

UNIVERSIDADE FEDERAL DO PARANÁ

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**ANÁLISE METAGENÔMICA DO MICROBIOMA DE MANGUE DO
LITORAL DO PARANÁ**

CURITIBA

2016

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**Tese apresentada como requisito parcial
à obtenção do grau de Doutor em
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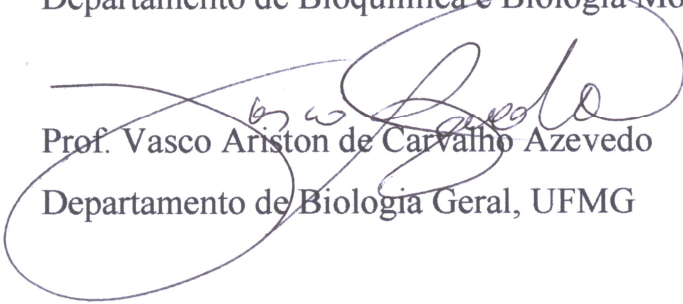
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RESUMO

A descrição da penicilina revelou que microrganismos eram a fonte primária de muitos produtos naturais com aplicações farmacológicas. Sua bem-sucedida adoção medicamentosa foi seguida por um período prolífico de isolamento de novas drogas obtidas a partir de microrganismos encontrados no ambiente. Entretanto, a velocidade de novas descobertas diminuiu quando se observou que a grande maioria dos microrganismos não era prontamente cultivável em condições laboratoriais, ao mesmo tempo em que os organismos patogênicos alvo das drogas que vinham sendo usadas desenvolviam resistência. Logo ficou evidente que a empreitada deveria buscar novas estratégias. Pesquisadores passaram a estudar novas classes de metabólitos secundários, entre os quais chamou a atenção os produtos das sintetases de peptídeos não-ribossomais (NRPS) e sintases de policetídeos (PKS). Os módulos que compõem esses sistemas enzimáticos são formados por alguns domínios básicos e outros opcionais, que a partir de precursores simples – aminoácidos e acetato, respectivamente – são capazes de sintetizar moléculas com grande variedade estrutural, muitas com atividade biológica igualmente diversa. Outra frente de investigação se aproveitou dos avanços nas técnicas de isolamento e pesquisa independente de cultivo para explorar ambientes antes negligenciados, como o mar, que logo revelou hospedar uma nova gama de microrganismos e produtos derivados. Além de informações funcionais específicas, a exploração eficiente e sustentável desses ambientes exige uma melhor caracterização de suas comunidades microbianas; microrganismos são componentes essenciais de seu funcionamento, mas essa literatura ainda é escassa. Em virtude disso, esse trabalho propôs a investigação do microbioma de sedimentos de mangue da região da Baía de Paranaguá, Paraná, Brasil, através de metagenômica. No primeiro capítulo, usamos técnicas de sequenciamento de amplicons gerados a partir do gene de rRNA 16S para caracterizar a comunidade presente e determinar os fatores ambientais que moldam essa estrutura. Encontramos um predomínio dos filos *Proteobacteria*, *Bacteroidetes*, *Cyanobacteria*, *Chloroflexi* e *Acidobacteria*, quase todos significativamente afetando as estruturas intralocais. As classes mais abundantes foram *Deltaproteobacteria*, *Gammaproteobacteria* e *Alphaproteobacteria*. Revelamos forte influência da composição química derivada da proximidade do mar, sendo os fatores pH (SMP), $H^+ + Al^{3+}$, Ca^{2+} , Mg^{2+} , Na^+ , T, P, Na% e Ca/Mg significativos, mas não encontramos efeito de presença de planta, possibilidade descrita na literatura. Comparamos essa comunidade com a de outros mangues e encontramos similaridades que apontam para um perfil característico dependente de bioma, dominado pelos mesmo filos abundantes encontrados no mangue paranaense. No segundo capítulo, realizamos o sequenciamento shotgun de duas amostras do mangue pertencentes a localizações quimicamente diversas para determinar seu perfil funcional. As funções prevalentes eram relacionadas a subsistemas baseados em formação de cluster, carboidratos, aminoácidos e derivados, e funções variadas. Em níveis hierárquicos mais baixos, a abundância relativa de funções é geralmente balanceada. As diferenças funcionais também se mostraram dependentes de fatores ambientais, mas essa influência é menor do que no perfil taxonômico, o que indica resiliência funcional frente a alterações externas. As similaridades do mangue frente a outros biomas se mostraram mais dependentes de tipo de matriz (onde solo > água) do que de composição química (onde salina > não-salina); as dissimilaridades funcionais também foram menores que as taxonômicas, mas sua intensidade manteve relação com o grau de diferença de ambiente. No terceiro capítulo, o sequenciamento e processamento de amplicons provindos dos domínios A e C de enzimas NRPS, e KS de enzimas PKS, confirmou o mangue estudado como fonte potencial de metabólitos secundários. As

medidas de diversidade não mostraram um padrão entre domínios enzimáticos, mas sugerem os melhores grupos para prospecção: verão | localização B para domínios A, inverno | localização B para domínios C, e inverno | localização A para domínios KS. Apesar de muitas sequências encontradas terem sido taxonomicamente afiliadas a gêneros reportadamente envolvidos na síntese desses metabólitos secundários, a descoberta de novos produtores é esperada dado o número de OTUs reportados e a presença de muitas sequências relacionadas a bactérias não caracterizadas. Os resultados apresentados devem servir de base para futuros estudos de prospecção direcionada.

ABSTRACT

The description of penicillin revealed that microorganisms were the primary source of many natural products with pharmacological application. Its successful adoption as a medicament was followed by a prolific period in the isolation of new drugs obtained from microorganisms found in the environment. However, the pace of new discoveries decreased when it was noticed that the vast majority of microorganisms was not readily cultivable in laboratorial conditions, while the pathogenic organisms targeted by drugs in use developed resistance. It soon became evident that the enterprise should try new strategies. Researchers started studying new classes of secondary metabolites, among which much attention was given to the products of non-ribosomal peptide synthetases (NRPS) and polyketide synthases (PKS). The modules building these enzymatic systems are formed by some basic and optional domains, which, from simple precursors – amino acids and acetate, respectively – are able to synthesize molecules with an incredible structural variety, many with equally diverse biological activity. Another front of investigation took advantage of the advances in isolation and cultivation-independent research techniques to explore environments previously neglected, like the ocean, which soon revealed to host a whole new range of microorganisms and derived products. Besides specific functional information, the efficient and sustainable exploration of such environments demands a better characterization of their microbial communities; microorganisms are essential components of their functioning, yet this literature is scarce. Following from these, this work proposed investigating the microbiome of mangrove sediments from the region of Paranaguá Bay, Paraná, Brazil, through metagenomics. In the first chapter, we used 16S rRNA gene-amplicon sequencing to characterize the community present and to determine the environmental factors shaping this structure. We found a predominance of phyla *Proteobacteria*, *Bacteroidetes*, *Cyanobacteria*, *Chloroflexi* and *Acidobacteria*, almost all significantly affecting the intralocal structures. The most abundant classes were *Deltaproteobacteria*, *Gammaproteobacteria* and *Alphaproteobacteria*. We revealed a strong influence of the chemical composition derived from the proximity to sea, being significant the factors pH (SMP), $H^+ + Al^{3+}$, Ca^{2+} , Mg^{2+} , Na^+ , T, P, Na% and Ca/Mg, but we found no plant effect, a possibility described in the literature. We compared this community with those of other mangroves and found similarities that point to a biome-dependent characteristic profile, dominated by the same abundant phyla found in the mangrove from Paraná. In the second chapter, we performed the shotgun sequencing of two mangrove samples from different environments to determine their functional profile. Prevailing functions were related to clustering-based subsystems, carbohydrates, amino acids and derivatives, and miscellaneous functions. In lowest hierarchy levels, the relative abundance of functions is generally balanced. The functional differences were also dependent on environmental factors, but this influence was smaller than in the taxonomic profile, which indicates functional resilience in front of external changes. The similarities of mangroves to other biomes were more dependent on matrix type (where soil > water) than on chemical composition (where saline > non-saline); the functional dissimilarities were also smaller than the taxonomical, but the intensity kept its relation to the degree of environmental difference. In the third chapter, sequencing and processing of amplicons derived from A and C domains from NRPS, and KS from PKS, confirmed the studied mangrove as a potential source of secondary metabolites. The diversity measures showed no pattern among the enzymatic domains, but suggest the most suitable groups for prospection: summer | location B for A domains, winter | location B for C domains, and winter | location A for KS domains. Even though many sequences were taxonomically affiliated to genera reportedly involved in the

production of such secondary metabolites, the discovery of new producers is expected given the number of OTUs reported and the presence of many sequences affiliated to uncharacterized bacteria. The results presented will be the basis for future studies of directed prospection.

INTRODUÇÃO

A busca por antibióticos

A descoberta e introdução de antibióticos para o tratamento de doenças na década de 1940 foi um marco. Apesar de as drogas pioneiras dessa revolução, penicilina e estreptomicina, serem ainda importantes agentes farmacológicos, seu uso disseminado logo revelou que elas não eram a solução definitiva como seu sucesso inicial fez parecer: os microrganismos nos quais elas agem desenvolvem resistência. Assim, ficou evidente que a busca por novos antibióticos precisa ser constante. Por volta da década de 1960, muitos pesquisadores foram bem-sucedidos em descobrir novas moléculas derivadas de fontes naturais: 20 novas classes de antibióticos foram comercializadas no período (Coates, Halls e Hu, 2011), dentre elas as tetraciclina, as cefalosporinas, os aminoglicosídeos e os macrolídeos. Entretanto, limitações nas técnicas para o isolamento e cultivo dos microrganismos produtores levou a iniciativa a um novo entrave. Desde a descoberta de lipopeptídeos em 1987, o lançamento de novas drogas dependeu quase que exclusivamente de desenvolvimento sintético ou da modificação de moléculas anteriores (Payne *et al.*, 2007), mas sua eficiência nunca se comparou à dos produtos naturais; por volta do ano 2000, essa estratégia se mostrou insuficiente para competir com a emergência de bactérias resistentes (Coates, Halls e Hu, 2011). Uma revisão recente aponta que todo antibiótico em uso clínico tem resistência conhecida (Banskota *et al.*, 2006), e o surgimento de bactérias multirresistentes apresenta sérias ameaças à saúde pública.

Novas tecnologias recentemente desenvolvidas trouxeram fôlego renovado a essa empreitada vital. Técnicas inovadoras de cultivo levaram ao isolamento de espécies até então não caracterizadas, o que possibilitou a descoberta da teixobactina (Ling *et al.*, 2015), a primeira nova classe de antibióticos em três décadas, sem resistência conhecida. A investigação de novos ambientes levou à descoberta da lugdunina (Zipperer *et al.*, 2016), outra molécula de alta eficiência obtida de uma bactéria comensal humana, o primeiro reporte do tipo. Esses resultados animam as principais vias atuais de prospecção *in silico*, a mineração genômica e a exploração metagenômica, que por sua vez ampliaram seu campo de investigação para incluir novos ambientes e novas classes de moléculas.

Metabólitos secundários

Produtos naturais são substâncias que tradicionalmente representam um dos mais importantes ramos da química orgânica. Eles podem ser derivados do metabolismo primário ou, mais comumente, secundário de organismos vivos. Os metabólitos primários (polissacarídeos, proteínas, ácidos nucleicos e ácidos graxos) são comuns em todos os sistemas biológicos. Os metabólitos secundários, entretanto, são química e taxonomicamente diversos e muitos têm função obscura (Bérdy, 2005), não possuindo um papel explícito na economia interna do organismo que os produz (Williams *et al.*, 1989). Essas moléculas são geralmente produtos distintivos de grupos particulares de organismos, algumas vezes mesmo de uma única cepa. Muitas, se não estruturalmente monoméricas, são oligômeros de massa molecular não maior que 3000 Da. A maioria é secretada pelos organismos produtores (Vining, 1990).

Quando primeiro descritos, os metabólitos secundários foram classificados como não funcionais. Entretanto, apesar de não conferirem funções metabólicas essenciais, organismos evoluíram a habilidade de produzir tais compostos devido às vantagens seletivas que eles conferem. Em alguns casos, por exemplo, essas moléculas podem atuar como sinais para desencadear processos de diferenciação celular, agindo como análogos de hormônios; em outros, podem servir à comunicação em processos de simbiose (Beppu, 1992). Em ambientes onde há competição, podem repelir outros organismos com diferentes intensidades, causando até morte celular (Stone e Williams, 1992). Nesse caso, organismos sem um sistema imunológico são prolíficos produtores de metabólitos secundários, os quais atuam como um mecanismo de defesa alternativo. A ampla e sofisticada variedade estrutural desses produtos e modos de ação requer vias biossintéticas igualmente complexas, altamente coordenadas, as quais apresentam custo energético alto e, por isso, não teriam evoluído a menos que seus produtos tivessem funções vantajosas. Muitos metabólitos secundários são produzidos naturalmente, e não como artefatos de condições de cultivo, e apresentam atividade biológica derivada de interações específicas com receptores aos quais apresentam alta complementaridade. A organização em clusters de genes codificando a biossíntese, regulação e auto resistência a essas moléculas é evidência molecular em favor da evolução de metabólitos secundários funcionais.

Antes da descrição da penicilina, poucos produtos naturais microbianos foram descobertos em decorrência de sua atividade biológica. A percepção dessa função mudou, com sucesso, o foco de investigação: a pesquisa intensiva de microrganismos por substâncias de valor medicinal revelou uma ampla coleção de atividades biológicas apresentadas por metabólitos secundários. Atualmente, há vasta documentação sobre sua atividade tóxica, inseticida, nematicida, farmacológica e imunológica, além da antibiótica primeiramente reportada (Vining, 1990), sendo comumente empregados em terapia humana, veterinária e na agricultura. Essa gama funcional se deve à forma oportunística com que foram incorporados à maquinaria celular, cada um conferindo sua própria vantagem particular, e à variedade de composição estrutural. Estima-se que a eles é devida 40% da diversidade de produtos naturais, e que pelo menos metade nunca foi sintetizada artificialmente (Feher e Schmidt, 2003).

NRPS e PKS

Entre os metabólitos secundários com ampla variedade estrutural e atividade biológica, duas classes que vêm recebendo atenção são os produtos das sintetases de peptídeos não-ribossomais (NRPS) e das sintases de policetídeos (PKS), gerados a partir da condensação, alongação e modificação de precursores simples – aminoácidos e acetato, respectivamente. Apesar de usarem mecanismos químicos distintos para a manipulação de seus substratos, esses sistemas enzimáticos apresentam similaridades marcantes na arquitetura de seus domínios catalíticos e em seus mecanismos de linha de montagem. Ambos os sistemas são formados por módulos, cada um responsável por um passo discreto na cadeia de alongação, e a ordem específica dos módulos define, em princípio, a sequência de incorporação de blocos unitários. Os módulos, por sua vez, são compostos por domínios proteicos que lhes conferem especificidade.

Um módulo típico de NRPS tem em sua configuração mínima um domínio de adenilação (A) responsável pela ativação do aminoácido, um domínio de tiorlação (T), também conhecido como proteína carreadora de peptidil (PCP), à qual o aminoácido ativado é covalentemente ligado, e um domínio de condensação (C) que catalisa a formação da ligação peptídica entre os substratos do módulo atual e do módulo anterior. O módulo de PKS contém três domínios análogos: um domínio aciltransferase (AT) para seleção e transferência da unidade de extensão à proteína carreadora de acil (ACP), e um domínio sintase de cetoacil (KS) para a condensação descarboxilativa da unidade

extensora com um tio éster acil. Nos dois casos, um domínio de terminação (TE) é responsável por liberar os produtos finais de síntese (Figura 1).

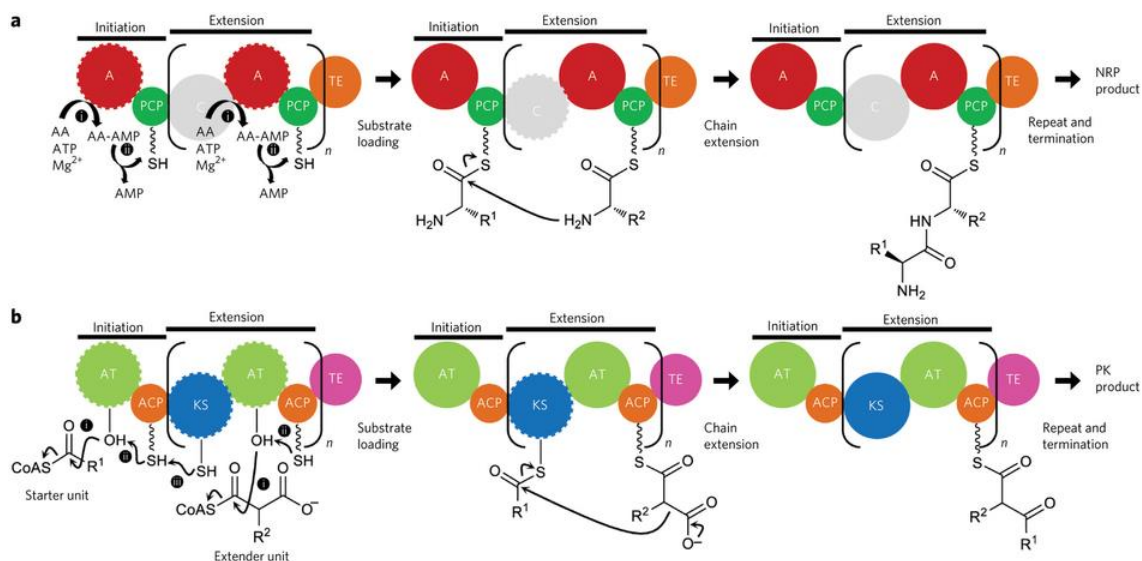


Figura 1. Estrutura básica dos módulos de iniciação e extensão de a) NRPS e b) PKS.

Fonte: Weissman, 2014.

Os fatores que fazem com que os produtos de NRPS e PKS tenham uma grande diversidade estrutural são a associação combinatória de seus módulos, a possibilidade de operação modular iterativa resultando em produtos alongados ou de serem ignorados para gerar produtos encurtados, e a capacidade de interagir de forma não-linear (Wenzel e Müller, 2005). Adicionalmente, uma variedade de domínios opcionais é responsável por modificações diversas. Um novo nível de diversidade é possibilitado pela existência de sistemas NRPS-PKS híbridos, cujos mecanismos só recentemente passaram a ser investigados (Fisch, 2013).

A relevância desses complexos enzimáticos para a pesquisa de metabólitos secundários pode ser ilustrada pelo lançamento recente de bases de dados curadas como antiSMASH (Medema *et al.*, 2011), DoBISCUIT (Ichikawa *et al.*, 2012) e ClusterMine360 (Conway e Boddy, 2013), que buscam organizar o grande número de informações disponíveis em catálogos de domínios, módulos, clusters gênicos e produtos de síntese.

Prospecção de metabolismo secundário no ambiente

Apesar dos avanços nas técnicas de cultivo, a maioria dos microrganismos continua distante do isolamento. As técnicas moleculares são reconhecidas por terem tornado a exploração desse universo oculto possível, mas além disso, seu emprego aumentou a eficiência da empreitada por novos produtos naturais: antes mesmo de escrutinar os produtos de um organismo – um processo trabalhoso e demorado –, a busca por seus genes produtores permite uma investigação mais direcionada (Payne *et al.*, 2007). Os avanços nas técnicas de bioinformática consolidaram a mineração genômica como ferramenta inovadora de exploração do potencial metabólito de organismos individuais (Winter, Behnken e Hertweck, 2011, Corre e Challis, 2009). Mas foi o estabelecimento da metagenômica que permitiu ampliar as possibilidades de pesquisa para incluir, por exemplo, o estudo de genomas de todos os organismos de um ambiente.

Estudos metagenômicos envolvem, basicamente, a extração e análise do DNA total de uma amostra ambiental. Estudos *in silico* dirigidos, onde os produtos de PCR de sequências alvo do metagenoma são sequenciados, possibilitam a análise do alcance de diferentes ortólogos daquela sequência dentro de uma comunidade, o que resulta num perfil da estrutura dessa comunidade. Estudos *in silico* não-dirigidos, chamados de sequenciamento aleatório “shotgun”, se baseiam no sequenciamento integral do DNA ambiental, gerando um perfil dos genomas presentes (Gilbert e Dupont, 2011), que pode ser base tanto de inferências taxonômicas quanto funcionais. Se, por um lado, as sequências apresentam menor profundidade de sequenciamento e são mais fragmentadas que nos projetos de sequenciamento genômico, por outro se obtêm informações de um conjunto muito maior de organismos habitantes, o que pode direcionar estudos posteriores mais focalizados sem a necessidade de sequenciar todos os genomas individuais presentes.

Prospecção de metabolismo secundário no mangue

A importância de microrganismos terrestres como fonte de metabólitos bioativos é bem estabelecida por mais de meio século. Devido à facilidade de obtenção, eles foram os primeiros microrganismos a serem levados ao laboratório. Mas tão logo seu isolamento se mostrou um trabalho árduo e pouco eficiente, com diversidade limitada, novos

ambientes começaram a ser investigados. Além disso, a exploração de novos ambientes prometia novidade: ecossistemas únicos possuem organismos únicos com vias metabólicas únicas.

O início do interesse de cientistas pela busca de drogas no ambiente marinho não é recente, podendo ser oficialmente atribuído ao simpósio “*Drugs from the Sea*”, acontecido nos Estados Unidos em 1967 (Fusetani, 2000). O isolamento alguns anos antes da cefalosporina, produzida por um fungo encontrado em água marinha, foi o primeiro sinal de que muitos metabólitos obtidos de algas e invertebrados seriam na verdade produzidos não por esses organismos mais complexos, mas por microrganismos associados. Como consequência, na década de 1990, o número de moléculas de origem marinha descobertas dobrou em relação ao período anterior, mas o aumento na fração correspondente àquelas produzidas por microrganismos foi de dez vezes (Kelecom, 2002). Até o ano 2000, aproximadamente 10000 metabólitos foram isolados (Fusetani, 2000), muitos com propriedades farmacológicas de amplo espectro de atividade: antibiótica, antifúngica, tóxica, citotóxica, neurotóxica, antimetabólica, antiviral e antineoplásica (Kelecom, 2002). A adição recente de técnicas moleculares modernas envolvendo bioinformática e tecnologia recombinante foi instrumental no aprofundamento do entendimento e apreciação das vias microbianas marinhas complexas. Várias vias biossintéticas em bactérias marinhas e cianobactérias foram elucidadas pela primeira vez em nível molecular, incluindo aquelas de policetídeos, peptídeos e moléculas híbridas (Moore, 2005), abrindo a porta para novas oportunidades de pesquisa envolvendo engenharia metabólica e biocatálise.

Mangues são florestas altamente produtivas confinadas às regiões tropicais e subtropicais (Figura 2), onde a temperatura elevada favorece seu desenvolvimento (Alongi, 2002). O Brasil ocupa a segunda posição no ranking de maiores florestas (Spalding, Kainuma e Collins, 2010). Mangues possuem uma associação complexa e rica de espécies associadas, dando suporte a uma rede alimentar aquática complexa. São importantes refúgios para animais marinhos e terrestres, estabilizam costas e trocam nutrientes com ecossistemas adjacentes. Comunidades humanas em seu entorno fazem uso de mangues como provedores de material para construção, lenha, carvão, alimentos e medicamentos (Yan, Hong and Yu, 2006; Alongi, 2002).

Estando presentes na interface terrestre e oceânica, os mangues apresentam uma comunidade microbiana caracterizada por adaptações necessárias para a sobrevivência nos dois ambientes, o que lhes confere vias metabólicas únicas (Thatoi *et al.*, 2013). Não

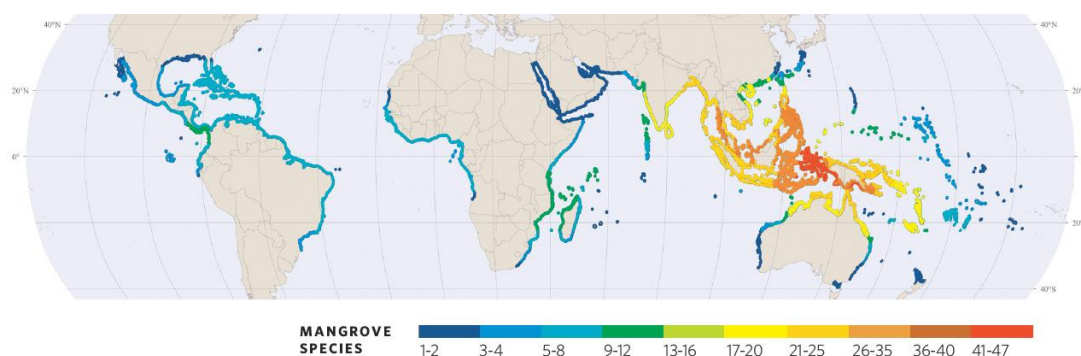


Figura 2. Distribuição global de mangues, e respectiva diversidade vegetal. Fonte: US Department of Commerce (2014).

por acaso, 23 novos metabólitos obtidos de bactérias associadas com mangues foram reportados em 2014, mais que o dobro do período anterior, entre eles a mangromicina A, exibindo potente atividade antitripanossômica e sequestrante de radicais (Nakashima *et al.*, 2014) e moléculas obtidas dos gêneros *Streptomyces*, *Jishengella*, *Micromonospora* e *Verrucosipora* (revisados em Blunt *et al.*, 2016). Produtos nitrogenados, incluindo peptídeos cíclicos, e derivados de acetato constituem as cinco principais classes de metabólitos encontrados em microrganismos marinhos, perfazendo mais de 60% do total. Quando a atividade biológica de produtos bacterianos é investigada, 45% tem ação antibiótica, sendo que desse total, 38% foram obtidas de sedimentos (Kelecom, 2002). Isso faz com que sedimentos marinhos sejam extremamente propícios para prospecção de metabólitos com atividade biológica. Entretanto, a exploração racional do mangue depende de um melhor esclarecimento sobre o frágil equilíbrio que mantém sua eficiência produtiva. Esse habitat é deficiente em alguns nutrientes como nitrogênio e fósforo, mas isso é compensado por um eficiente mecanismo de reciclagem, que depende de uma relação estreita entre microrganismos, plantas e o solo (Feller *et al.*, 2010); ainda não é claro, entretanto, como esses fatores definem a estrutura dessas comunidades (Nogueira *et al.*, 2015; Andreote *et al.*, 2012).

Apesar dos vários estudos sobre a biogeografia, a botânica, a zoologia, a ictiologia, a poluição ambiental e o impacto econômico, pouco se sabe sobre a vida microbiana em águas e sedimentos de mangue (Andreote *et al.*, 2012; Sahoo e Dhal, 2009; Gomes *et al.*, 2008). Esse levantamento e a subsequente comparação entre diferentes mangues pode permitir a descrição de um microbioma central composto por membros compartilhados, os quais podem ser críticos no funcionamento dessas comunidades. A definição de um microbioma central é o primeiro passo na definição de um ambiente

sadio, da onde é possível prever respostas a distúrbios (Shade e Handelsman, 2012) e desenvolver estratégias com interesse biotecnológico.

Este trabalho

A justificativa para este trabalho se baseou no evidente potencial biotecnológico presente no metabolismo secundário do mangue, cuja estrutura microbiana segue em grande parte desconhecida, sua elucidação podendo ainda agregar às estratégias voltadas a sua conservação. O objetivo principal foi utilizar técnicas metagenômicas para definir o perfil taxonômico e funcional desse ambiente, e os fatores ambientais que dirigem essa constituição, construindo a base conceitual necessária para estudos posteriores. Secundariamente, sugerimos a comparação dos perfis encontrados com outros mangues e outros biomas, de forma a apontar similaridades e diferenças que também podem ser atribuídas a características ambientais compartilhadas. Por fim, investigamos a diversidade de domínios proteicos relacionados à síntese de metabólitos secundários por enzimas NRPS e PKS, de forma a quantificar o potencial presente para síntese dessas moléculas, o que será útil na definição de futuras estratégias de prospecção direcionada.

Amostras de sedimento de mangue foram obtidas da região da Baía de Paranaguá, Paraná, Brasil, de acordo com três fatores ambientais: estação do ano (verão e inverno), região do estuário (mais próxima e mais distante do mar aberto) e proximidade das plantas prevalentes na região (*Avicennia schaueriana*, *Laguncularia racemosa*, *Rhizophora mangle*, *Spartina alterniflora* e uma região livre de vegetação). As vinte condições, representadas individualmente por um homogeneizado de três pontos equidistantes até 30 cm ao redor do local de coleta, foram obtidas em triplicata, somando 60 amostras.

No Capítulo 1, objetivando a caracterização do microbioma, realizamos o sequenciamento de amplicons do gene de rRNA 16S das amostras obtidas no verão, revelando um perfil estrutural que pôde ser comparado com outros mangues para a definição de uma estrutura central; também pudemos avaliar a relevância dos fatores ambientais na definição dessa estrutura. No Capítulo 2, escolhemos duas amostras representativas de dois fatores ambientais que definem a estrutura da comunidade para realizar o sequenciamento integral de seus respectivos metagenomas, construindo um perfil funcional que também adiciona à caracterização pendente desse habitat, e a partir dele comparamos o mangue com outros biomas com diferentes graus de similaridade, reportando as relações encontradas. No Capítulo 3, buscando dimensionar o potencial biotecnológico presente no metabolismo secundário do mangue, sequenciamos amplicons correspondentes a sequências dos domínios proteicos A e C de enzimas NRPS, e KS de enzimas PKS, revelando a diversidade desses complexos catalíticos no ambiente, o que

representa o primeiro passo na definição de estratégias de prospecção focalizada de metabólitos secundários no mangue.

CAPÍTULO 1 – Fatores ambientais formatam a estrutura da comunidade do microbioma em sedimentos de mangue na costa do sul do Brasil

1 **Environmental factors shape microbiome community structure** 2 **in mangrove sediments in the Southern Brazilian Coast**

3 4 **Abstract**

5
6 Mangroves are highly efficient ecosystems in which a diverse array of microorganisms
7 interact with the few plant species present to maintain an economic energy flux, in which
8 recycling is crucial. Being present in the interface between land and sea, its microbial
9 community has evolved to cope with its very characteristic features: varying degrees of
10 salinity and constant anaerobicity. Despite its ecological and economical importance,
11 knowledge about the microbial world inhabiting mangroves and factors affecting its
12 structure is still scarce. We collected samples from the sediments of mangroves in
13 Paranaguá Bay, Brazil, in two locations representing the extremes of a salinity gradient;
14 within each spot, samples were taken in the proximity to four prevailing plants and in an
15 open area. Location was a strong factor shaping community; the chemical
16 characterization of the sediments showed that many components usually associated with
17 soil nutritional status drive community patterns. We did not observe a plant effect. The
18 mangrove showed a prevalence of phyla *Proteobacteria*, *Actinobacteria*, *Chloroflexi*,
19 *Bacteroidetes*, *Acidobacteria* and *Cyanobacteria*, which are commonly associated with
20 soils; furthermore, the comparison with other mangrove areas showed similar relative
21 abundances that suggest a specific mangrove profile. The consolidation of such a pattern
22 signature will be helpful as a fingerprint of the mangrove among other biomes and as a
23 fitness indicator.

24 25 **Introduction**

26
27 Mangrove ecosystems are wetlands present in tropical and sub-tropical coastal
28 regions, covering 60-70% of its coastlines worldwide (Thatoi *et al.*, 2013). Their greatest
29 areal extent is found in the estuarine areas of the tropics (Saseeswari, Kanimozhi and
30 Panneerselvam, 2016). Brazil harbors 8.5% of all mangrove forests, ranking second after
31 Indonesia (Spalding, Kainuma and Collins, 2010); most areas are present in the north
32 region, while in the south, they are sparsely found in river deltas, coastal lagoons and the
33 inner parts of bays (Spalding, Blasco and Field, 1997).

34 Being a transition zone between earth and sea, they are characterized by gradients
35 in salinity caused by high regular rainfall, groundwater flows and rivers diluting seawater
36 to different extents; and by anaerobic soils except for the sediment surface, since they are
37 constantly submerged (Bouchez *et al.*, 2013; Duke, Ball and Ellison, 1998). These
38 contrasts make them heterogeneous habitats. In estuaries, the spatial distribution of
39 species might be classified into downstream, closer to open sea, where salinity and tide
40 variation are higher, thus sediment deposition and nutrient exchange are more dynamic;
41 and upstream, the upper part, where rivers flow into and organic matter content is higher
42 (Duke, Ball and Ellison, 1998). The nutrient availability is variable within and among
43 mangrove ecosystems, ranging from extremely low in oceanic settings to very high in
44 accreting muddy systems; it can vary spatially along tidal gradients and temporally with
45 season and year (Feller *et al.*, 2010).

46 The most prevalent mangrove trees found in Brazil account for only seven species
47 (Spalding, Blasco and Field, 1997). In Paranaguá Bay, located in the southern Brazilian
48 coast, four species are dominant: *Avicennia schaueriana*, *Laguncularia racemosa*,
49 *Rhizophora mangle* and *Spartina alterniflora*. Even though tree diversity is low, the
50 microbial diversity can be high (Andreote *et al.*, 2012). Bacteria seem to have greater
51 importance: they tend to be prevalent (Nogueira *et al.*, 2015) for, due to their small size,
52 being able to colonize a greater variety of niches (Ghizelini, Mendonça-Hagler and
53 Macrae, 2012), and their trophic functions therein are more relevant than in non-tropic
54 habitats (Alongi, 1994). In sediments, high bacterial activity coincide with the presence
55 of plants (Holguin *et al.*, 2001). Plant-microbe-soil relations are tightly linked (Feller *et*
56 *al.*, 2010) and help conserve scarce nutrients through cycling (Alongi, 2009, Lovelock,
57 2008, Holguin *et al.*, 2001). A diverse microbial community continuously transforms
58 dead vegetation in nutrients that are assimilated by plants (Feller *et al.*, 2010; Reef, Feller
59 and Lovelock, 2010; Holguin *et al.*, 2001). In exchange, plant factors such as root
60 exudates influence rhizosphere communities by serving as a nutrient source for
61 microorganisms (Holguin *et al.*, 2001). The physicochemical properties of the soil also
62 influence microbes, and since plants can alter these, all factors may interact. It is not yet
63 quite clear how these factors define the structure of such communities (Nogueira *et al.*,
64 2015; Andreote *et al.*, 2012; Marschner, Crowley and Yang, 2004).

65 Natural benthic communities are stable as long as they are not disturbed (Holguin
66 *et al.*, 2001), thus eutrophication has negative consequences for mangrove growth (Reef,
67 Feller and Lovelock, 2010, Spalding, Kainuma and Collins, 2010). The major problem in

68 predicting mangrove responses to human impact is the lack of long-term data, and the
69 ability to distinguish natural from anthropogenic change. Many environmental projects
70 have been launched to promote research activities and the conservation, rational
71 management and sustainable utilization of mangroves (Spalding, Blasco and Field, 1997).
72 Conservation strategies for mangroves should consider the ecosystem as a biological
73 entity, which includes all the processes that maintain productivity, functionality and
74 resilience. Despite of various studies on the biogeography, botany, zoology, ichthyology,
75 environmental pollution and economic impact, little is known about the microbial life in
76 mangrove waters and sediments (Andreote *et al.*, 2012, Sahoo and Dhal, 2009; Gomes *et*
77 *al.*, 2008). The comparison of mangroves from different geographic regions may enable
78 the description of shared members (core microbiome), which might be critical to the
79 community function. Recognizing this signature structure is the first step to define a
80 healthy environment and to predict responses to disturbance (Shade and Handelsman,
81 2012).

82 We herein describe the microbial communities inhabiting mangroves in the
83 southeastern coast of Brazil. We consider environmental factors such as chemical
84 composition of the sediments and presence of plants to determine their influence in
85 community structure. By comparing our results with other studies, we contribute to the
86 generation of a microbiome fingerprint of the habitat.

87

88 **Materials and methods**

89

90 **Sample extraction and treatment**

91

92 Samples were extracted from the sediments of mangroves close to Paranaguá Bay,
93 Paraná, Brazil, during the summer season (March 14th, 2014), from two locations within
94 the estuary (level I), upstream and downstream. Within each location, three sampling
95 spots (level III) were chosen, so that we had triplicates for each environmental factor
96 tested (Figure 1). Within each spot, the individual samples were retrieved from the

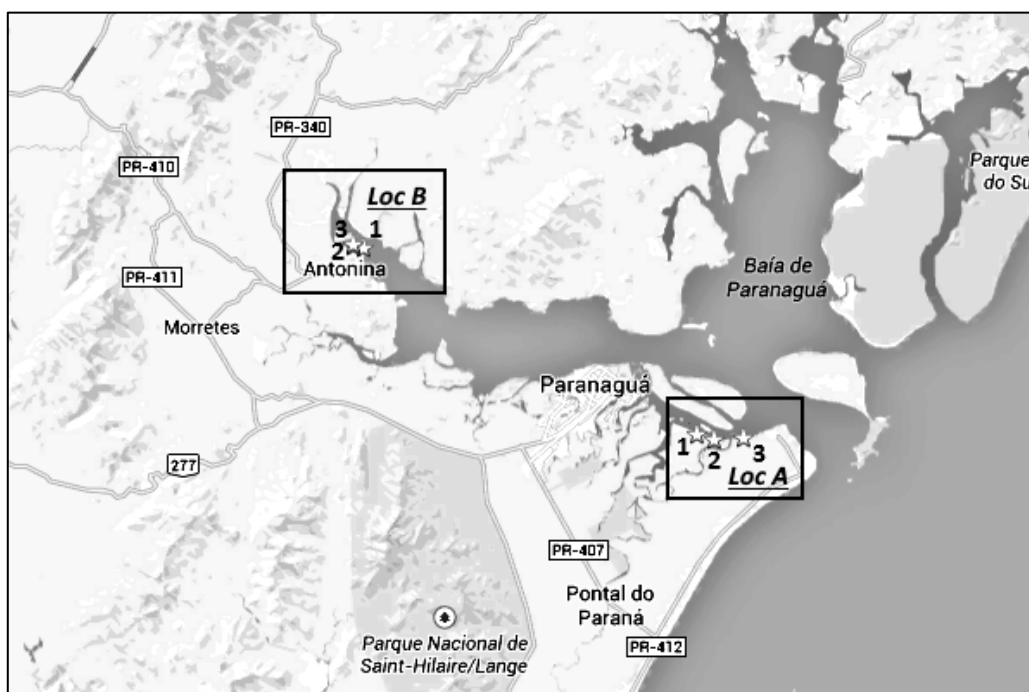


Figure 1. Sampling scheme. Source: Google Maps.

97 surroundings (up to 30 cm away from the roots) of the plants evaluated, as well as from
 98 an area free of vegetation (level II). Samples were coded with a four-digit name given by
 99 the letter V (standing for Summer)+(I)+(II)+(III). For example, the sample taken from
 100 the second spot in the upstream region around *S. alterniflora* got the code VBS2. Table 1
 101 shows the information regarding the levels of sampling, the codes used (in bold) and their
 102 locations. The upper layer of sediment (around 10 cm) was discarded and around 200
 103 grams of the surfaced material was aseptically collected and stored in ice until processing.
 104

105 **Table 1.** Sampling levels (I, II, III), codes (in bold) and coordinates.

Estuary region (I)	Vegetation nearby (II)	Spot (III)	Coordinates
Upstream (B)	<i>Avicennia schaueriana</i> (A)	1	25°25'02.5"S 48°42'29.9"W
	<i>Laguncularia racemosa</i> (L)	2	25°24'59.8"S 48°42'57.2"W
	<i>Rhizophora mangle</i> (R)	3	25°30'31.4"S 48°28'07.4"W
Downstream (A)	<i>Spartina alterniflora</i> (S)	1	25°33'04.5"S 48°26'35.2"W
	Non-vegetated (N)	2	25°33'19.1"S 48°25'46.1"W
		3	25°33'15.6"S 48°24'20.2"W

106

107 **Environmental characterization**

108

109 For the chemical characterization of the sediments, 100 grams of sample was
110 quantified for the following routinely analyzed parameters to assess nutritional status of
111 soils: pH (in CaCl₂ 0.01M), pH (by the SMP method), H⁺+Al³⁺, Ca²⁺, Mg²⁺, K⁺, Na⁺, SB
112 (sum of bases, given by Ca²⁺+Mg²⁺+K⁺+Na⁺), T (cationic exchange capacity, given by
113 SB+H⁺+Al³⁺), P, C, V% (percent base saturation, given by SB/T), Na% (percent sodium
114 saturation, given by Na⁺/T) and Ca/Mg. The analyzes were performed by the Laboratory
115 of Soil Chemistry and Fertility, from the Department of Soils and Agricultural
116 Engineering, from Universidade Federal do Paraná, Brazil, according to Marques and
117 Motta (2003).

118

119 **DNA extraction, 16S rRNA amplification and sequencing**

120

121 DNA was extracted from the samples using the PowerSoil DNA Isolation Kit (MO
122 Bio Laboratories). For the 16S rRNA amplification, a PCR protocol was conducted. The
123 system of 10 µL, containing 10 ng DNA, 1 µM primer 515F, 1 µM primer 806R which
124 included one specific barcode for each sample (as described in Caporaso *et al.*, 2012),
125 and 5 µL KlenTaq DV ReadyMix (Sigma-Aldrich), was submitted to the cycle 94°C 3
126 min, 18x (94°C 45 s, 50°C 30 s, 68°C 1 min), 72°C 10 min. The resulting amplicons were
127 quantified using the Qubit dsDNA HS Assay Kit (Life Technologies) and their quality
128 was assessed in the 2100 Bioanalyzer (Agilent). Sequencing was performed in the MiSeq
129 platform using the MiSeq v2 Reagent Kit 500 Cycles PE (Illumina).

130

131 **Reads processing**

132

133 The sequences obtained were used for diversity assessment. For such, the R1 reads
134 were processed according to Pylro *et al.* (2014). Briefly, the reads were quality filtered,
135 chimeras were discarded, the remaining reads were clustered into OTUs, which had then
136 taxonomy assigned to. The pipeline was run using softwares Qiime v1.8.0 (Caporaso *et*
137 *al.*, 2010) and Usearch v8.0.1623 (Edgar, 2010) and the toolkit Fastx v0.0.14 (not
138 published, available at http://hannonlab.cshl.edu/fastx_toolkit/). Taxonomy was derived
139 from the 16S Greengenes database v13.8 (DeSantis *et al.*, 2006). At the end of this
140 process, we obtained an OTU table, listing the sample counts of all OTUs found with at

141 least 97% identity, and a phylogenetic tree relating them, which were used in the next
142 step.

143

144 **Statistical analysis of diversity**

145

146 The statistical analyses were performed in R v3.3.0 (R Core Team, 2016), using
147 the packages phangorn (Schliep, 2011) and GUniFrac (Chen, 2012). The first is used to
148 root the phylogenetic tree obtained previously. The second uses the rooted tree and the
149 OTU table to calculate the distances between each pair of samples in GUniFrac units; we
150 worked with the d(0.5) matrix obtained, since it is more robust and fitter to test the
151 association of microbiome composition with environmental covariates, once it avoids
152 over- or underestimation of abundant or rare OTUs thus preventing missing important
153 findings (Chen *et al.*, 2012). Previously to this, each sample was subsampled by the script
154 to normalize the number of reads at the minimum sample read count. The statistical
155 significance of all environmental factors was assessed by PERMANOVA (n=999). A
156 PCoA plot was designed based on the distance matrix, to show a graphical representation
157 of the relationship among the samples. The environmental factors and taxa that showed
158 statistical significance in shaping community were fitted into the ordination by function
159 envfit from package vegan (Oksanen *et al.*, 2016).

160

161 **Results**

162

163 **Phylogenetic affiliation of the samples**

164

165 The analysis was performed on 2,066,259 reads, 240-bp long each, with counts
166 ranging from 37,192 to 347,721 per sample, rarefied at the minimum sample count. Figure
167 2 presents a boxplot with the 15 most abundant taxa in the mangrove studied, from
168 phylum to genus level. Among all samples, the proportion of *Bacteria* is (95.3±2.3)%
169 (given as mean ± sd), *Archaea* sums (1.7±1.2)%, and (2.9±1.3)% cannot be assigned to
170 any organism. At the phylum level (sample relative abundances represented at
171 Supplementary Figure 1), the samples contain (53.5±4.2)% *Proteobacteria*, followed by
172 (9.6±2.4)% *Bacteroidetes*, (5.4±2.8)% *Cyanobacteria*, (3.8±1.3)% *Chloroflexi*,
173 (3.8±1.2)% *Acidobacteria* and (3.6±1.2)% *Planctomycetes*, which sum 79.6% of the
174 sequences. The next phylum in relative abundance, (2.9±1.3)%, could not be assigned to

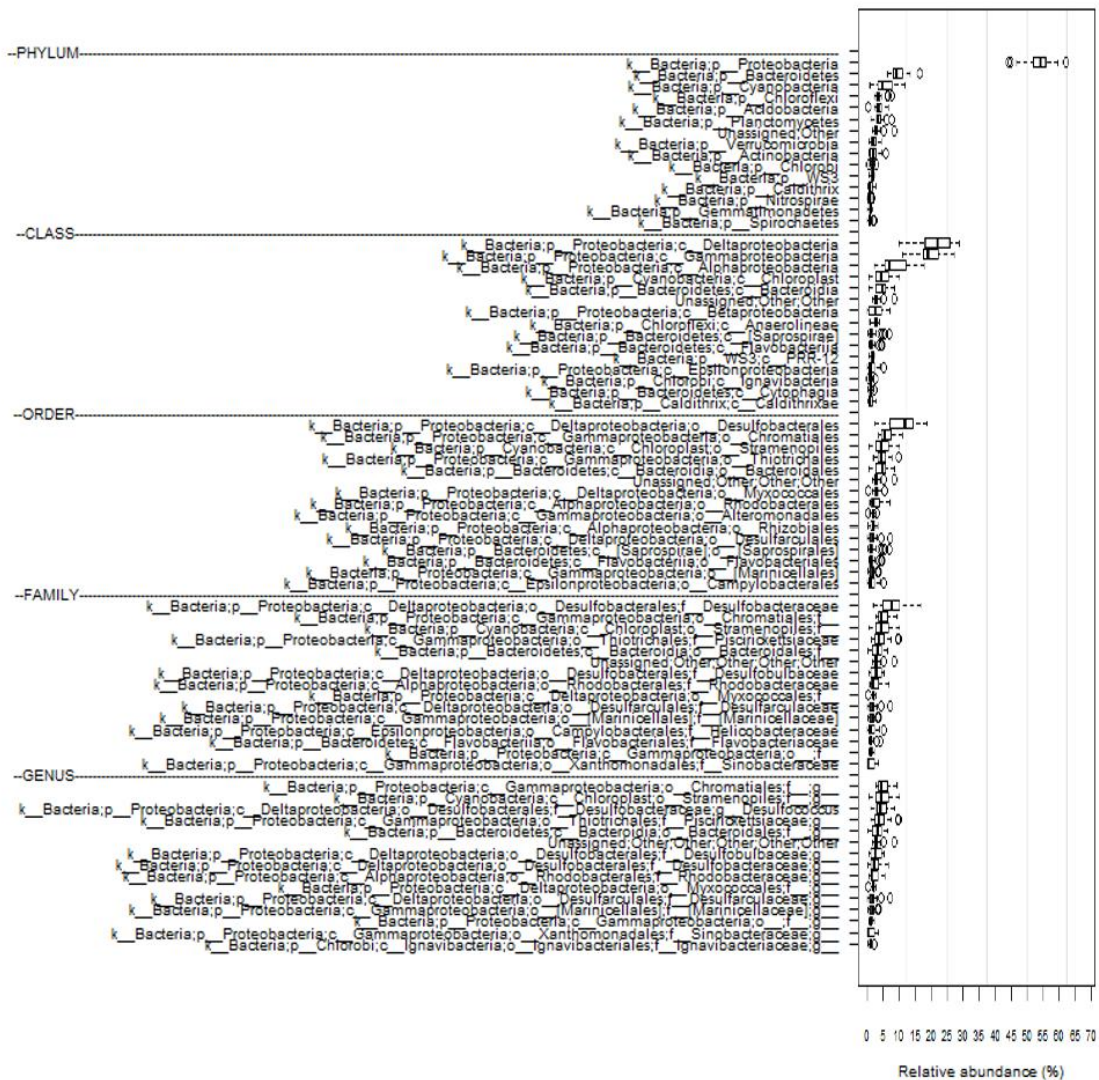


Figure 2. Boxplot summarizing the relative abundances of the fifteen most abundant taxa, by taxon level, from phylum to genus, present in the samples from mangrove sediment.

175 known organisms, possibly having members not yet described. For the classes, there is a
 176 huge prevalence of (21.2±5.3)% *Deltaproteobacteria*, (19.3±3.6)%
 177 *Gammaproteobacteria*, (8.4±3.9)% and *Alphaproteobacteria*, which account for almost
 178 50% of the classes found. The top 25% orders are (11.1±4.2)% *Desulfobacteriales*,
 179 (6.1±2.0)% *Chromatiales*, (4.9±2.5)% *Stramenopiles* and (4.8±2.1)% *Thiotrichales*. The
 180 top 20% families are (8.2±4.1)% *Desulfobacteraceae*, (5.0±1.8)% families not identified
 181 from the orders *Chromatiales*, (4.9±2.5)% *Stramenopiles*, and (4.3±2.2)%
 182 *Piscirickettsiaceae*. The top 10% genera are (5.0±1.8)% genera not identified from order

183 *Chromatiales*, (4.9±2.5)% genera not identified from order *Stramenopiles*, and
184 (4.6±2.0)% *Desulfococcus*.

185

186 **Environmental factors affecting community structure**

187

188 Supplementary Table 1 summarizes the chemical characteristics of the sediments.
189 Once the distance among samples was calculated, PERMANOVA allowed us to
190 determine which factors influence the community composition (Table 2). Among the
191 levels of sampling adopted for this study, sample, which is a combination of location and
192 plant type, proved to be significant in determining the separation of groups. Location
193 alone is the factor most strongly driving the differences observed, as given by the pseudo-
194 F index. Plant has no effect on the community structure. Among the chemical parameters
195 measured, community structure is influenced by pH (SMP), H⁺+Al³⁺, Ca²⁺, Mg²⁺, Na⁺,
196 T, P, Na% and Ca/Mg. Though the strength of the influence does not vary much,
197 parameters Na% and Na⁺ were the strongest.

198 The distance matrix was plotted in a PCoA graph where the environmental factors
199 significantly affecting community were overlaid (Figure 3). The separation between
200 location sites is evident, and is mostly represented along the horizontal axis. The
201 communities in location A were most influenced by pH (SMP) and Na%, while those of
202 location B were influenced by all other parameters.

203

204 **Table 2.** PERMANOVA evaluation of the environmental factors affecting community
205 structure.

Factors	Pseudo-F	p-value
Discrete factors		
Sample	1.47	0.013*
Location	7.22	0.001***
Plant	0.67	0.987

206

207

Factors	Pseudo-F	p-value
Continuous factors		
pH (CaCl ₂)	1.26	0.056
pH (SMP)	1.66	0.003**
H ⁺ +Al ³⁺	2.10	0.001***
Ca ²⁺	1.59	0.003**
Mg ²⁺	1.52	0.005**
K ⁺	1.33	0.104
Na ⁺	2.17	0.016*
SB	0	1
T	1.78	0.022*
P	1.69	0.03*
C	1.24	0.13
V	0.81	0.95
Na%	2.32	0.042*
Ca/Mg	1.38	0.04*

Note: (*) p < 0.05, (**) p < 0.01, (***) p < 0.001.

208

209

210 Taxa affecting community structure

211

212 A similar approach revealed which taxa drives the differences among samples.
 213 Considering phyla, 56 categories present significant influence (Supplementary Table 2);
 214 from the overlay of the corresponding vectors (data not shown), we observed that samples
 215 from location A are most enriched for *Firmicutes*, while samples from location B are for
 216 *BRC1*, *WS2* and *WS3*. Among the genera (data not shown), the number is 530; from those
 217 that could be assigned to genus level, location A is most influenced by *Cyanobacterium*,
 218 *Marinicella*, *B-42* and *Microbulbifer*, while location B is best characterized by an
 219 enrichment in *Anaerovorax*.

220

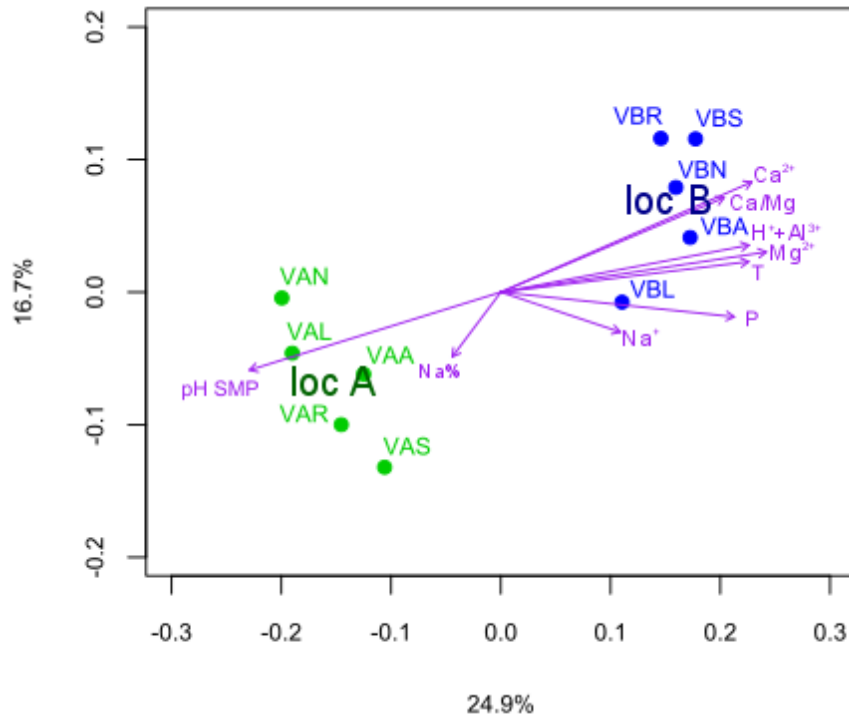


Figure 3. PCoA representing the relative distance among samples, only the centroids are shown. Samples are colored according to the estuarine location from which they were collected: downstream/location A (green), upstream/location B (blue). The purple arrows represent the chemical parameters that were found to significantly affect the diversity; their length is a measure of the correlation. The axis represent the first and the second components of the analysis and their relative weight explaining the variation observed.

221

222 **Community comparison with other mangroves**

223

224 The relative phyla abundance was compared with those revealed by other studies
 225 in Brazilian pristine mangrove sediments (Figure 4). The eight most abundant phyla in
 226 our study were also the most prominent in other mangroves, with a prevalence of
 227 *Proteobacteria* (47-63%). The relative proportion of all phyla is similar, with the
 228 following exceptions: two studies reported no sequences from *Cyanobacteria*, and while
 229 *Firmicutes* is among the ten most abundant in most mangroves, it was practically absent
 230 in our study and in Peixoto *et al.* (2011). *Delta*-, *Gamma*- and *Alphaproteobacteria* are
 231 also the most abundances classes, in this order, in Andreote *et al.* (2012), dos Santos *et*

232 *al.* (2011) and Nogueira *et al.* (2015); Peixoto *et al.* (2011) shows a prevalence of *Gamma*
233 over *Deltaproteobacteria*.

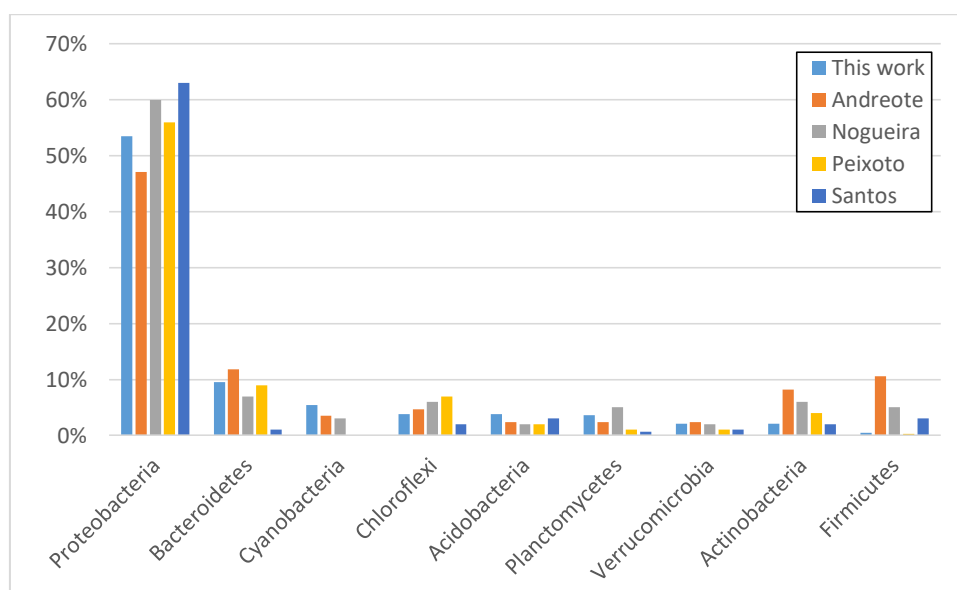


Figure 4. Relative abundance of the most abundant phyla in Brazilian pristine mangrove sediments.

234 Discussion

235

236 Some of the phyla most abundant in the mangrove studied were also prevalent in
237 other soils. Xu *et al.* (2014) showed that environments as distinct as desert, forest,
238 grassland, arctic and mangrove share a prevalence of *Proteobacteria*, *Actinobacteria*,
239 *Chloroflexi*, *Bacteroidetes*, *Acidobacteria* and *Cyanobacteria*. The dominance of
240 *Proteobacteria* is common in all these soils, probably because it is a group with great
241 metabolic diversity (Nogueira *et al.*, 2015), including many nitrogen-fixing organisms.
242 Albeit so widely present, the relative phyla abundances vary depending on the local
243 conditions, so that a profile in such a high taxonomic level seems to be sufficient to
244 characterize these different biomes. The comparison of the Paranaguá Bay mangrove to
245 others (Figure 4) points to average abundances that could be considered as a fingerprint
246 of this habitat. Considering that environmental changes, such as those caused by
247 eutrophication, disturb these structures (Peixoto *et al.*, 2011, Andreote *et al.*, 2012,
248 Nogueira *et al.*, 2015), a single phylum profile could be enough to evaluate the fitness of
249 any given mangrove.

250 The prevalence of *Deltaproteobacteria* in Paranaguá Bay is also not surprising.
251 This class contains most organisms responsible for sulphate reduction in mangroves, the
252 process mainly responsible for organic matter degradation and sulphur cycling in anoxic
253 environments (Andreote *et al.*, 2012), being also abundant in the other mangroves cited.
254 Their importance is further emphasized by the presence of many orders
255 (*Desulfobacterales*, *Myxococcales*, *Desulfarculales*), families (*Desulfobacteraceae*,
256 *Desulfobulbaceae*, *Desulfarculaceae*) and genera (as *Desulfococcus*) belonging to this
257 class among the most abundant categories (Figure 2). In fact, 4.6% of all sequences
258 belong to the nutritionally versatile genus *Desulfococcus*, one of the most relevant
259 lineages for carbon degradation in marine sediments (Kleindienst *et al.*, 2014).

260 When we considered the environmental parameters, location revealed to be the
261 strongest factor affecting community structure. Given the difference in distance to open
262 sea thus in salinity of water, a shift in community to accommodate the diverse conditions
263 was expected. Location B, farther from the ocean, was most influenced by the increased
264 concentration of ions in the sediments; waterlogged soils with lower salinity have higher
265 organic matter content, which retain these ions more efficiently, reason why they show a
266 higher nutritional state. Location A was influenced by the slight shift in pH and by the
267 higher relative concentration of sodium; since the region is more subject to sea water,
268 sodium apparently binds relatively more to the sediment matrix. We did not observe plant
269 effect on community structure, even though specific chemistry microenvironments are
270 expected around roots. While some works report such an effect in mangroves (Jiang *et al.*,
271 2013, Chen *et al.*, 2016, Rocha *et al.*, 2016), the influence of soil may be greater than
272 that of the plant, masquerading the last (Marschner, Crowley and Yang, 2004).

273 The community inhabiting location A was most characterized by an enrichment
274 in *Firmicutes*. Many organisms belonging to this phylum produce endospores, showing
275 resistance in extreme environments such as that presented therein. Among the genera
276 enriched, *Cyanobacterium* comprises photosynthetic, nitrogen-fixing cyanobacteria,
277 which are common in moist soils and water, and *Microbulbifer* contains cellulose- and
278 chitin-degrading organisms found in high-salt environments. The phyla enriched in
279 location B, *BRC1*, *WS2* and *WS3* were only recently designated, having no cultivated
280 representatives. From the enriched genus *Anaerovorax*, the only species described, *A.*
281 *odorimutans*, is a strictly anaerobic chemoorganotrophic bacteria considered to have a
282 voracious putrescine-fermentative metabolism (Matthies *et al.*, 2000).

283 The analysis of 16S rRNA sequences from environmental DNA samples by high-
284 throughput sequencing technologies is an efficient way to assess their microbial diversity.
285 We could categorize several samples from mangrove sediments according to their
286 community profile, relating it with the environmental factors measured. We could also
287 determine that mangroves seem to have a similar phylum distribution that might serve as
288 a reliable measure for identification and evaluation of the health status of this habitat.
289 Microbial communities are important factors shaping ecosystems, thus their description
290 aids the development of strategies for better handling, conservation and exploitation of
291 such rich, though delicate, sources of natural products.

292

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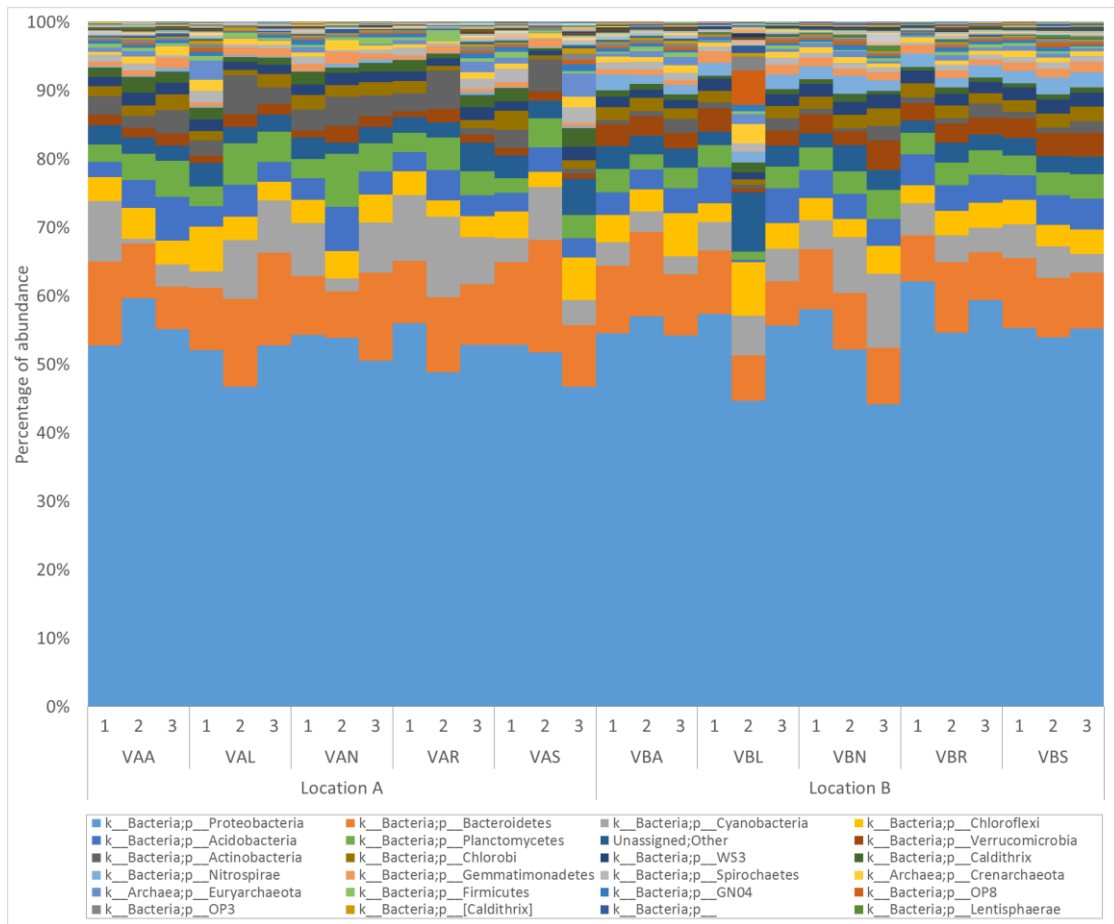
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406

Supplementary figures



Supplementary Figure 1. Relative abundance of the phyla for each sample analyzed. The first row in the horizontal axis represents the replicate number; the second represents the sample according to location in the estuary and the plant species from which rhizosphere it was taken. Only the 24 most abundant phyla are given in the legend.

Supplementary tables

Supplementary Table 1. Chemical data of the soil samples.

Sample	pH (CaCl ₂)	pH (SMP)	H ⁺ + Al ³⁺ (cmol _e /dm ³)	Ca ²⁺ (cmol _e /dm ³)	Mg ²⁺ (cmol _e /dm ³)	K ⁺ (cmol _e /dm ³)	Na ⁺ (cmol _e /dm ³)	SB (cmol _e /dm ³)	T (cmol _e /dm ³)	P (mg/dm ³)	C (g/dm ³)	V (%)	Na% (%)	Ca/Mg
Location A														
VAA1	6.1	7.2	2.0	1.6	1.4	0.45	2.73	6.18	8.18	6.1	20.2	76	33.4	1.14
---2	6.1	7.2	2.0	2.1	3.9	0.36	2.35	8.71	10.71	4.4	19.2	81	21.9	0.54
---3	6.2	7.4	1.8	1.1	2.2	0.35	2.26	5.91	7.71	4.2	6.0	77	29.3	0.50
VAL1	5.3	6.7	3.0	2.5	4.2	0.57	2.96	10.23	13.23	8.0	29.6	77	22.4	0.60
---2	6.4	7.6	1.5	1.1	2.7	0.40	2.92	7.12	8.62	4.3	5.1	83	33.9	0.41
---3	6.5	7.5	1.6	1.0	2.0	0.33	2.25	5.58	7.18	4.3	5.1	78	31.3	0.50
VAR1	6.0	7.2	2.0	1.4	3.0	0.46	2.60	7.46	9.46	6.5	13.3	79	27.5	0.47
---2	6.8	7.6	1.5	1.0	2.7	0.35	2.52	6.57	8.07	4.1	3.3	81	31.2	0.37
---3	6.0	7.0	2.4	3.2	4.7	1.23	11.70	20.83	23.23	21.2	32.9	90	50.4	0.68
VAS1	5.4	7.1	2.2	1.4	2.9	0.38	2.58	7.26	9.46	5.9	12.4	77	27.3	0.48
---2	6.8	7.6	1.5	0.8	1.9	0.28	2.30	5.28	6.78	4.3	4.2	78	33.9	0.42
---3	5.6	7.6	3.0	3.2	4.8	1.13	13.50	22.63	25.63	22.2	39.7	88	52.7	0.67
VAN1	5.9	7.1	2.2	2.1	4.0	0.54	3.19	9.83	12.03	7.1	22.2	82	26.5	0.53
---2	6.4	7.4	1.8	1.4	2.9	0.29	2.26	6.85	8.65	3.7	6.9	79	26.1	0.48
---3	6.8	7.5	1.6	1.4	3.0	0.34	2.77	7.51	9.11	3.1	7.8	82	30.4	0.47
Location B														
VBA1	5.9	6.6	3.2	5.7	5.0	1.23	3.26	15.19	18.39	19.0	40.9	83	17.7	1.14
---2	5.8	6.3	4.0	5.6	5.4	1.19	3.34	15.53	19.53	12.2	45.9	80	17.1	1.04
---3	5.5	6.0	5.0	6.7	5.2	1.02	3.13	16.05	21.05	13.2	53.2	76	14.9	1.29
VBL1	6.1	6.6	3.2	4.8	5.0	1.27	9.50	20.57	23.77	15.2	29.6	87	40.0	0.96
---2	6.2	6.6	3.2	5.2	5.1	1.24	3.59	15.13	18.33	14.1	32.9	83	19.6	1.02
---3	6.4	6.8	2.7	5.3	5.0	1.34	3.54	15.18	17.88	8.9	34.0	85	19.8	1.06
VBR1	6.0	6.5	3.4	7.4	5.1	1.05	3.30	16.85	20.25	8.8	43.3	83	16.3	1.45
---2	6.3	6.7	3.0	5.6	5.3	1.23	12.9	25.03	28.03	17.9	45.7	89	46.0	1.06
---3	6.0	6.5	3.4	5.8	5.1	1.12	3.10	15.12	18.52	18.2	49.4	82	16.7	1.14
VBS1	6.3	6.8	2.7	5.5	5.3	1.26	12.10	24.16	26.86	11.9	49.4	90	45.0	1.04
---2	5.6	6.2	4.3	4.6	5.1	1.18	3.42	14.30	18.60	16.5	37.4	77	18.4	0.90
---3	5.8	6.2	4.3	4.2	5.0	1.21	3.43	13.84	18.14	17.0	36.3	76	18.9	0.84
VBN1	6.4	6.9	2.5	4.5	5.2	1.22	10.10	21.02	23.52	17.2	29.6	89	42.9	0.87
---2	5.9	6.5	3.4	4.6	5.2	1.27	3.41	14.48	17.88	13.7	25.3	81	19.1	0.88
---3	5.5	6.3	4.0	4.1	5.1	1.25	3.43	13.88	17.88	19.0	27.4	78	19.2	0.80

Supplementary Table 2. Phyla that are significantly affecting community structure.

Domain Phylum	p-value	Domain Phylum	p-value
Archaea [Parvarchaeota]	0.001	Bacteria Lentisphaerae	0.001
Archaea Crenarchaeota	0.036	Bacteria MVS-104	0.002
Archaea Euryarchaeota	0.001	Bacteria NC10	0.001
Bacteria Other	0.003	Bacteria Nitrospirae	0.001
Bacteria [Caldithrix]	0.001	Bacteria OC31	0.03
Bacteria [Thermi]	0.001	Bacteria OP11	0.031
Bacteria AC1	0.002	Bacteria OP3	0.001
Bacteria Acidobacteria	0.001	Bacteria OP8	0.005
Bacteria Actinobacteria	0.001	Bacteria OP9	0.001
Bacteria Armatimonadetes	0.001	Bacteria Planctomycetes	0.001
Bacteria Bacteroidetes	0.035	Bacteria Poribacteria	0.001
Bacteria BHI80-139	0.015	Bacteria Proteobacteria	0.043
Bacteria BRC1	0.001	Bacteria SAR406	0.001
Bacteria Caldithrix	0.001	Bacteria SBR1093	0.007
Bacteria Chlamydiae	0.027	Bacteria SC4	0.001
Bacteria Chloroflexi	0.002	Bacteria Spirochaetes	0.001
Bacteria Fibrobacteres	0.001	Bacteria SR1	0.032
Bacteria Firmicutes	0.017	Bacteria Thermotogae	0.038
Bacteria Fusobacteria	0.014	Bacteria TM7	0.001
Bacteria Gemmatimonadetes	0.001	Bacteria TPD-58	0.028
Bacteria GN02	0.001	Bacteria Verrucomicrobia	0.001
Bacteria GN04	0.001	Bacteria VHS-B3-43	0.012
Bacteria H-178	0.003	Bacteria WPS-2	0.007
Bacteria Hyd24-12	0.001	Bacteria WS1	0.031
Bacteria Kazan-3B-28	0.003	Bacteria WS2	0.001
Bacteria KSB3	0.001	Bacteria WS3	0.011
Bacteria LCP-89	0.001	Bacteria WWE1	0.001
Bacteria LD1	0.001	Unassigned Other	0.001

CAPÍTULO 2 – Análise da comunidade e análise funcional do microbioma do mangue da costa sul do Brasil

1 **Community and Functional Analysis of the Mangrove**

2 **Microbiome from the Southern Brazilian Coast**

3

4 **Abstract**

5

6 Mangroves are habitats that present harsh conditions for microorganisms due to
7 fluctuations in salinity gradients and in anaerobicity of the soils. Nevertheless, they are
8 very active environments, in which a small diversity of plants interacts with a high
9 diversity of microbes by means of a complex biochemical web designed to conserve
10 scarce nutrients through efficient recycling. This equilibrium is fragile, thus the rational
11 management of mangroves requires a better understanding of how the players act and
12 interact. In this work, we investigated the microbiome of mangrove sediments from
13 Paranaguá Bay, Brazil, sampled from two chemically distinct locations, by means of
14 metagenomic whole genome shotgun (mWGS) sequencing. The results revealed a
15 predominance of *Bacteria*, with most representatives from phyla *Proteobacteria*,
16 *Bacteroidetes*, *Actinobacteria* and *Firmicutes*. The major classes are *Beta-*, *Gamma-*,
17 *Alpha-* and *Deltaproteobacteria*, and around one-fourth of the sequences are related to
18 the genera *Achromobacter* and *Stenotrophomonas*. Prevalent functions are related to
19 clustering-based subsystems, carbohydrate metabolism, amino acids and derivatives, and
20 miscellaneous functions; at the lowest functional levels, abundances are lower and shifts
21 are subtler. Although the high similarity in all comparisons between the two locations,
22 significant differences in microbiological structures were identified, which might be
23 explained by differences in the environmental conditions. The microbial taxonomic
24 profiles are more dissimilar than the functional profiles, which indicates the latter to be
25 redundant; this feature might aid in its resiliency when the habitat is faced with small
26 disturbances. By adding publicly available data to our analysis, we showed that samples
27 from different biomes tend to cluster according to biome and to biome type, both
28 taxonomically and functionally; the similarity of mangroves to other biomes seems to be
29 driven more by matrix type (where soil > water) than to chemical composition (where
30 saline > non-saline). Finally, we observed that metabolic functions are more conserved
31 than organisms across all biomes, which indicates that core functions must be preserved
32 regardless of the specific organisms harboring them.

34 **Introduction**

35

36 Mangroves are highly productive forests largely confined to tropical and
37 subtropical regions, where the warm temperature favors its development (Alongi, 2002).
38 Its estimated 152,000-km² area covers around 60-75% of the world's coastlines (Holguin
39 *et al.*, 2001), usually estuarine (Taketani *et al.*, 2010). Some of the largest forests are
40 found in Indonesia, India, Bangladesh, and Brazil (Spalding *et al.*, 2010). In Brazil, which
41 occupies the second position harboring 8.5% of this total, the most extensive areas are
42 present in the north region; in the southeastern coast, their distribution is sparser, often
43 restricted to river deltas, coastal lagoons and the inner parts of bays (Spalding *et al.*,
44 1997).

45 Some characteristics make mangroves structurally and functionally unique. The
46 species present have evolved to cope with the physiological challenges of variable
47 conditions: gradients in salinity caused by high regular rainfall, groundwater flows and
48 rivers diluting seawater to different extents; and anaerobic soils except for the sediment
49 surface, since they are constantly waterlogged (Duke *et al.*, 1998, Bouchez *et al.*, 2013).
50 These contrasts are readily observable in estuaries, where the spatial distribution of
51 species might be classified into downstream, closer to open sea, where salinity and tide
52 variation are higher, thus sediment deposition and nutrient exchange are more dynamic;
53 and upstream, where rivers flow into and organic matter content is higher (Duke *et al.*,
54 1998). The soil is composed of thick organic matter mixed with sediment (Holguin *et al.*,
55 2001). The sediments are the foundation for mangrove forests and all that live in them
56 (Ghizelini *et al.*, 2012). Its nutrient availability is variable within and among mangrove
57 ecosystems, ranging from extremely low in oceanic settings to very high in accreting
58 muddy systems (Feller *et al.*, 2010).

59 The species hosted form a rich array of association, supporting a complex aquatic
60 food web. The microbial diversity can be high (Andreote *et al.*, 2012), especially in
61 shallow sediments, decreasing with increasing depth (Taketani *et al.*, 2010). Many of the
62 microorganisms play a critical role in the final stages of decomposition, helping to build
63 highly efficient energetic processes (Yan *et al.*, 2006, Spalding *et al.*, 2010). The detritus
64 generated is the base of this habitat's food web (Holguin *et al.*, 2001). Bacteria seem to
65 have greater importance: they tend to be prevalent (Nogueira *et al.*, 2015) for, due to their
66 small size, being able to colonize a greater proportion of niches (Ghizelini *et al.*, 2012);
67 their trophic functions therein are also more relevant than in non-trophic habitats (Alongi,

68 1994). Despite various studies on the biogeography, botany, zoology, ichthyology,
69 environmental pollution and economic impact, little is known about the microbial life in
70 mangrove waters and sediments (Marcial Gomes *et al.*, 2008, Dhal and Sahoo, 2009,
71 Andreote *et al.*, 2012). Nonetheless, understanding the biological and functional diversity
72 of such systems is crucial to maintain ecosystem dynamics and to sustainably manage
73 land use (Pylro *et al.*, 2014).

74 We performed metagenomic whole genome shotgun (mWGS) sequencing to
75 describe the microbial communities present in two environmentally distinct locations in
76 a mangrove in the southeastern coast of Brazil, according to their taxonomic and
77 functional hierarchies. We compared the mangrove communities with those inhabiting
78 other biomes with diverse characteristics. We established the level of similarity among
79 them and speculated on what parameters might be driving these indices. Finally, we
80 discussed the relations between the taxonomic and functional similarities observed.

81

82 **Materials and methods**

83

84 **Sample extraction and environment characterization**

85

86 The work described in Chapter 1 showed location exerted a strong influence in
87 the community composition. Therefore, in order to represent the mangrove in this shotgun
88 study, we chose one sample from an open area from each location, VAN2 and VBN2,
89 henceforth mentioned as VA and VB for simplicity. The chemical characterization of the
90 sediments was also imported from that study. The comparison of these parameters
91 between regions was done by two-sided Welch's t-test.

92

93 **DNA extraction, metagenome sequencing and annotation**

94

95 Environmental DNA was extracted using the PowerSoil DNA Isolation Kit (MO
96 Bio Laboratories). The library was prepared with the Nextera XT DNA Library
97 Preparation Kit (Illumina) and the sequencing was performed in the MiSeq platform
98 (Illumina) using the MiSeq Reagent Kit v3 600 (Illumina). After initial trimming for
99 barcode removal and standard quality control, the R1 reads were uploaded to the MG-
100 RAST online platform under accession numbers 4604820.3 (VA) and 4604821.3 (VB),
101 and then processed according to the published pipeline (Meyer *et al.*, 2008). Taxonomic

102 and functional hierarchies were determined based on all reads annotated and their closest
103 relatives (max. e-value 1E-5, min. identity 60%, min. alignment length 15 cut-offs
104 applied) in databases M5NR for taxonomy, and Subsystems for function. The taxonomic
105 (from domain to genus level) and the functional (from level 1 to level 4/function) category
106 hits distribution tables were selected as profiling matrices of the samples.

107

108 **Taxonomic and functional analysis**

109

110 The profiling matrices for samples VA and VB were compared by fitting a linear
111 model with forced intercept at 0 ($VA \sim 0 + VB$), and evaluating the calculated correlation
112 value, as implemented in R v3.2.2 (R Core Team, 2016). The most abundant categories,
113 ordered decreasingly by average, for both hierarchies and all levels, were plotted. Effect
114 sizes by category were determined as the ratio between the absolute difference in
115 abundance of VA and VB, and the abundance in VA, being the highest the value, the
116 highest the shift in that category between the two locations. Publicly available
117 metagenomes representing biomes estuarine, freshwater, Mediterranean Sea, river,
118 temperate forest, temperate grassland, terrestrial and tropical forest were retrieved from
119 the MG-RAST webservice for comparison with our mangrove metagenomes
120 (Supplementary Table 1). Bias was minimized by selecting only mWGS projects,
121 sequenced in the Illumina platform, containing at least 1,000,000 reads in the range of
122 200 and 300 bp long each. Their taxonomic (at domain and genus level) and functional
123 (at level 1 and level 4/function) abundance tables were downloaded into R v3.2.2 (R Core
124 Team, 2016). They were normalized by rarefaction at the minimum metagenomic total
125 abundance using package GUniFrac (Chen, 2012), and Bray-Curtis distances among
126 metagenomes were calculated with package vegan (Oksanen *et al.*, 2016). Heatmaps were
127 generated using package heatmap.plus (Day, 2012). Statistics for the comparison of final
128 abundance tables, taking biome as a factor, were calculated with PERMANOVA as
129 implemented by package vegan (Oksanen *et al.*, 2016). All other statistical analyses and
130 graphs not mentioned above were generated using the basic R package (R Core Team,
131 2016).

132

133 **Results**

134

135 **Mangrove environmental characterization**

136

137 First, we aimed at establishing if the environments from which the two sample
 138 were taken were different according to the chemical composition of the sediments. The
 139 statistical comparison of the measured parameters revealed significant difference of VA
 140 and VB, specifically in the contents of Ca²⁺, Mg²⁺, K⁺ and P, and in SB, T and Ca/Mg
 141 (Table 1). pH was not significantly different between VA and VB.

142

143 **Table 1.** Chemical parameters for the sediment samples triplicates.

Parameter	Sample		p-value
	VA	VB	
pH (CaCl ₂)	6.4 ± 0.5	5.9 ± 0.5	0.493
pH (SMP)	7.3 ± 0.2	6.6 ± 0.3	0.122
Al ³⁺ (cmol _c /dm ³)	0	0	-
H ⁺ + Al ³⁺ (cmol _c /dm ³)	1.9 ± 0.3	3.3 ± 0.7	0.144
Ca ²⁺ (cmol _c /dm ³)	1.6 ± 0.4	4.4 ± 0.3	0.007*
Mg ²⁺ (cmol _c /dm ³)	3.3 ± 0.6	5.2 ± 0.1	0.031*
K ⁺ (cmol _c /dm ³)	0.39 ± 0.13	1.25 ± 0.03	0.011*
Na ⁺ (cmol _c /dm ³)	2.74 ± 0.47	5.65 ± 3.86	0.284
SB (cmol _c /dm ³)	8.06 ± 1.57	16.46 ± 3.96	0.028*
T (cmol _c /dm ³)	9.93 ± 1.83	19.76 ± 3.26	0.007*
P (mg/dm ³)	4.6 ± 2.2	16.6 ± 2.7	0.025*
C (g/dm ³)	12.3 ± 8.6	27.4 ± 2.1	0.060
V (%)	81 ± 2	83 ± 6	0.709
Na (%)	27.7 ± 2.38	27.1 ± 13.7	0.949
Ca/Mg	0.49 ± 0.03	0.85 ± 0.04	0.004*

144 Note: the values represent mean ± standard deviation for three independent measures at
 145 each environment. (*) = p < 0.05.

146

147 **Comparison of mangrove microbial communities through metagenomics**

148

149 The total DNA of both mangrove locations was sequenced and annotated
 150 (summary in Table 2).

151

152 **Table 2.** Sequencing and annotation report for the metagenomes sequenced.

Parameter	Estuarine location	
	Downstream (VA)	Upstream (VB)
Sequencing		
Number of reads	5,284,657	6,418,039
Mean read length (bp)	283 ± 46	280 ± 48
Total bases count	1,499,227,644	1,803,223,762
Annotation		
Post QC sequences count	3,695,582 (69.9%)	3,843,928 (59.9%)
Protein features		
Predicted	2,128,959 (57.6%)	2,611,090 (67.9%)
Identified	1,124,894 (52.8%)	978,732 (37.5%)
Identified functional categories	878,849 (78.1%)	768,433 (78.5%)
rRNA features		
Predicted	39,598 (1.1%)	44,076 (0.7%)
Identified	2,582 (6.5%)	2,739 (6.2%)

153 Note: the values in brackets indicate the percentage of features retained from the previous
 154 step.

155

156 The microbial communities from samples VA and VB were compared at six
 157 taxonomic levels, and category averages were plotted to assess relative abundance in the
 158 biome (Figure 1). At the domain level, with a correlation coefficient of $R^2=0.999$, the data
 159 suggest that both environments are virtually identical. There is a huge predominance of
 160 over 90% of *Bacteria*, though the larger effect sizes are observed in *Archaea* (2.2),
 161 unclassified sequences (1.8) and *Eukaryota* (0.5). The correlation is also high at the
 162 phylum level ($R^2=0.999$); *Proteobacteria* represents more than 79% of the sequences,
 163 followed by unassigned phyla (6%), *Bacteroidetes* (5%) and a smaller percentage of

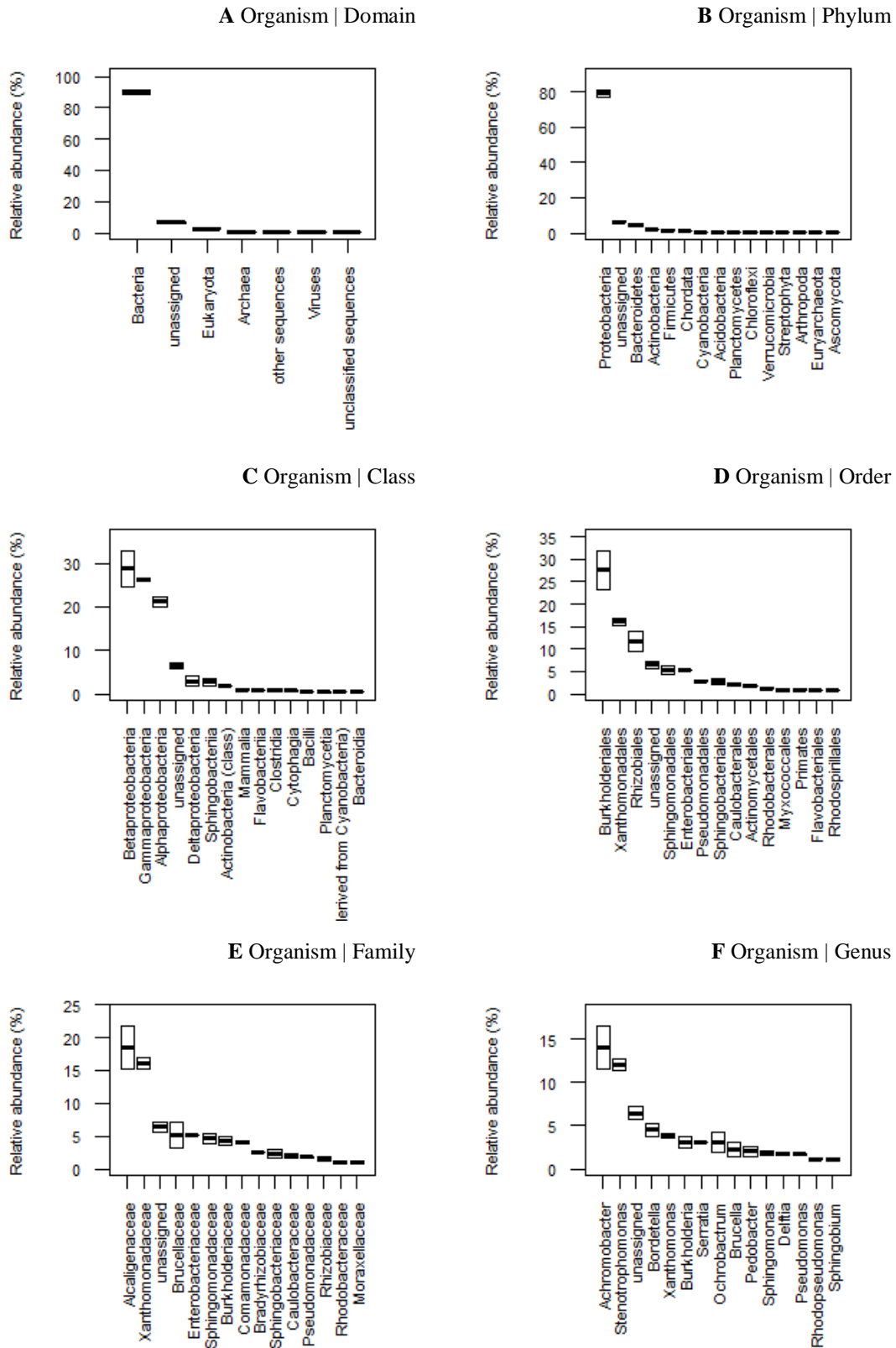


Figure 1. Plots showing the relative abundances (%) of the 15 most abundant categories in each taxonomical level considered in the mangrove locations. The boxes represent the values for VA and VB as the shorter sides and the mean as the thicker line in the middle.

165 class level ($R^2=0.966$), with over 75% of the sequences belonging to *Beta-*, *Gamma-*,
 166 *Alpha-* and *Deltaproteobacteria*. Family ($R^2=0.938$) and genus ($R^2=0.937$) ranks showed
 167 the lowest correlation coefficients. Among the genera, the biome is enriched for the
 168 presence of *Achromobacter* (14%), *Stenotrophomonas* (12%) and unassigned genera
 169 (6%). Its highest effect sizes were observed decreasingly in *Ochrobactrum*, *Brucella*,
 170 *Pedobacter*, *Burkholderia* and *Achromobacter*, ranging from 1.2 to 0.3.

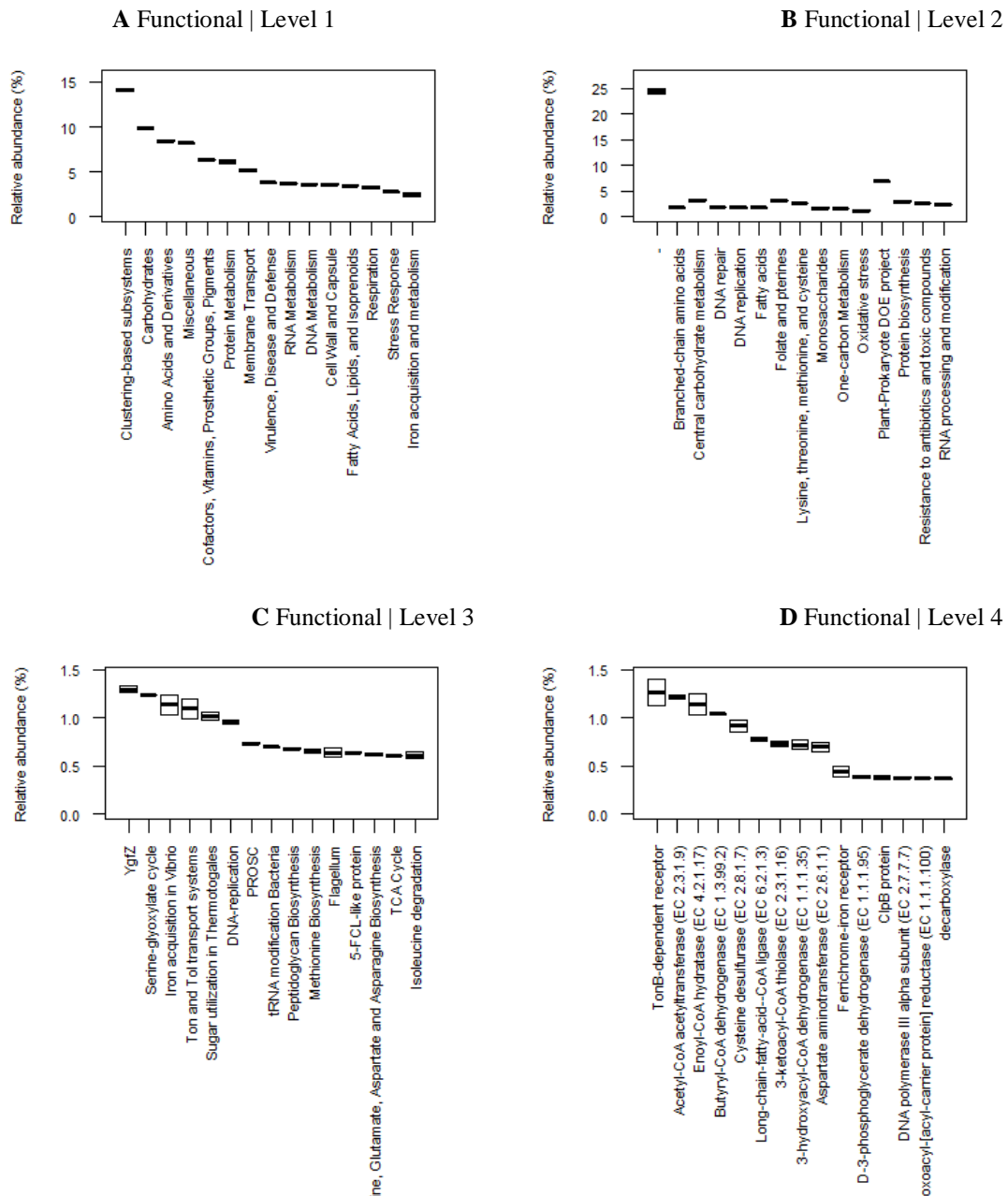


Figure 2. Plots showing the relative abundances (%) of the 15 most abundant categories in each functional level considered in the mangrove locations. The boxes represent the values for VA and VB as the shorter sides and the mean as the thicker line in the middle.

171 The same datasets were similarly analyzed and compared according to four levels
172 of functional hierarchy (Figure 2). At level 1, $R^2=0.999$, which again suggests a close
173 resemblance; the effect sizes are small, not higher than 0.15. The distribution of the
174 functions at this level is quite balanced: 14% of the sequences are related to clustering-
175 based subsystems, 10% to carbohydrate metabolism, 8% to amino acids and derivatives
176 and 8% to miscellaneous functions. At level 2, the correlation factor is the same, followed
177 by $R^2=0.989$ at level 3 and $R^2=0.975$ at level 4, the effect size never exceeding 0.23. At
178 this last level, no function is represented by more than 1.3% of the sequences; the highest
179 effect sizes, albeit overall small (ranging from 0.23 to 0.13), are related to ferrichrome-
180 iron receptor, TonB-dependent receptor, enoyl-CoA hydratase, cysteine desulfurase and
181 3-hydroxyacyl-CoA dehydrogenase.

182 When both metagenomes are superimposed in a KEGG map representation, they
183 overlap extensively, which means the large majority of functions are shared by the
184 microbiomes of both sites, with only few functions specific to each site, which occur in
185 small abundance (Supplementary Figure 1).

186

187 **Comparison among biomes**

188

189 When comparing the different biomes by their taxonomic similarity, first we
190 represented them in a heatmap, for the highest and lowest hierarchies considered, domain
191 and genus, after metagenome clustering (Figure 3). The individual metagenomes tend to
192 group according to their biome, but at the domain level, we noticed some scattering within
193 datasets representing freshwater, mangrove and Mediterranean Sea. Main groups are
194 formed by 1) estuarine, 2) temperate forest, and 3) the remaining biomes. As observed
195 for the mangrove assessment, *Bacteria* is the majoritarian taxon. At the genus level, all
196 metagenomes cluster according to their respective biome, being the groups represented
197 by: 1) river, 2) mangrove, 3) freshwater, 4) temperate forest, temperate grassland,
198 terrestrial and tropical forest, and 5) estuarine and Mediterranean Sea. PERMANOVA
199 analysis taking biome as a factor shows that the differences reported are significant in
200 both levels (Table 3).

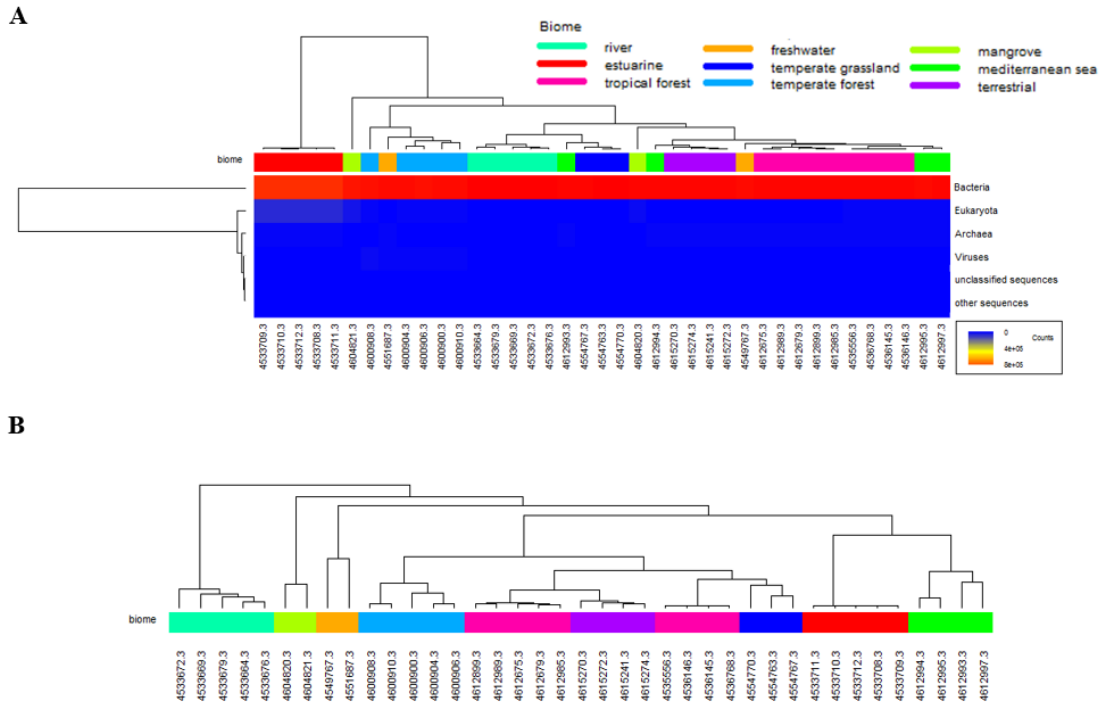


Figure 3. Taxonomic clustering of the evaluated metagenomes as revealed at the domain (A) and genus (B) level. The corresponding biomes are color tagged. The heatmap at panel (B) was omitted due to the large number of genera identified, which compromised the resolution to identify color changes.

201 **Table 3.** Difference in hierarchy structure in the evaluated biomes as determined by
 202 PERMANOVA.

Hierarchy	Level	Pseudo-F	P
Taxonomic	Domain	205.59	0.001*
	Genus	88.14	0.001*
Functional	Level 1	108.77	0.001*
	Function	39.21	0.001*

Note: (*) $p < 0.05$.

203

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209

Similarity indices were drawn from the pairwise comparison within and among biomes after clustering (Table 4, Figure 4). The degree of similarity lowers considerably when changing from domain to genus level; the biomes are more diverse the farther we advance in taxonomic classification. At the genus level, the biomes with significant difference in similarity to mangrove are ordered as such: temperate forest, tropical forest,

210 estuarine and river. However, as revealed by the corrected p-value, none of these pairwise
 211 differences is driving the difference in the population.

212

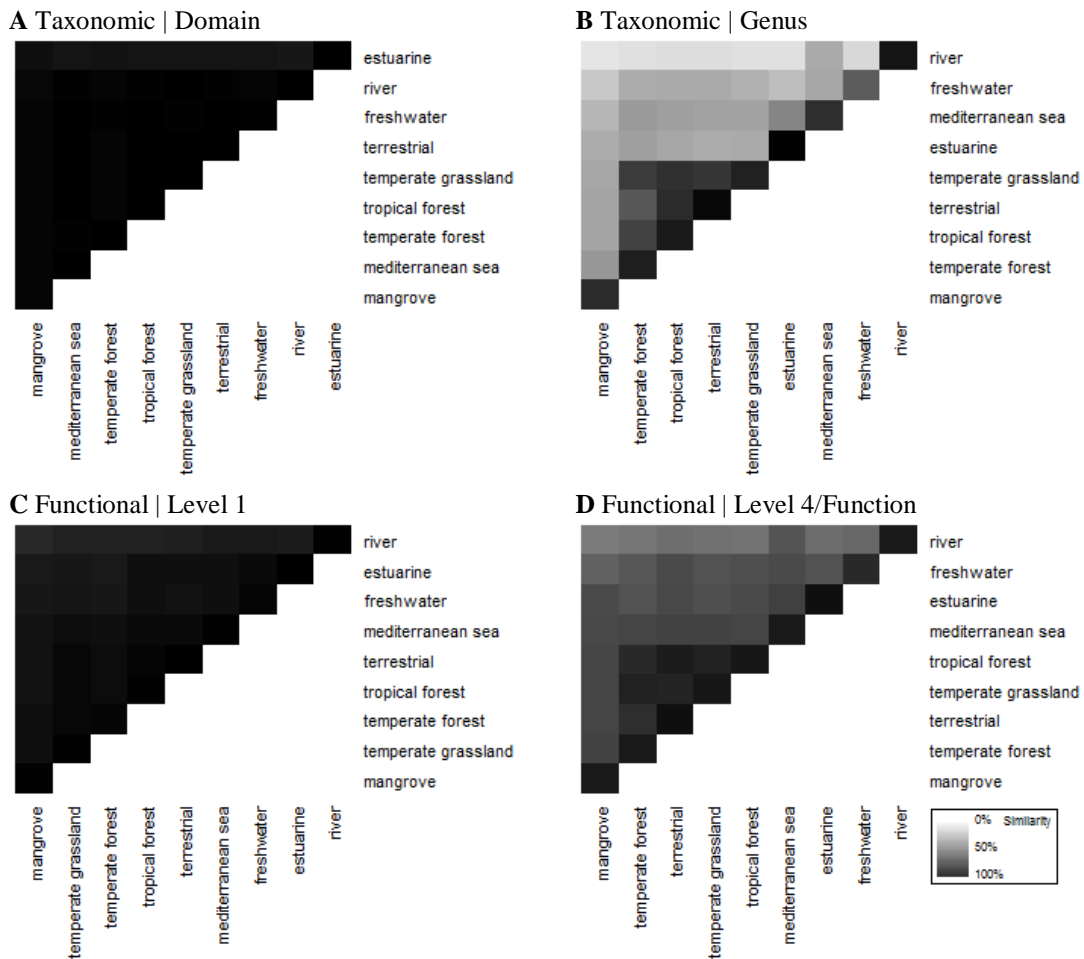


Figure 4. Degrees of similarity within and between biomes after clustering by abundance of the hierarchic classes at the given level. The biomes are ordered in decreasing degree of similarity compared to mangrove. Only the upper triangular matrix is shown.

213

214 **Table 4.** Pairwise PERMANOVA comparison among mangrove and the other biomes
 215 after clustering.

Hierarchy Level	Biome	Similarity (%)	p-value	p-value corrected
Taxonomy Domain	Mediterranean Sea	97.4	0.075	1
	Temperate forest	97.4	0.06	1
	Tropical forest	97.4	0.019*	0.684
	Temperate grassland	97.4	0.114	1
	Terrestrial	97.4	0.073	1
	Freshwater	97.2	0.363	1
	River	96.9	0.001*	0.036*
	Estuarine	93.4	0.058	1
Taxonomy / Genus	Temperate forest	40.4	0.048*	1
	Tropical forest	35.6	0.021*	0.756
	Terrestrial	35.3	0.073	1
	Temperate grassland	34.7	0.091	1
	Estuarine	32.1	0.046*	1
	Mediterranean Sea	28.8	0.069	1
	Freshwater	21.2	0.338	1
	River	10.0	0.043*	1
Function / Level 1	Temperate grassland	93.5	0.109	1
	Temperate forest	93.4	0.054	1
	Tropical forest	92.7	0.014*	0.504
	Terrestrial	92.4	0.078	1
	Mediterranean Sea	92.3	0.065	1
	Freshwater	90.2	0.327	1
	Estuarine	89.2	0.052	1
	River	83.5	0.046*	1

216



Hierarchy Level	Biome	Similarity (%)	p-value	p-value corrected
Function / Level 4	Temperate forest	73.5	0.053	1
	Terrestrial	72.8	0.059	1
	Temperate grassland	72.6	0.090	1
	Tropical forest	72.3	0.025*	0.9
	Mediterranean Sea	71.2	0.073	1
	Estuarine	70.1	0.040*	1
	Freshwater	61.7	0.367	1
	River	51.5	0.053	1

217 Note: (*) $p < 0.05$.

218

219 We performed an analogous analysis considering the functional similarities.
 220 Heatmaps were designed for the highest and the lowest hierarchies considered, level 1
 221 and level 4/function (Figure 5). At level 1, metagenomes cluster within their respective
 222 biomes. We observe the formation of groups 1) river, 2) estuarine, and 3) the remaining
 223 biomes. We also notice that the functions cluster as well, forming three well-defined
 224 groups based in abundance. As seen for mangroves, functions related to clustering-based
 225 subsystems and carbohydrates are majoritarian. At level 4, all metagenomes but those
 226 from tropical forest, which formed two subsets, remain together; main groups are formed
 227 in the fashion 1) river, 2) mangrove, temperate forest, temperate grassland, terrestrial and
 228 tropical forest, and 3) freshwater, estuarine and Mediterranean Sea. These differences
 229 also proved to be significant, as determined by PERMANOVA with biome taken as a
 230 factor (Table 3).

231 The pattern of similarity indices for the pairwise comparison within and among
 232 biomes after clustering (Table 4, Figure 4) showed the same decreasing tendency when
 233 moving from level 1 to level 4, though much less accentuated. At level 4, only tropical
 234 forest and estuarine are significantly different from mangrove, in decreasing order. Again,
 235 these pairs did not translate as significant when the whole population was considered.

236

237 **Taxonomy versus functional hierarchy**

238

239 When comparing structures within mangrove, the ratio between its taxonomic and
 240 functional correlation coefficients at the lowest hierarchic level studied ($R^2_{\text{genus}}/R^2_{\text{level4}}$,
 241 which will henceforth be called measure of redundancy, R^2_{ratio}), is 0.961, which means at

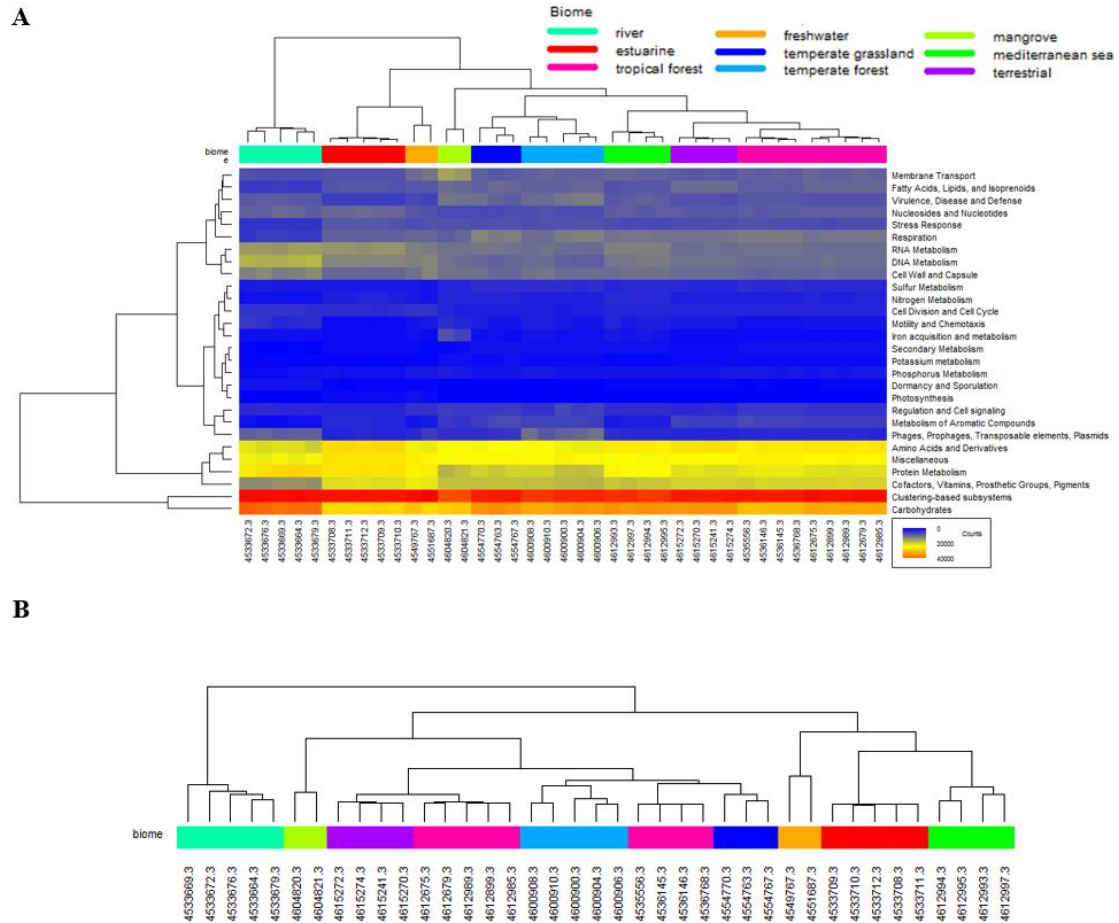


Figure 5. Functional clustering of the evaluated metagenomes as revealed at level 1 (A) and level 4/function (B) level. The corresponding biomes are color tagged. The heatmap at panel (B) was omitted due to the large number of functions identified which compromised the resolution to identify color changes.

242 this resolution, the difference in taxonomic profile is higher than its functional correlate.
 243 For the pairwise comparison between mangrove and the other biomes, this pattern
 244 remains, but the indices are also lower than that reported for mangrove alone (Table 5).
 245 Thus, different biomes tend to become taxonomically apart while keeping a slower pace
 246 of functional dissimilarity. By the three measures considered herein, non-saline soils are
 247 closer to mangrove, then saline environments, then non-saline water.

248 When all biomes are considered in respect to both hierarchies (Figure 3, panel B
 249 and Figure 5, panel B), the groups formed at the lowest hierarchic level are similar, with
 250 two reallocations: mangrove and freshwater, which formed unitary taxonomic groups,
 251 now cluster functionally with other groups, represented by non-saline soils and saline

252 environments, respectively. Temperate forest is the closest and river is the farthest biome
 253 to mangrove by both hierarchy systems (Figure 4, panels B and D).

254

255 **Table 5.** R^2 indices for the biomes considered, in decreasing order of measure of
 256 redundancy.

Biome type	Biome	R^2_{genus}	R^2_{level4}	R^2_{ratio}
Non-saline soils	Temperate forest	0.404	0.735	0.550
	Tropical forest	0.356	0.723	0.492
	Terrestrial	0.353	0.728	0.485
	Temperate grassland	0.347	0.726	0.478
Saline environments	Estuarine	0.321	0.701	0.458
	Mediterranean Sea	0.288	0.712	0.404
Non-saline water	Freshwater	0.212	0.617	0.344
	River	0.100	0.515	0.194

257

258 Discussion

259

260 The two mangrove sites within Paranaguá Bay differ slightly by the taxonomic
 261 and functional hierarchic systems. Although the correlation coefficients are high for both
 262 systems, they present a smaller taxonomic than functional resemblance, which indicates
 263 functional redundancy, which in turn may account for its resiliency. The effect sizes are
 264 also higher for taxonomy, meaning the shifts in function abundance are weaker. The same
 265 patterns were found in another Brazilian mangrove (Nogueira *et al.*, 2015).

266 Nogueira *et al.* (2015) also reported a similar community inhabiting mangrove
 267 sediments, with a predominance of around 90% of *Bacteria*, most sequences representing
 268 phyla *Proteobacteria*, *Bacteroidetes*, *Actinobacteria*, *Chloroflexi*, *Firmicutes* and
 269 *Plantomycetes*. The ten most abundant phyla in the current study are predominant in other
 270 mangroves (Jiang *et al.*, 2013, Nair *et al.*, 2013, Mendes and Tsai, 2014, Alzubaidy *et al.*,
 271 2016), though the relative abundances appear to be specific to location. Xu *et al.* (2014),
 272 when comparing several biomes, found mangroves to be the most enriched in
 273 *Proteobacteria*, tendency that we also observed. *Betaproteobacteria* was also found to be
 274 the dominant class in a mangrove in southeastern Brazil (Mendes and Tsai, 2014), region
 275 close to the one studied herein, and is also cited, along with *Alpha-*, *Delta-* and

276 *Gammaproteobacteria*, as dominant in other mangroves in the country (Andreote *et al.*,
277 2012, Nair *et al.*, 2013, Nogueira *et al.*, 2015). *Deltaproteobacteria* seems to be
278 impoverished in the Paranaguá Bay mangrove according to this system of annotation. The
279 dominating genera, *Achromobacter* and *Stenotrophomonas*, representing together one-
280 fourth of all sequences, are commonly found in soils. Although classified as an aerobic
281 organism, *Achromobacter* may also thrive in anaerobic environments (Swenson and
282 Sadikot, 2015), so their presence is not surprising. It also showed to be one of the genera
283 which abundance is most affected between the two locations.

284 Functionally, Nogueira *et al.* (2015) report a similar profile as well: a balanced
285 prevalence of sequences related to clustering-based subsystems carbohydrate
286 metabolism, amino acids and derivatives and miscellaneous functions, followed also by
287 cofactors and vitamins, and protein metabolism. The next category (3% of sequences,
288 versus 4% in our analysis) is virulence and disease, which is relevant since human activity
289 is common inside this habitat. For instance, the abundant genus *Achromobacter* is known
290 as an opportunistic pathogen in humans (Swenson and Sadikot, 2015), as well as the
291 species *Stenotrophomonas maltophilia* from the other abundant genus. Another study of
292 mangroves (Andreote *et al.*, 2012) based their functional analysis in NCBI-NR categories,
293 but some similarities are still observed: most of the functions pertain to carbohydrate
294 metabolism, amino acid metabolism, energy metabolism and metabolism of cofactors and
295 vitamins. Within Paranaguá Bay, as shown by the present study, the small effect sizes at
296 levels 1 and 2 mirror their high correlation factors, being comparatively larger only from
297 level 3 on (Figure 2). This observation supports some degree of resiliency, the changes
298 having potentially only a fine-tuning role.

299 The community comparison among biomes showed that, at the distance
300 considered at the genus level, not only samples from the same biome cluster, but a pattern
301 is also seen for biome type: non-saline soils cluster together, and saline environments and
302 non-saline water, while not forming unitary groups, show subsets of their own. The order
303 of similarity of biomes to mangroves (Table 5) suggests matrix type (soil/water) is more
304 deterministic than chemical composition (saline/non-saline), since the highest degrees are
305 observed for forest soils, then saline environments, than non-saline water. When we
306 assessed functional similarity, the same patterns were observed (Figure 5), with one
307 exception: at level 4, freshwater is closer to saline environments than to river. The order
308 of similarity to mangroves differs slightly by biome but stays the same by biome type
309 (Table 5). The similarity in measure of redundancy (Table 5) follows the same biome

310 type dependency, which suggests redundancy, therefore resiliency, are also driven by the
311 same factors. The absence of good quality metadata hampers investigating higher-level
312 factors driving clustering. Since environmental conditions determine the degree of
313 similarity among biomes, it is reasonable that the same is true within them, thus for the
314 two mangrove locations from Paranaguá Bay. These factors are not expected to change
315 drastically within the same biome, which explains why similarities within are higher than
316 among. All chemical parameters significantly different are higher in VB than VA (Table
317 1); the higher mineral content in the sediments in VB should be responsible for at least
318 some of the dissimilarity found.

319 When compared within itself and to other biomes, the analyzed mangrove is less
320 dissimilar functionally than taxonomically, maintaining a considerable metabolic
321 resemblance even to the most extreme environments despite the higher dissimilarity in
322 community structure. In fact, even a biome as different taxonomically from mangrove as
323 river ($R^2_{\text{genus}} = 0.100$) can be relatively similar at the functional level ($R^2_{\text{level4}} = 0.515$).
324 This indicates that the microbial communities from all these biomes share most functions.
325 Though internal redundancy is characteristic of natural, undisturbed, benthic
326 environments (Holguin *et al.*, 2001), we observed the same at a larger scale. In practice,
327 this can be achieved by the presence of a plethora of organisms possessing the same
328 functions, adapted to the prevailing environmental conditions. It is reasonable to assume
329 that some of these would represent core functions needed for sustainability in any of the
330 given contexts, despite of the organisms harboring them. Altogether, our results suggest
331 that the environment determines the structure of the community as a side effect; the core
332 functions should be adapted to the environment by means of fortuitous organisms, not
333 specific organisms relying on different functions.

334 All functional comparisons are based solely in the presence of genes, but presence
335 alone does not result in expression. Approaches such as metatranscriptomics might result
336 in a more complete description of the active functions present.

337

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339

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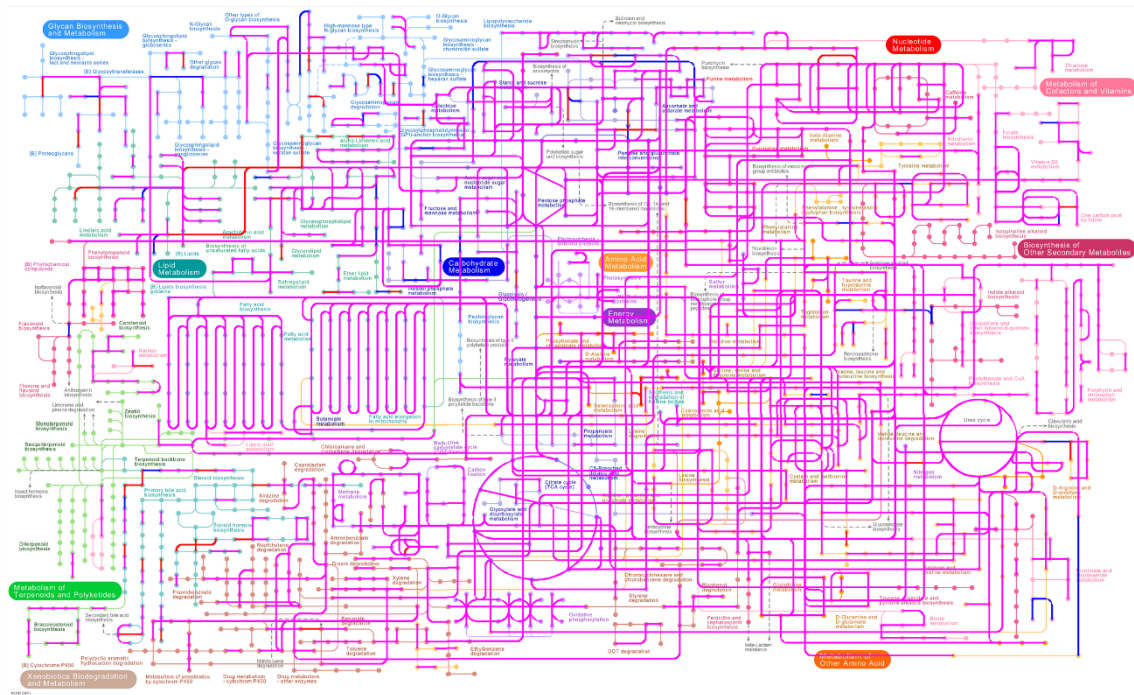
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Supplementary figures



Supplementary Figure 1. KEGG map showing functions shared (bold pink lines) and specific in both VA (bold blue) and VB (bold orange) metagenomes.

Supplementary tables

Supplementary Table 1. Metagenomes retrieved from MG-Rast.

Biome	Accession number	Sequences uploaded	Average sequence length (bp)	Identified rRNA features	Identified protein features	Identified functional categories
Estuarine	4533708.3	3,627,820	201.75	8,397	713,263	517,917
	4533709.3	3,657,703	201.75	8,365	722,740	564,546
	4533710.3	3,615,217	201.81	8,322	711,715	516,574
	4533711.3	3,635,746	201.84	8,494	715,239	519,671
	4533712.3	3,628,047	201.61	8,481	716,336	520,328
Freshwater	4549767.3	5,127,922	300	7,957	2,769,992	2,339,931
	4551687.3	4,366,557	300	5,327	2,036,129	1,733,889
Mediterranean Sea	4612993.3	13,224,240	238.26	5,688	2,850,775	2,203,236
	4612994.3	13,978,721	236.66	5,783	2,787,074	2,103,283
	4612995.3	24,768,866	223.48	8,253	4,807,752	3,618,624
	4612997.3	9,373,858	230.34	5,109	2,143,592	1,647,135
River	4533664.3	13,224,240	251	6,346	882,998	424,518
	4533669.3	13,978,721	251	2,236	368,370	181,124
	4533672.3	24,768,866	251	3,184	438,832	205,582
	4533676.3	9,373,858	251	2,661	381,987	181,641
	4533679.3	3,614,659	251	6,885	1,049,242	509,791
Temperate forest	4600900.3	3,172,850	279.72	1,580	1,163,711	886,294
	4600904.3	2,608,969	281.82	924	851,586	648,834
	4600906.3	4,354,330	257.38	1,410	1,273,270	963,225
	4600908.3	3,591,383	280.17	2,433	1,269,169	965,343
	4600910.3	4,680,725	246.85	3,030	1,656,396	1,262,438
Temperate grassland	4554763.3	1,545,693	229.13	1,634	582,042	451,834
	4554767.3	2,378,586	220.83	2,076	841,027	643,608
	4554770.3	1,035,089	235.83	1,067	402,662	314,415
Terrestrial	4615241.3	1,065,596	226.24	699	446,769	355,300
	4615270.3	1,083,940	230.76	755	453,075	359,550
	4615272.3	1,080,277	230.55	766	459,310	365,736
	4615274.3	1,062,667	233.01	836	452,277	359,354
Tropical forest	4535556.3	8,966,780	209.24	3,462	2,534,011	1,972,899
	4536145.3	70,234,163	208.25	32,260	21,740,745	17,023,785
	4536146.3	71,189,722	206.33	27,299	20,054,261	15,598,276
	4536768.3	71,157,224	206.38	32,009	21,420,956	16,757,572
	4612675.3	1,093,748	230.73	666	447,927	357,477
	4612679.3	1,035,679	209.61	552	386,840	308,686
	4612899.3	1,033,077	214.94	527	392,132	313,949
	4612985.3	1,124,037	201.07	431	405,825	324,897
	4612989.3	1,453,973	222.67	715	554,954	442,864

CAPÍTULO 3 – Sequenciamento de amplicons de domínios proteicos pela plataforma Illumina prediz a diversidade de metabolismo secundário em sedimentos do mangue do sul do Brasil

1 **Illumina amplicon-sequencing of protein domains predicts the**
2 **diversity of secondary metabolism in mangrove sediments**
3 **from southern Brazil**

4
5 **Abstract**

6
7 The shortage of new drugs from natural sources has been confronted by the development
8 of new strategies and the search of new molecule types. In this respect, marine
9 microorganisms harbor much potential yet to be unraveled. Non-ribosomal peptide
10 synthetases (NRPS) and polyketide synthases (PKS) are enzymatic machineries
11 responsible for the production of secondary metabolites with great structural diversity and
12 bioactivity range. These enzymes are composed of modules containing specific domains.
13 By the amplicon sequencing of such domains, it is possible to predict the diversity of
14 secondary metabolism present in a given sample. We report the diversity of the domains
15 A and C from NRPS, and KS from PKS, as found in mangrove sediments collected from
16 Paranaguá Bay, Brazil. Samples represented three environmental factors: season, location
17 (distance to the ocean) and vegetation present in the sampling spot. Season and location
18 proved to be statistically significant in defining domain-based community structure, while
19 plant had no effect. Diversity measures show no pattern among domains, but hint the most
20 suited groups for prospection: summer | location B for A domains, winter | location B for
21 C domains, and winter | location A for KS domains. Given the possibility of engineering
22 individual modules into functional clusters, even mixing NRPS and PKS components into
23 hybrid systems, the separate investigation of domains adds to the practical value of such
24 approach. Most sequences found are affiliated to genera reportedly involved in NRP and
25 PK synthesis, but novelty is expected given the number of OTUs reported, and the
26 presence of many sequences assigned to uncharacterized environmental bacteria where C
27 and KS domains are concerned. Besides justifying further NRPS and PKS prospection in
28 this mangrove, this study is useful in designing strategies with such goal.

29

30 **Introduction**

31

32 After the successful introduction of antibiotics for treating infections in the 1940s,
33 scientists were soon faced with an obstacle, the development of resistance by the
34 pathogenic organisms at which they were aimed. The first efforts for new antibiotics
35 discovery were directed at the isolation of new molecules from cultivable organisms, but
36 this source soon exhausted due to the high rediscovery rate. From late 1980s, new
37 marketed products were developed synthetically or by the modification of previous
38 molecules, but their efficacy was never comparable to the novelty introduced by natural
39 products. Nowadays, the emergence of multi-drug resistant organisms is considered a
40 serious threat, pressing research enterprises into developing new strategies.

41 The development of new sequencing technologies showed that the diversity of
42 microorganisms far exceeds that revealed by classic cultivation, since most cannot be
43 maintained in artificial conditions. This, however, motivated researchers into exploring
44 the biotechnological potential hidden in this unknown universe. The improvement of
45 isolation techniques (Vartoukian, Palmer and Wade, 2010) unraveled teixobactin (Ling
46 *et al.*, 2015), the first new class of antibiotic discovered in three decades. One year later,
47 lugdunin, a novel cyclic peptide antibiotic produced from a human commensal bacteria,
48 was also reported (Zipperer *et al.*, 2016).

49 Marine microorganisms are promising sources of new bioactive molecules,
50 producing a large repertoire of pharmaceutically and biotechnologically useful
51 metabolites including antimicrobial, anticancer, anti-inflammatory and antioxidant
52 compounds, antifouling and nutraceuticals agents (reviewed in Reen *et al.*, 2015,
53 Nagarajan *et al.*, 2015, Montaser and Luesch, 2011, Liu *et al.*, 2010). Among these,
54 molecules from secondary metabolism are especially targeted; although they are naturally
55 produced in small amounts, they possess diverse chemical structures, thus broad potential
56 activity spectrum. Non-ribosomal peptide synthetases (NRPS) and polyketide synthases
57 (PKS) are enzymatic machineries involved in the synthesis of secondary metabolites with
58 increasing pharmaceutical interest. Though depending on different substrates, both
59 possess structural and functional analogies, being composed of catalytic modules, each
60 one responsible for extending the resulting metabolite by the addition of one new residue.
61 The modules possess domains which confer specificity. In NRPS, the adenylation (A)
62 domain is responsible for recognition and activation of an amino acid, which is transferred
63 to the thiolation (PCP) domain, then attached to the elongating chain by the condensation

64 (C) domain. In PKS, the acyl-transferase (AT) domain handles the substrate, acetate, then
65 transferred to an analogous thiolation (ACP) domain, and finally ligated to the chain by
66 the ketosynthase (KS) domain. The combinatorial nature of these domains and modules
67 when forming enzymatic assembly lines accounts for the diversity of NRP and PK
68 structures (Rodrigues and McDaniel, 2001).

69 NRPS and PKS belonging to cultivable marine microorganisms have been
70 described (Nunner, Mevers and Gerwick, 2010), including from mangrove origin (Li *et*
71 *al.*, 2013). Nevertheless, the fraction of uncultivated microorganisms is still predominant.
72 Current works, many focused on symbiotic microorganisms, are based mostly on genome
73 mining (Baltz, 2008) or whole metagenome sequencing (Schirmer *et al.*, 2005), but
74 targeted strategies such as amplicon-sequencing approaches can achieve higher efficiency
75 (Trindade *et al.*, 2015). By amplifying and sequencing variable regions of C and KS
76 domains in the 454 (Roche) platform, and further comparing with metagenomic whole
77 genome sequencing approaches, Woodhouse *et al.* (2013) reported an unprecedented
78 diverse collection present in marine sponges, many belonging to novel sequences.

79 We herein described the diversity of A, C and KS domains present in a mangrove
80 from southern Brazil, as revealed by amplicon-sequencing in the MiSeq (Illumina)
81 platform. From metadata analysis, we defined environmental factors driving domain-
82 based community structures. When groups were formed based on these factors, the
83 estimation of diversity measures enabled us to elect the best conditions for prospection of
84 these domains. We also presented the taxonomy assignment of such domains, and how
85 they are related to the groups formed, which aids predicting taxa present in such
86 conditions. This represents our first effort into designing a focused prospection strategy
87 for novel NRPS and PKS enzymes in this environment.

88

89 **Materials and methods**

90

91 **Sample collection**

92

93 The sampling scheme was the same adopted for the study presented in Chapter 1,
94 with the addition of samples collected from the same locations and plant surroundings in
95 the winter (September 22nd, 2014). Only one sample representing each season, location
96 and tree was analyzed; in total, the study involved 20 samples. Table 1 shows the
97 information regarding the levels of sampling, the codes used (in bold) and their

98 coordinates. The upper layer of sediment (around 10 cm) was discarded and around 200
 99 grams of material was aseptically collected and stored in ice until processing.

100

101 **Table 1.** Sampling levels (I, II, III), codes (in bold) and coordinates.

Season (I)	Estuary region (II)	Plant nearby (III)	Coordinates
Summer (V) (March 14 th , 2014)	Upstream (B)		25°25'02.5"S
			48°42'29.9"W
	Downstream (A)	<i>Avicennia schaueriana</i> (A)	25°33'04.5"S
		<i>Laguncularia racemosa</i> (L) <i>Rhizophora mangle</i> (R)	48°26'35.2"W
Winter (I) (September 22 nd , 2014)	Upstream (B)	<i>Spartina alterniflora</i> (S)	25°24'27.1"S
		Non-vegetated (N)	48°43'14.6"W
	Downstream (A)		25°33'15.6"S 48°24'20.2"W

102

103 DNA extraction, amplification and sequencing

104

105 The environmental DNA was extracted using the PowerSoil DNA Isolation Kit
 106 (MO Bio Laboratories). The 20 samples were amplified for sequencing, targeting the A,
 107 C (NRPS) and KS (PKS) domains, in two PCR steps. In the first step, the primers
 108 designed contained sequences flanking these domains (see below), and also a T7
 109 promoter- or M13R-sequence 5'-attached to the forward and reverse primers,
 110 respectively. In the second, these amplicons had sample-specific barcodes inserted at each
 111 end by using primers containing the complementary T7 or M13R sequence as well as a
 112 5'-tail containing the barcode sequence. By using a different collection of indexes at each
 113 end, it was possible to pool all samples at the end of the amplification and perform a
 114 multiplex (dual) sequencing. The A-domain primer sequences corresponded to that of
 115 primers A3F and A7R (Ayuso-Sacido and Genilloud, 2005); the amplification occurred
 116 in 35 cycles at an annealing temperature of 57°C. The C-domain sequences were those of
 117 primers CnDm and DCCR (Woodhouse *et al.*, 2013), used in 35 cycles at the annealing
 118 temperature of 45°C. The KS-domain was amplified with two sets of primers,
 119 independently: primer set KS(1), which contained the sequences from degKS2F.i and
 120 degKS5R.i (Schirmer *et al.*, 2005), in 35 cycles at an annealing temperature of 56.3°C;
 121 and primer set KS(2), with sequences from DKF and DKR (Moffitt & Neilan, 2003), in

122 35 cycles at an annealing temperature of 55°C. The PCR products from this first system
123 were resolved in an agarose gel, and then extracted with the Zymoclean Gel DNA
124 Recovery Kit (Zymo Research). 10 ng of each purified amplicon was then submitted to
125 the second PCR protocol, consisting of 30 cycles at the annealing temperature of 65°C.
126 The resulting products were normalized using a SequalPrep Normalization Plate Kit
127 (Thermo Fisher Scientific). The pool generated by combining all 80 normalized
128 amplifications (20 samples x 4 sets of primers) was sequenced at the Ramaciotti Centre
129 for Genomics (Sydney, Australia), using the MiSeq Platform (Illumina) set in a 2x 300
130 bp run format.

131

132 **Reads processing**

133

134 The reads related to each protein domain should be aligned to a reference
135 alignment. For the construction of reference alignments, we retrieved amino acid
136 sequences corresponding to the domains studied. Sequences for the A domain were
137 obtained from the Conserved Domain Database from NCBI, searching for string
138 “A_NRPS”. The 297 aligned sequences were downloaded and trimmed for keeping only
139 the region overlapping the first sequence given, 1AMU_A, which is a well characterized
140 sequence for this domain. Curated sequences for the C and KS domains were retrieved
141 from the Natural Product Domain Seeker (NaPDoS) online tool, totalizing 189 and 458,
142 respectively. For each domain, these amino acid sequences were blasted against the NCBI
143 nucleotide database, using the tblastn tool from blast+ v2.2.31 (Altschul *et al.*, 1990), and
144 the aligned regions of the results with the highest hit score for each pair query/subject
145 with maximum e-value 1E-3 were downloaded. Since the variability of these domains at
146 amino acid level is preserved when clustering nucleotides with a 90% identity cutoff
147 (Varaljay *et al.*, 2010), the downloaded sequences were clustered at a 0.10 distance
148 threshold using usearch v8.0.1623 (Edgar, 2010), and then finally aligned within
149 themselves using muscle v3.8.31 (Edgar, 2004) set to perform three iterations.

150 Mangrove reads were processed as implemented in mothur v1.37.0 (Schloss *et al.*,
151 2009). They were first combined by domain. After filtering for quality (window average
152 30, window size 50, min. length 200, max. homopolymers 8, max. ambiguity 0), those
153 corresponding to each domain were aligned to their specific reference alignment generated
154 previously. Reads that aligned to the densest region, as given by the number of reads
155 covering that region, were maintained. Empty base information from the extremities was

156 removed and the reads were pre-clustered to remove sequences resulting from unspecific
157 amplification, then sub-sampled at the level of the sample with fewer reads. Uncorrected
158 pairwise distance was calculated within each dataset to allow its posterior clustering, and
159 finally OTU representatives were designated from the resulting clustered files. At the end,
160 we obtained a OTU table representing the profile of each sample as given by the number
161 of OTUs at 0.10 distance threshold that they contained.

162

163 **Taxonomy assignment and profiling**

164

165 In order to assign taxonomy, a reference database was also necessary. First, the
166 same reference amino acid sequences for the A, C and KS domains described above were
167 blasted against the NCBI NR database, using the blastp tool from blast+ v2.2.31 (Altschul
168 *et al.*, 1990). The aligned regions of the results with the highest hit score for each pair
169 query/subject with maximum e-value 1E-3 were downloaded, while the sequence ID was
170 maintained at the sequence header, from which taxonomy can be later derived. Tool
171 makedb from DIAMOND v0.8.4 (Buchfink, Xie and Huson, 2014) was used to generate
172 a database file from the sequences retrieved.

173 The quality-filtered mangrove reads, separated by sample, were blasted against
174 the corresponding database using tool blastx from DIAMOND v0.8.4 (Buchfink, Xie and
175 Huson, 2014). The resulting files had taxonomy assigned using tool daa2rma from
176 MEGAN v6.4.5 (Huson *et al.*, 2011), using the gi2tax mapping file provided by the
177 software dated February 2016. All samples from the same protein domain were
178 taxonomically compared after loading the taxonomy-assigned files in the graphical
179 version of MEGAN v6.4.5 (Huson *et al.* 2011). The analysis was performed after
180 normalization at the minimum read count; unassigned sequences were removed. A
181 taxonomic profile, given as the relative abundance at the genera level, was generated for
182 each protein domain.

183

184 **Comparisons and statistics**

185

186 The OTU tables and the taxonomic profiles generated were loaded into R v3.3.0
187 (R Core Team, 2016). OTU tables were subsampled at the minimum per-sample count
188 using package GUniFrac (Chen, 2012). Package vegan (Oksanen *et al.*, 2016) was
189 required to calculate Bray-Curtis distances among samples, to perform clustering using

190 the average neighbor method, and to derive species richness and α -diversity measures
191 within sample groups. The impact of environmental factors was calculated by
192 PERMANOVA (n=999). PCoA plots were designed based on the distance matrix, to
193 show a graphical representation of the relationship among the samples. The
194 environmental factors and taxa that showed statistical significance in shaping community
195 structure were fitted into the ordination by function envfit from package vegan (Oksanen
196 *et al.*, 2016).

197

198 **Results**

199

200 **Reads processing**

201

202 The OTU table for the A domain summed 2130 different OTUs, with counts
203 ranging from 157 to 189 per sample. The C domain had 2758 OTUs, counts in the range
204 of 128 to 277. Primer set KS(1) resulted in 2092 OTUs, counting from 326 to 667, while
205 primer set KS(2) was not as efficient, showing only 458 OTUs in total. For this reason,
206 the OTU table resulting from primer set KS(1) was chosen as representative of this protein
207 domain.

208

209 **Factors driving diversity**

210

211 Average neighbor clustering derived from the Bray-Curtis distance calculated
212 among sample, for each of the domains addressed (Figure 1), showed that clusters are
213 formed separating downstream (A) and upstream (B) samples; within each location,
214 samples are further separated by the season of collection. For the A domain, samples VA
215 are most similar, while samples IB are the most disperse. The C domain shows samples
216 VB most clustered, with samples IA presenting the largest distances. For the KS domain,
217 the most clustered group is VA, and IA the most dispersed. When the plants from which
218 samples were taken are concerned, no pattern of distribution is discernible.

219

220 The PERMANOVA evaluation of the three environmental factors shows that
221 season and location had statistical significance in defining groups, while plant had no
222 effect (Table 2) confirming the observation on the clustering patterns. For this reason,
223 samples were considered as belonging to four groups (season x location) for the remaining
analyses. For all three protein domains, location is a stronger predictor of group

224 separation, as given by the pseudo-F coefficients. The separation is more pronounced for
 225 the KS domain for both significant factors, as given by the pseudo-F factor.

226

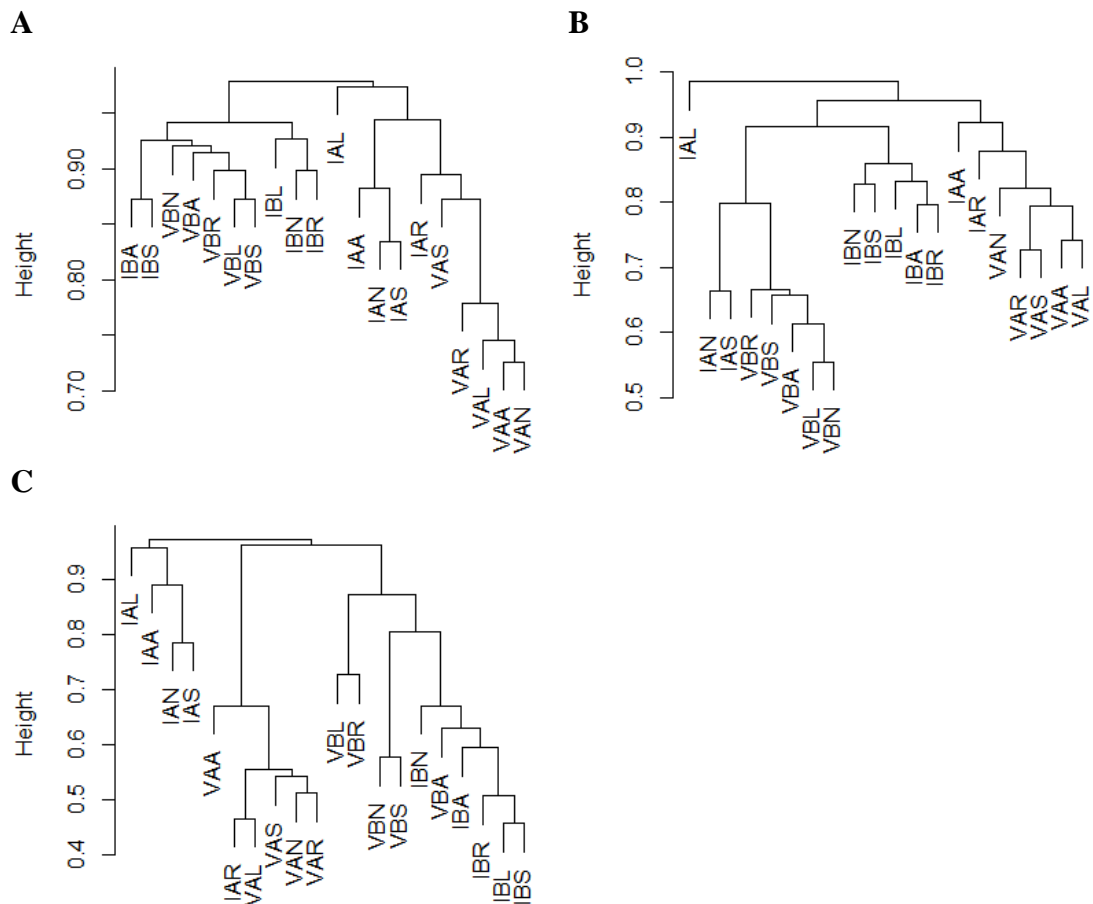


Figure 1. Cluster plots by the average neighbor method calculated on Bray-Curtis distance matrixes. A: A domain, B: C domain, C: KS domain.

227 **Table 2.** PERMANOVA test for assessing the statistical significance of the factors
 228 driving community structure.

Factor	A domain		C domain		KS domain	
	Pseudo-F	p-value	Pseudo-F	p-value	Pseudo-F	p-value
Season	1.34	0.029*	1.54	0.031*	2.03	0.028*
Location	2.39	0.001***	3.11	0.001***	5.23	0.001***
Plant	0.84	0.999	0.74	0.998	0.63	0.997

229 Note: (*) $p < 0.05$, (***) $p < 0.001$.

230

231

232 **Diversity measures**

233

234 The highest species richness and α -diversity measures were found for the A
 235 domain within location B, where diversity far exceeds that from all other groups.
 236 Diversity is second highest for the same A domain within location A, but richness is
 237 second highest for the KS domain within location A in winter. The lowest richness indices
 238 were reported for the C domain, while diversity is smallest for the KS domain within
 239 location A in the summer. When comparing group changes of the same domain, both
 240 indices are directly proportional for the whole dataset, but there are patterns domain-
 241 specific (Table 3). For the A domain, both indices are higher in location B within the
 242 same season, though the difference is larger in the summer. The indices for the C domain
 243 do not differ in the summer, but both are higher in location B in the winter. For the KS
 244 domain, the shift in location in the summer causes both indices to increase, while the
 245 same shift in the winter makes them to decrease.

246

247 **Table 3.** Richness and diversity measures for the groups assembled.

Factor	A domain		C domain		KS domain	
	Richness	α -diversity	Richness	α -diversity	Richness	α -diversity
Loc A						
Summer	465	479.6	276	184.2	390	162.4
Winter	515	651.0	326	266.0	585	326.9
Loc B						
Summer	606	1219.2	276	184.2	563	304.5
Winter	593	1104.3	378	387.8	494	241.1

248

249 **Taxonomic affiliation**

250

251 While primers KS(1) resulted in a more comprehensive OTU table, primers KS(2)
 252 performed better for taxonomy assignment; since the primers covered the same domain,
 253 the taxonomic profile generated from KS(2) was chosen to complement the data from the
 254 OTU assignment. The A domain has 99.6% of sequences assigned to *Bacteria*. Among
 255 the genera identified, there is a prevalence of (30.4 \pm 11.3)% *Myxococcus*, (14.2 \pm 5.3)%
 256 *Brevibacillus*, (11.5 \pm 6.0)% *Streptoalloteichus*, (6.8 \pm 3.8)% *Bacillus*, (5.7 \pm 3.2)%

257 *Sinorhizobium*, (4.6±4.6)% *Saccharothrix* and (4.3±2.1)% *Streptomyces*, which represent
258 more than 75% of the sequences (Figure 2). The C domain shows 100% of sequences
259 assigned to *Bacteria*. Among the abundant genera, (37.8±21.3)% are *Moorea*,
260 (15.5±13.6)% are annotated as bacterial environmental samples, (7.6±7.8)%
261 *Silvibacterium*, (5.6±6.1)% *Paenibacillus*, (5.1±3.2)% *Pseudoalteromonas* and
262 (3.9±6.2)% *Streptomyces*, which also account for more than 75% of the sequences (Figure
263 3). The KS domain has also 100% of sequences belonging to *Bacteria*. Among the genera,
264 there is a high prevalence of (84.4±11.4)% of sequences annotated as environmental
265 bacterial samples, then (4.0±10.8)% belonging to *Lysobacter* and (3.6±3.6)% of
266 unclassified *Peptococcaceae*, making up more than 90% of the sequences (Figure 4).

267 The taxonomic profile at the genus level for each domain was overlaid on PCoA
268 plots representing the distance among samples calculated after the OTU tables (Figure 5).
269 Only genera that showed statistical significance on the configuration of sample
270 distribution were plotted. The centroids for the four environmental factors are also shown.
271 For the A domain (panel A), we notice that *Myxococcus* is enriched in the summer,
272 *Brevibacillus* is enriched in the group winter | location B, *Streptomyces* is more related to
273 winter, while the other abundant genera are not driving community structure. Among the
274 less abundant genera, we still observe a tight correlation between *Legionella* and location
275 B, *Actinoplanes* and summer, and *Planktothrix* and winter. For the C domain (panel B),
276 *Moorea* is somewhat related to summer, while among minor genera we see that
277 location A is characterized by an enrichment in *Burkholderia*, and winter by an
278 enrichment in *Candidatus Magnetomorum*, *Luteibacter* and bacterium UASB270. The
279 KS domain (panel C) shows that the abundant environmental taxa are tightly correlated
280 with summer, which is also enriched for minor genera *Labrenzia*, *Sorangium*, unclassified
281 *Gammaproteobacteria* and *Rhodopirellula*; other minor group, *Streptomyces*, shows a
282 tight correlation with location A.

283

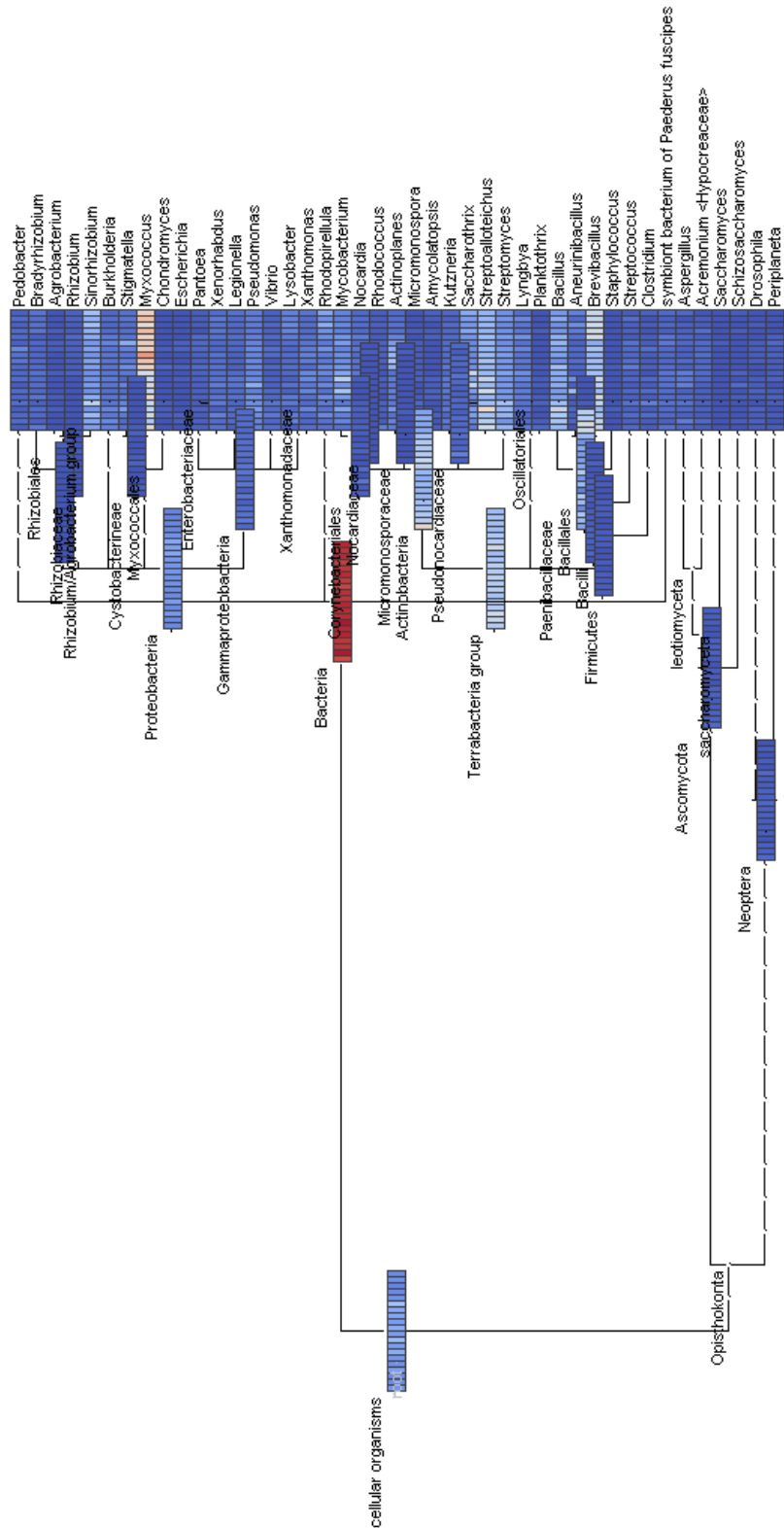


Figure 2. Taxonomic affiliation for the A domain at the genus level. Each square represents one sample in the order IAA, IAL, IAN, IAR, IAS, IBA, IBL, IBN, IBR, IBS, VAA, VAL, VAN, VAR, VAS, VBA, VBL, VBN, VBR, VBS. The colors represent the abundance assigned at that taxonomic level; blue = 0, red = 100%.

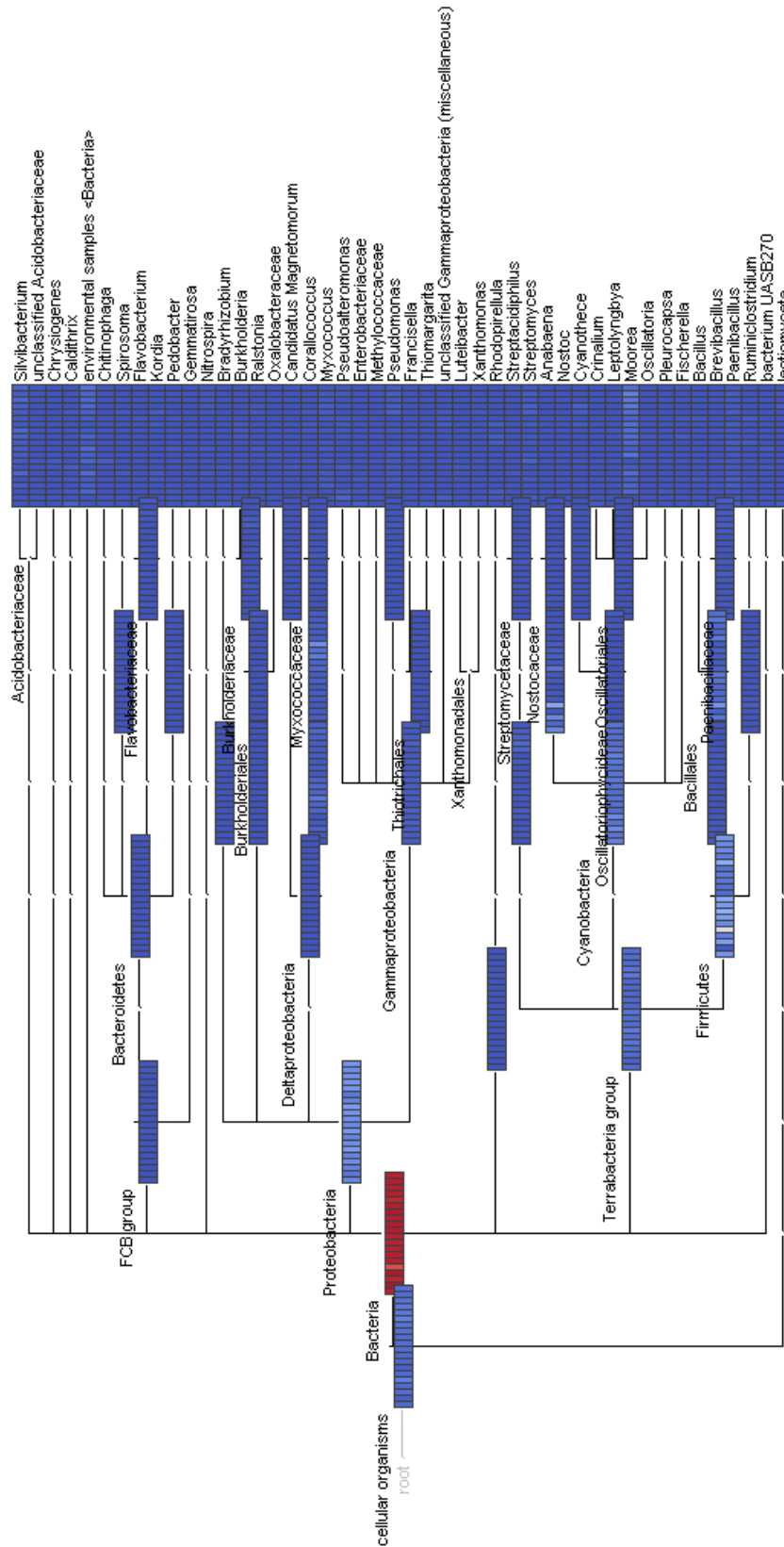
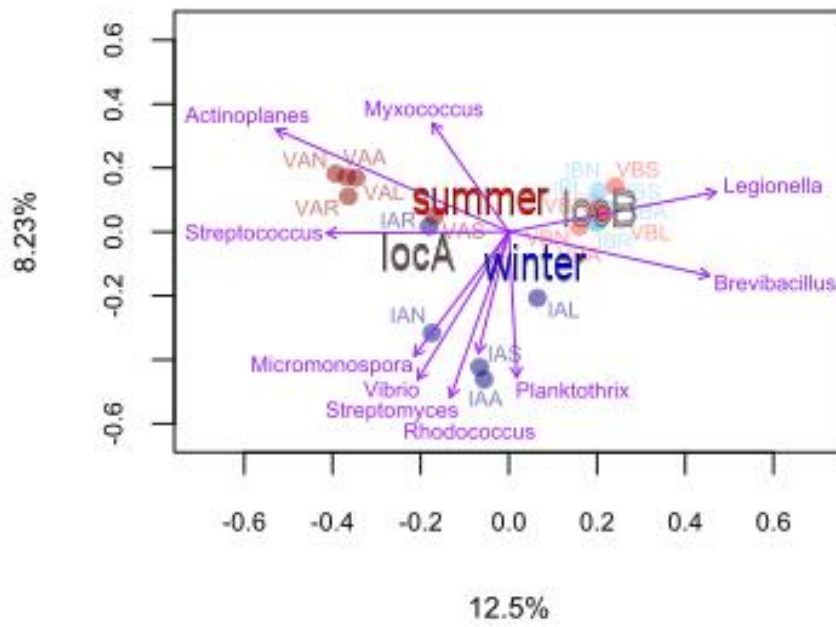
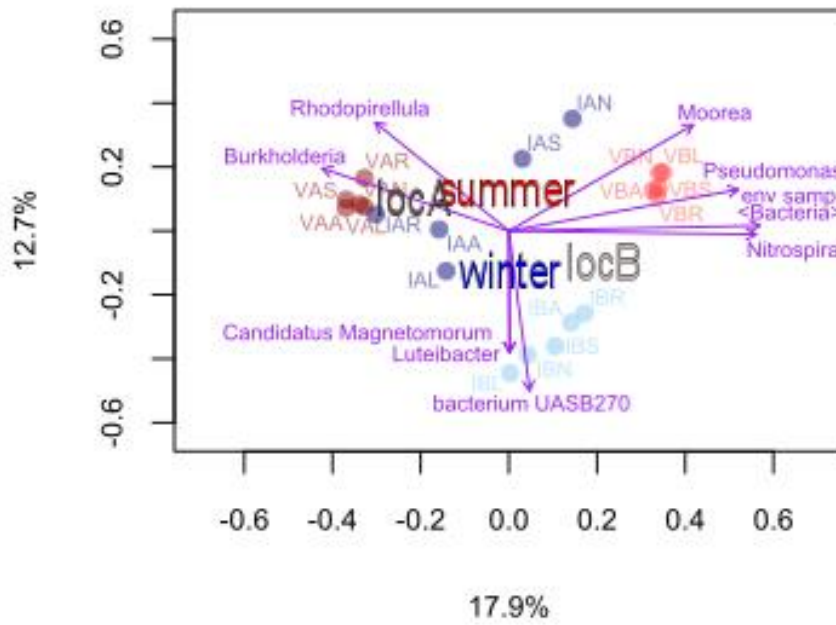


Figure 3. Taxonomic affiliation for the C domain at the genus level. Each square represents one sample in the order IAA, IAL, IAN, IAR, IAS, IBA, IBL, IBN, IBR, IBS, VAA, VAL, VAN, VAR, VAS, VBA, VBL, VBN, VBR, VBS. The colors represent the abundance assigned at that taxonomic level; blue = 0, red = 100%.

A



B



300 and KS(2) cover the same domain, we decided to join the results to represent the KS
301 domain in our study.

302 We found samples to cluster by factors season and location, which is evidenced
303 in the cluster plots (Figure 1), in the PERMANOVA analysis (Table 2) and in the PCoA
304 plots (Figure 5). PERMANOVA showed location is a better predictor of group separation,
305 which is again evident in the PCoA plots by the larger distance between locations than
306 between seasons. The plants from which rhizosphere samples were collected showed no
307 effect on diversity of these domains, mirroring the results obtained for 16S diversity
308 (Chapter 1 of this thesis); soil characteristics in this habitat seem to make any plant effect
309 irrelevant (Marschner, Crowley and Yang, 2004). The delimitation of groups is valuable
310 to reduce sampling and processing efforts if the prospection of novel domains is to follow
311 up.

312 While Woodhouse *et al.* (2013) report greater richness for the C domain and
313 greater diversity for the KS domain in sponges, we observed the KS domain presenting
314 higher richness, while diversity is context dependent: the C domain is more diverse in
315 groups winter | location A and summer | location B, while the KS domain in the other
316 groups. In the mangrove, α -diversity is expected to increase with species richness for all
317 domains. This is the best scenario for prospection, since new species resulting from shifts
318 in environmental factors are to be of a diverse nature than those already present. If
319 taxonomy is not considered, this makes samples retrieved in the summer from location B
320 ideal for prospection of A domains; samples from winter | location B preferred for
321 prospection of C domains; and samples from winter | location A best suited for KS
322 domains. Moreover, the best groups for each domain are different, which possibly reflects
323 the modular nature of the enzymatic machineries containing them.

324 The fact that nearly 100% of sequences were assigned to *Bacteria* is not
325 surprising, since the primers are designed for these organisms; this at least confirms their
326 efficiency in amplifying with the desired specificity. Nevertheless, the profiles at genus
327 level are very diverse for the enzyme domains. Among the most abundant genera
328 reported, only *Streptomyces* is present in more than one protein domain study, while
329 posing a great promise for the prospection of secondary metabolites (Bérdy, 2005). Some
330 genera from the A-domain study reportedly producing NRPs are *Myxococcus* (Cortina *et al.*
331 *et al.*, 2012, Cortina *et al.*, 2011), *Brevibacillus* (Djukic *et al.*, 2011), *Streptoalloteichus*
332 (Lohman *et al.*, 2013, Tao *et al.*, 2007) and *Bacillus* (Chen *et al.*, 2006, Ehling-Schulz *et al.*
333 *et al.*, 2005, Emmert *et al.*, 2004). The abundant *Moorea* in the C-domain study is also

334 implicated in the production of such molecules (Thomburg *et al.*, 2013, Choi *et al.*, 2012),
335 including new strains with prolific secondary metabolism (Engene *et al.*, 2012), as well
336 as genus *Lysobacter* (Xie *et al.*, 2012), found in the KS-domain research. Sequences
337 annotated as belonging to yet uncharacterized environmental bacteria are present in the
338 C and in the KS-domain datasets, being particularly abundant in the last, possibly
339 representing novelty. If one now considers taxonomy, choosing prospection sites based
340 on the diversity measures does not help much predicting the genera that are expected to
341 be enriched; among the three protein domains, only the minor taxon *Blastopirellula* lies
342 close to the group suggested for KS-domain prospection, winter | location A; no
343 information regarding PK synthesis by this genus was found. The sequences annotated as
344 environmental bacteria will be enriched in the summer | location B group for the C
345 domain, and in the summer groups for the KS domain.

346 The technique applied proved to be efficient in evaluating the diversity of NRPS
347 and PKS domains in an environment for which no prior knowledge regarding secondary
348 metabolite production was available. The mangrove studied revealed to be a promising
349 source for prospection of NRPS and PKS enzymes. A first screening according to sample
350 type was suggested. Sequence reads may now be retrieved and used for designing primers
351 aimed at recovering the enzymatic clusters in which these domains are integrated, which
352 will aid the prospection in a fosmid library and the extraction of full sequences for
353 heterologous expression of their products.

354

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CONSIDERAÇÕES FINAIS

Através do uso de ferramentas metagenômicas, pudemos caracterizar o microbioma do mangue selecionado de acordo com três categorias: a comunidade microbiana revelada por sequências do gene de rRNA 16S, o perfil funcional revelado pelo sequenciamento do metagenoma, e a diversidade de domínios relacionados às enzimas NRPS e PKS, produtoras de metabólitos secundários.

A estrutura da comunidade se mostrou influenciada por fatores ambientais relacionados à distância do mar, que se refletiram na quantidade de íons retidos pela matriz orgânica presente nos sedimentos. Não observamos efeito de rizosfera das plantas características do local. Uma vez que o funcionamento do mangue depende de uma relação fina entre microbiota e vegetação, acreditamos que a comunidade presente é capaz de interagir com a mesma eficiência com as diferentes plantas.

Como apenas a localização influenciou a identidade dos microrganismos habitantes, escolhemos uma amostra representando cada localização para o sequenciamento do metagenoma integral. Os dados gerados nos permitiram acessar a estrutura da comunidade por outro sistema de anotação e o perfil funcional do habitat. O perfil taxonômico é diferente daquele apresentado no estudo baseado em rRNA 16S, o que pode ser atribuído aos vieses típicos de cada abordagem. Atualmente, a anotação taxonômica por 16S em estudos metagenômicos têm sido preferida, já que a relação dessas sequências com árvores filogenéticas é bem consolidada. Comparando os perfis taxonômico e funcional do mangue com outros biomas publicamente disponíveis, pudemos observar uma tendência de agrupamento baseada em tipo de bioma, o que indica que níveis hierárquicos superiores de fatores ambientais também conduzem a estrutura da comunidade microbiana. Essa constatação dá suporte à geração de perfis baseados em informação genética para caracterizar um ambiente, e, conseqüentemente, seu estado frente a distúrbios como os causados por eutrofização. Assim como a medicina caminha para o diagnóstico genético, estratégias de conservação e recuperação de ambientes também podem se beneficiar dessa abordagem. Todos os biomas investigados são funcionalmente menos dissimilares que taxonomicamente, o que indica a existência de diferentes microrganismos adaptados para as condições ambientais presentes mas realizando as mesmas funções.

A diversidade dos domínios de NRPS e PKS reportada confirma as expectativas encontradas na literatura sobre o potencial biotecnológico dormente em ambientes

marinhos. A adição de amostras provenientes de outra estação do ano mostrou que, quando esses domínios proteicos são considerados, fatores sazonais também têm influência na definição das funções presentes. Medidas de riqueza e diversidade baseadas em estação do ano e localização nos permitem sugerir em quais coleções de amostras a prospecção de metabólitos secundários sintetizados por essas enzimas pode ser mais prolífica. Levando em consideração que, comparativamente com outras funções, essas tendem a estar presentes em baixa quantidade, estudos direcionados tendem a ser mais eficientes, exigindo menos recursos e tendo maior taxa de sucesso.

O trabalho apresentado agrega à caracterização do microbioma do mangue numa época onde pesquisadores se esforçam para criar bases de dados ambientais numa resolução inédita, e propõe as condições a serem adotadas em futuros estudos de prospecção.

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