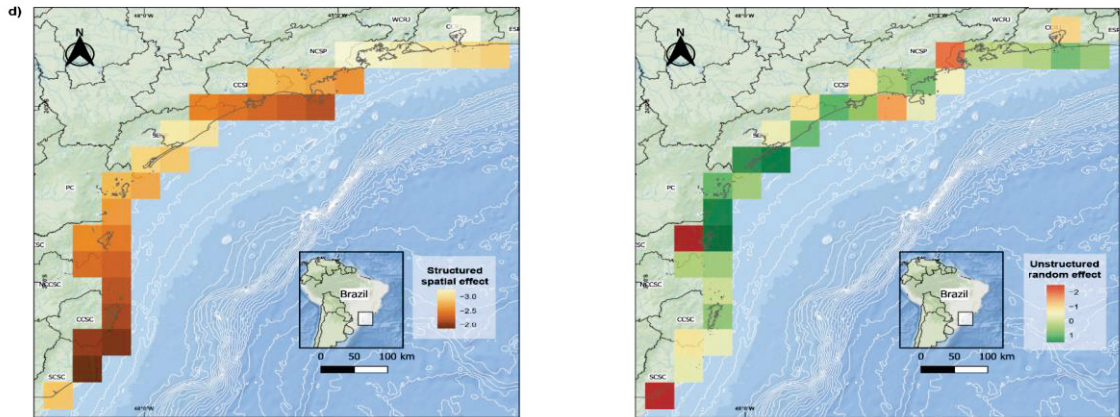
Structured and unstructured effects in cells with resolution of 0.35° decimal degrees



Structured and unstructured effects in cells with resolution of 0.4° decimal degrees

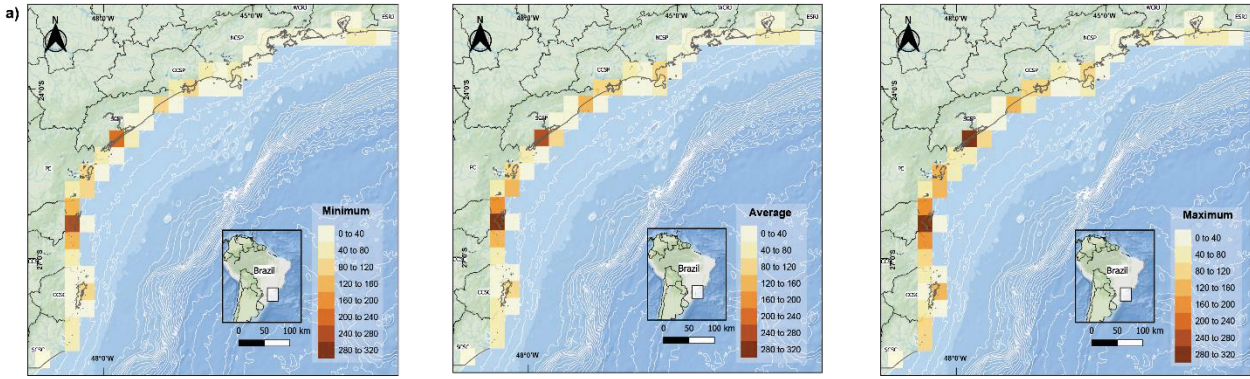


Structured and unstructured effects in cells with resolution of 0.45° decimal degrees

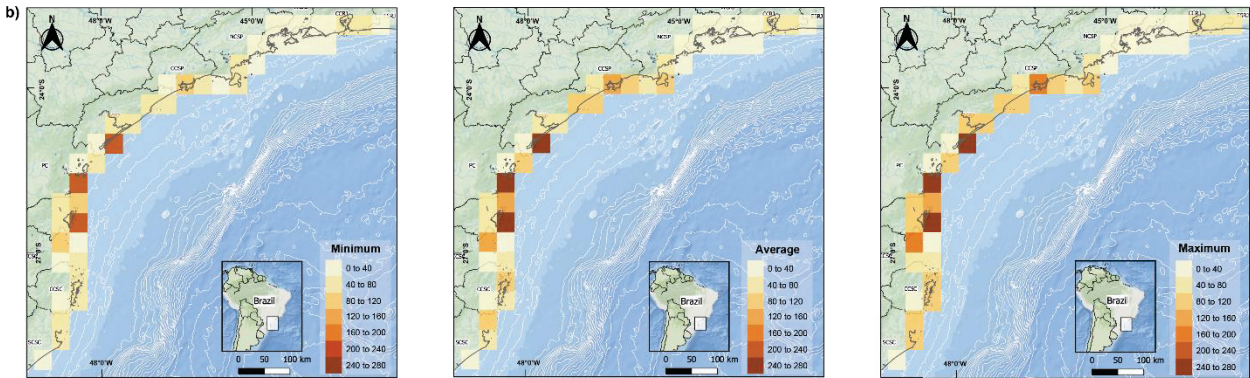


278           **Figure 2: Structured and unstructured effects in log-Gaussian Cox Point**  
279 **Process Models.** Patterns of spatially structured and random unstructured effects in Log-  
280 Gaussian Cox PPMs with cell spatial resolution from (a) 0.30°; (b) 0.35°; (c) 0.40° to (d)  
281 0.45° decimal degrees.

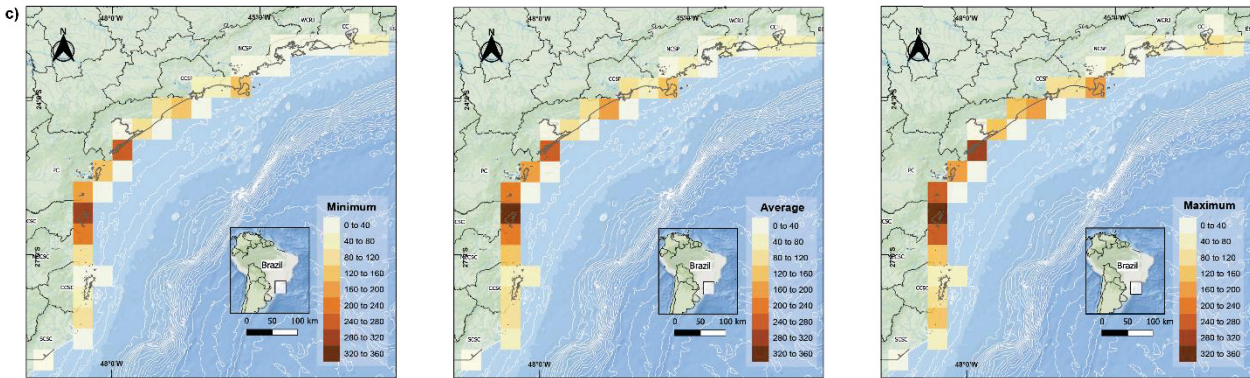
Predicted loggerhead strandings in cells with resolution of 0.3° decimal degrees



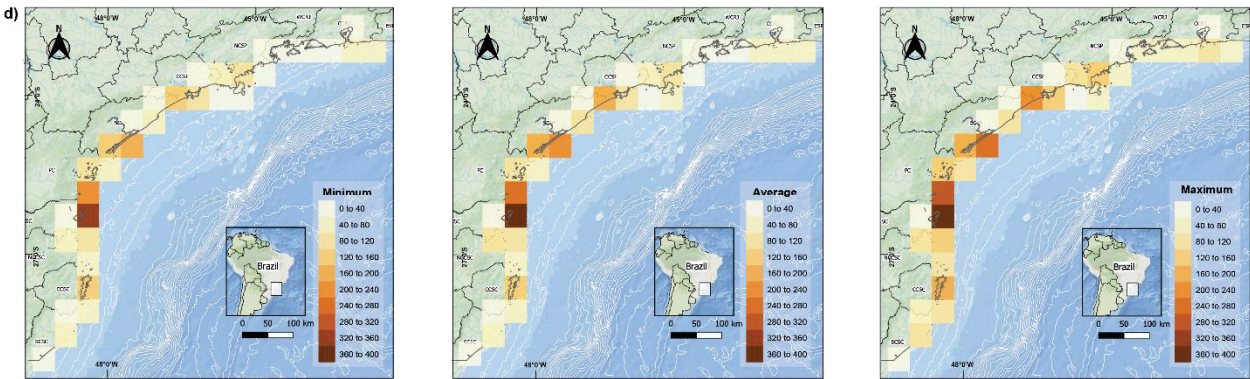
Predicted loggerhead strandings in cells with resolution of 0.35° decimal degrees



Predicted loggerhead strandings in cells with resolution of 0.4° decimal degrees



Predicted loggerhead strandings in cells with resolution of 0.45° decimal degrees

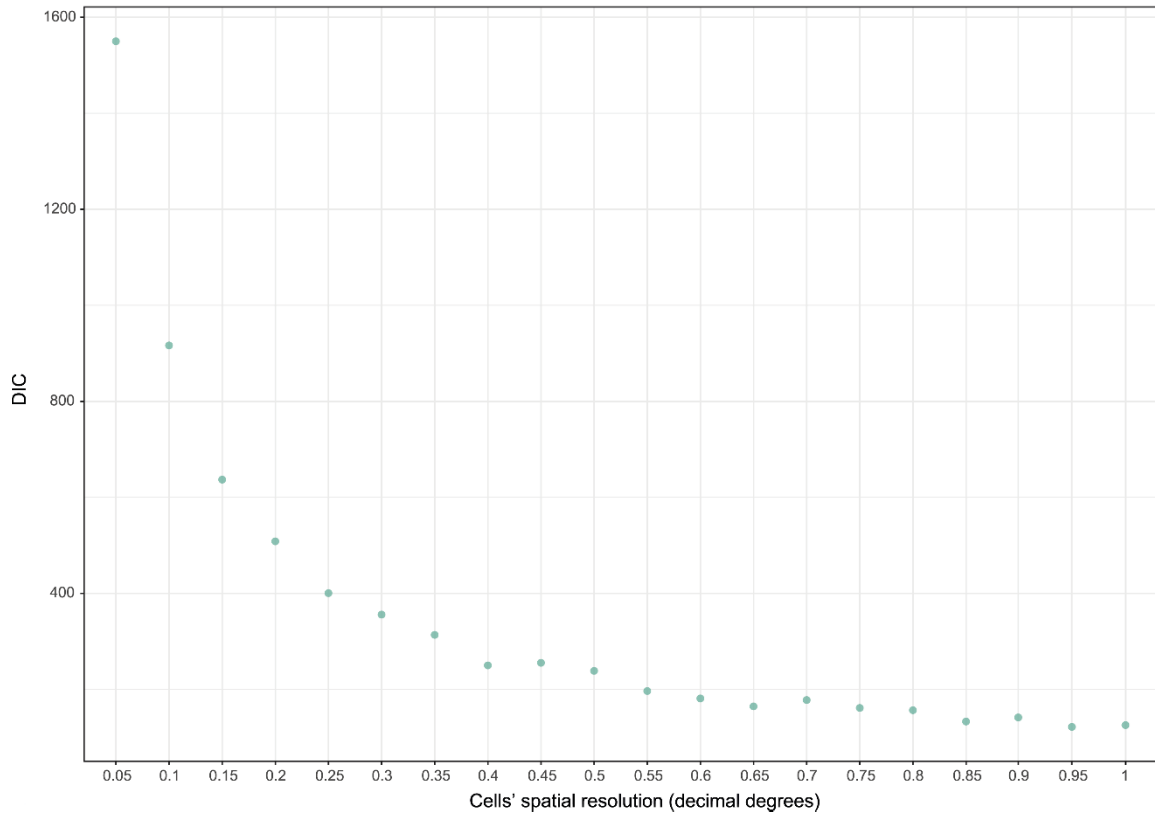




283 **Figure 3: Predicted loggerhead strandings' intensity in minimum, average and**  
284 **maximum values, along the south and southeast Brazilian coastal area.** Predicted  
285 minimum, average, and maximum intensity of loggerhead strandings for the better fit  
286 models, with cell spatial resolution from 0.3° to 0.45° decimal degrees, for a period of 5  
287 years. Variation predicted minimum, average, and maximum loggerhead stranding intensity  
288 in the model with cells' spatial resolution at **a)** 0.3° decimal degrees **b)** 0.35° decimal  
289 degrees **c)** 0.4° decimal degrees. **d)** 0.45° decimal degrees.

290 Finally, in order to establish the raster with more appropriate cell resolution for log-  
291 Gaussian Cox PPM application on *C. caretta* stranding data, we selected the model with the  
292 higher number of cells that presented no significant change in fitting or prediction  
293 performance (Phillips and Dudík 2008a). In this Bayesian approach, we used the DIC values  
294 of each model to measure model fit, as lower values indicate the model that would best  
295 predict a replicate dataset with the same structure as as what was observed (*C. caretta*  
296 strandings) (Fong and Holmes 2020).

297 The variations in DIC regarding cells' spatial resolution (Figure 4), size (Figure 5), and  
298 number (Figure 6) denote that their values start to converge starting from the model using  
299 0.35 decimal degrees as cell resolution. Even though statistically a DIC variation larger than  
300 2 points indicates a less supported model, our selection also considered ecological,  
301 biological, and coastal management factors (Spiegelhalter et al. 2002). Consequently, for  
302 this dataset, we suggest that further spatial modeling should be performed using cells with  
303 a side size of approximately 40 Km (table 1).



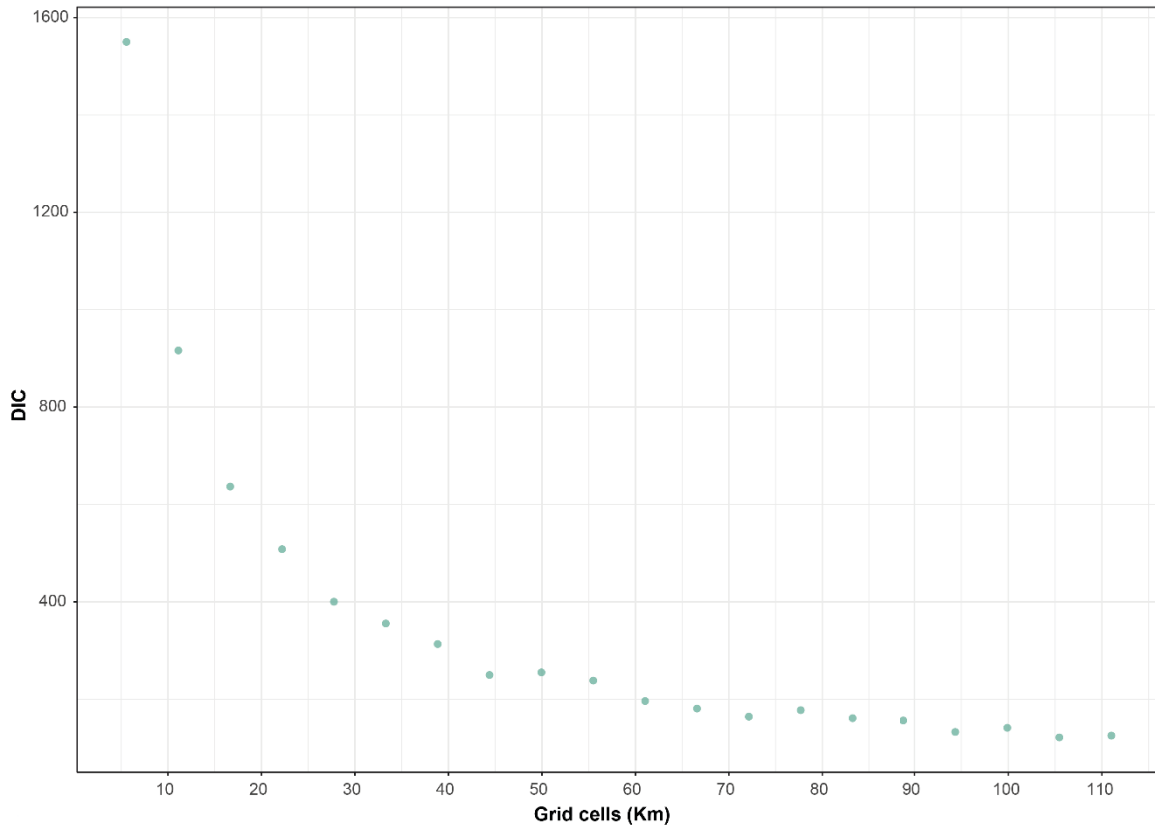
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**Figure 4:** Variation in DIC with changes in model cells' spatial resolution in decimal degrees.

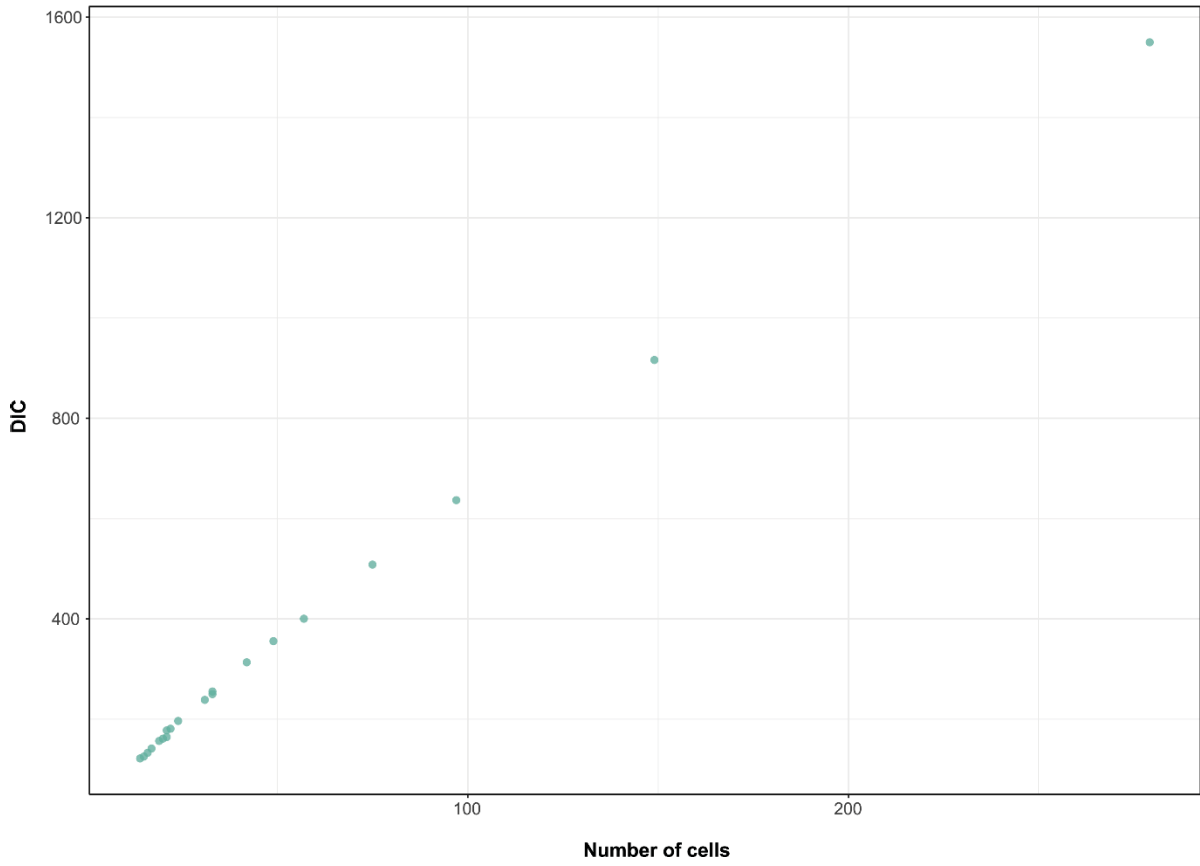
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309 **Figure 5:** Variation in DIC with changes in model cells' size, in kilometres.

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312 **Figure 6:** Variation in DIC with changes in models' number of cells.

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**Table 1: Variation in number of cells, cell size and DIC for all models.**

Cell spatial resolution (Decimal degrees)	Expected number of cells	Expected number of cells standard deviation	Observed number of cells	Cell size (Km <sup>2</sup> )	DIC
1	14.79	0.054	15	111.0	125.41
0.95	13.84	0.075	14	105.45	121.71
0.9	16.77	0.06	17	99.9	141.44
0.85	15.63	0.097	16	94.35	132.9
0.8	18.71	0.071	19	88.8	156.49
0.75	19.64	0.089	20	83.25	161.2
0.7	20.62	0.119	21	77.7	177.62
0.65	20.57	0.099	21	72.15	164.39
0.6	21.57	0.093	22	66.6	180.97
0.55	23.48	0.114	24	61.05	196.44
0.5	30.21	0.166	31	55.5	238.44
0.45	32.11	0.172	33	49.95	255.19
0.4	32.09	0.174	33	44.4	249.89
0.35	40.59	0.251	42	38.85	313.39
0.3	47.12	0.313	49	33.3	355.63
0.25	54.48	0.387	57	27.75	400.35
0.2	70.89	0.54	75	22.2	508.27
0.15	89.96	0.839	97	16.65	636.84
0.1	135.03	1.3	149	11.1	916.34
0.05	229.45	6.21	279	5.55	1550.01

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## 5. INFERENCES OF MODELING STRANDED LOGGERHEADS WITH LOG-GAUSSIAN COX POINT PROCESS MODELS

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This paper has successfully applied log-Gaussian Cox PPM model development and fitting for a marine threatened species, the loggerhead *C. caretta*. Our results indicate that stranding data, especially when obtained by standardized monitoring programs, could provide crucial information on the spatial distribution of marine species for conservation management and strategic planning, improving public policies, impacting mitigation actions and decision-making. In this preliminary analysis, we were able to infer *C. caretta* stranding intensities for areas in the southern and southeastern Brazilian coast, identifying potential stranding hotspots that should be deeper carefully investigated in the future.

326           Additionally, determining grid cells with 40km<sup>2</sup> areas as optimal model spatial  
327 resolution provides a statistical foundation to future complex model constructions, allowing  
328 for the selection of environmental and biological data on adequate model resolutions.  
329 Therefore, reducing inference biases and indicating conservation priority areas at local  
330 levels.

331           Finally, our results demonstrate the importance of improving the stranding modeling with  
332 environmental, biological, and mortality factors not only for loggerheads, but primarily for  
333 threatened marine megafauna species with available stranding data. Thus, the application  
334 of PPMs may lead to advanced utilization of strandings as a cost-beneficial method for the  
335 betterment of conservation actions and coastal management.

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## 2. SUMÁRIO DE RESULTADOS E CONCLUSÃO GERAL

Nessa dissertação, nós analisamos a variação espaço-temporal dos enalhes de *Caretta caretta* obtidos pelo PMP-BS, entre 2015 e 2020 na área entre os estados do Rio de Janeiro e Santa Catarina (25°05'S 42°35'W a 25°55'S 43°34'W), e apresentamos os resultados em dois capítulos. O primeiro capítulo apresentou a caracterização populacional de tartarugas-cabeçuda encalhadas como majoritariamente fêmeas (2:1 de proporção sexual) em estágios de desenvolvimento juvenil tardio ou adulto, com tamanho e idades médios de 77,75 cm  $\pm$  10,82 SD e 15,3 anos  $\pm$  3,95 SD, que utilizam a região como zona de forrageio e corredor migratório; assim como destacou o impacto provocado pela degradação ecossistêmica nas taxas de mortalidade de tartarugas-cabeçuda na região, principalmente por interações com pesca; e ainda identificou os *hotspots* de enalhes nas mesorregiões SCSP, PC e NCSC, com aumento de incidência sazonal entre julho e outubro. De maneira geral, este capítulo contribui com futuras medidas de conservação e mitigação de impactos para *C. caretta* na costa brasileira, incluindo informações consideradas prioritárias para a conservação da espécie pelo Plano de Ação Nacional para a Conservação das Tartarugas Marinhas - PAN/ICMBio. Os resultados do capítulo contribuem com pelo menos 17 ações (1.1, 1.2, 1.4, 1.9, 2.1, 2.6, 5.1, 5.2, 5.3, 5.4, 5.5, 6.5, 7.2, 7.3, 7.5, 7.6, 7.10) descritas em cinco objetivos específicos (1, 2, 5, 6 e 7) do PAN.

No que diz respeito à classificação internacional de risco de extinção da população de tartarugas-cabeçuda da SWA-RMU, proposta pelo *Marine Turtle specialist group* da IUCN (<https://www.iucnredlist.org/>), atualmente como “quase-ameaçada”, acreditamos que os cenários de mortalidade indicados pelos enalhes analisados no capítulo 1 ressaltam preocupações e a relevância de uma re-avaliação e do status atual com base, principalmente, nos princípios de precaução para a conservação desta RMU.

No segundo capítulo, os resultados demonstram a efetividade de utilização dos modelos Log-Gaussian Cox PPM para a análise de enalhes, indicando que as

vantagens dos *Point Process Models* em relação a outras modelagens também se aplicam a avaliação de dados de encalhes. Além disso, estabelecemos a adequada resolução espacial para a modelagem de encalhes de tartarugas-cabeçuda na região, e valores preditos de intensidade de encalhes para cada célula dos modelos. Assim, encorajamos o uso destes modelos e resoluções de grid para a construção de modelos mais complexos, integrando múltiplos fatores, para tartarugas-cabeçuda e para demais espécies de megafauna marinha que apresentam bancos de dados de encalhes. Estes modelos podem resultar em cenários futuros promissores relacionados a contribuição dos encalhes no planejamento espacial marinho e na conservação de espécies ameaçadas.

Dessa maneira, acreditamos que de forma geral, esta dissertação tenha contribuído para a conservação de *C. caretta* ao resultar em conhecimento científico inédito e com potencial de embasar gestores, atores governamentais e sociais, tomadores de decisão e outros cientistas no delineamento de políticas públicas e ações direcionadas à conservação e mitigação de impactos que atingem a espécie.

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